

# Spatio-temporal evolution of $L \rightarrow \mathbf{I} \rightarrow H$ transition

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Acknowledgements:

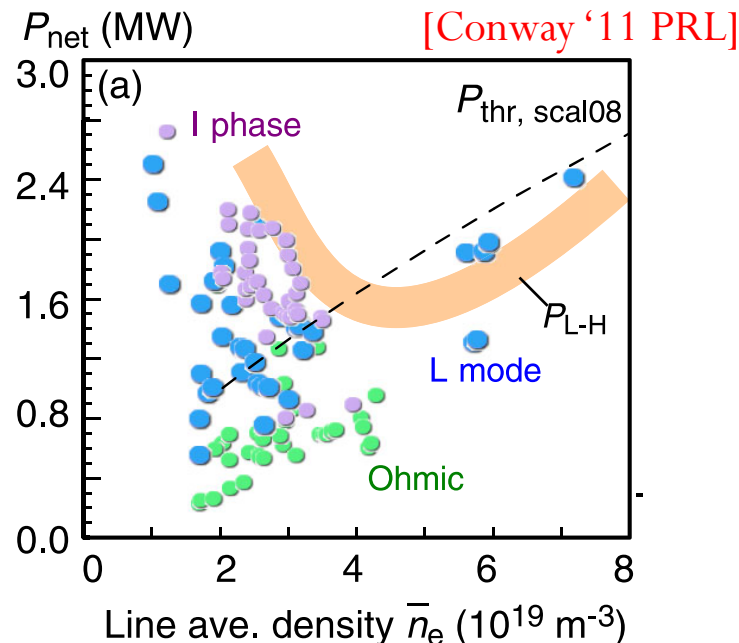
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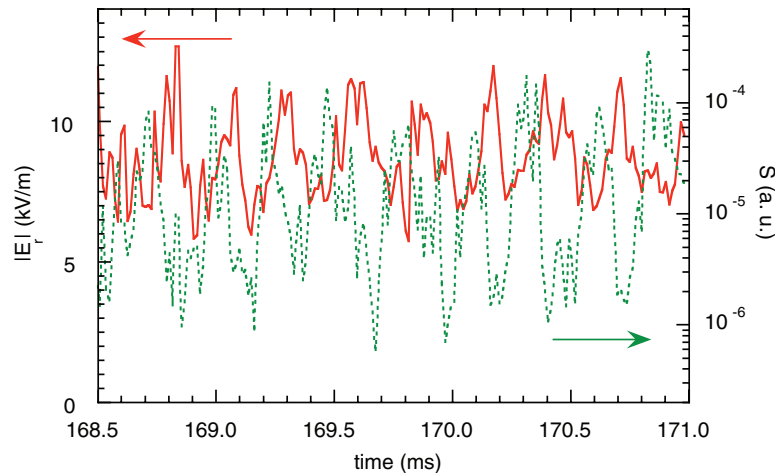
# Motivating experimental observation:

- L-H power threshold scaling deviates in low density region
- New region related to I-mode, I-phase, and GAM
- No theoretical model to quantitatively predict the threshold and to show spatio-temporal evolution

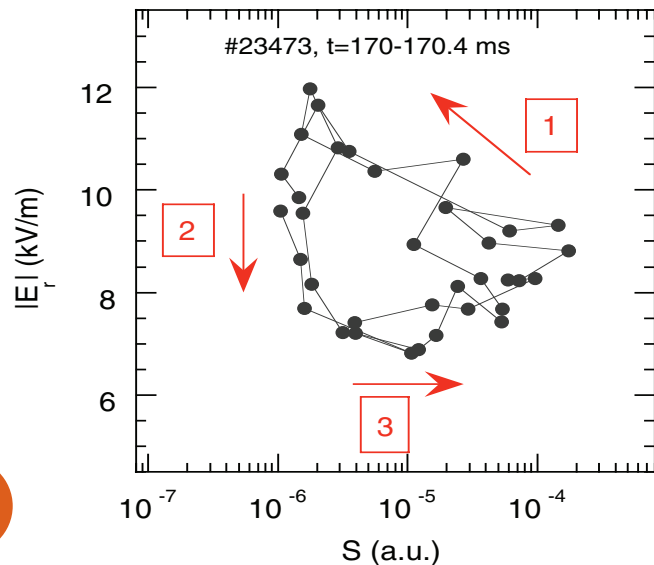
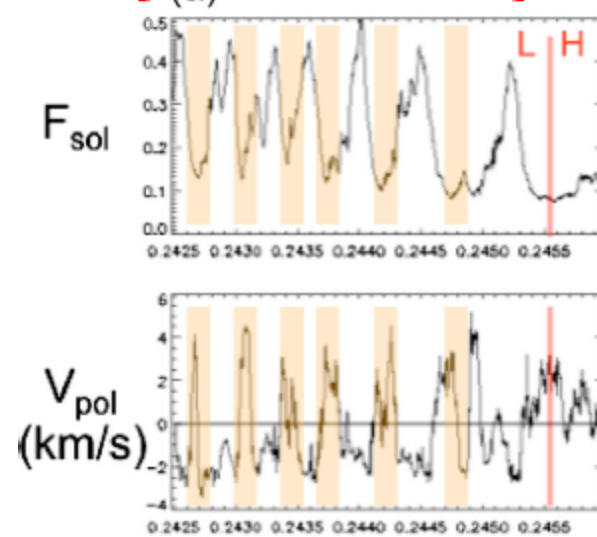


I-phase as a transient phase with limit-cycle oscillation(LCO), i.e.  $L \rightarrow H$  transition can be replaced with  $L \rightarrow I \rightarrow H$  transition

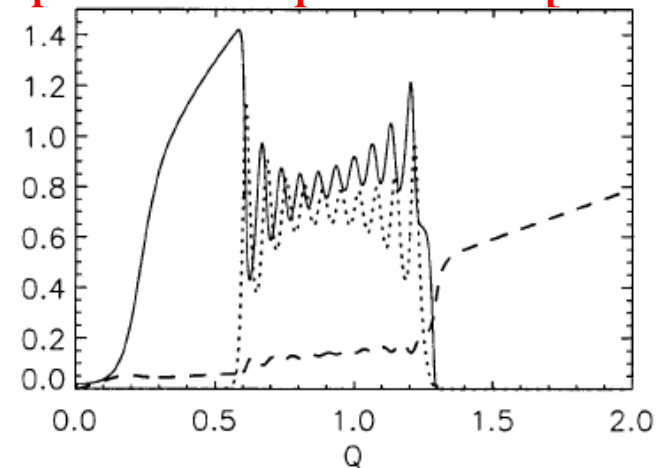
TJ-II [Estrada '10 EPL]



NSTX [Zweibel, PoP 2010]

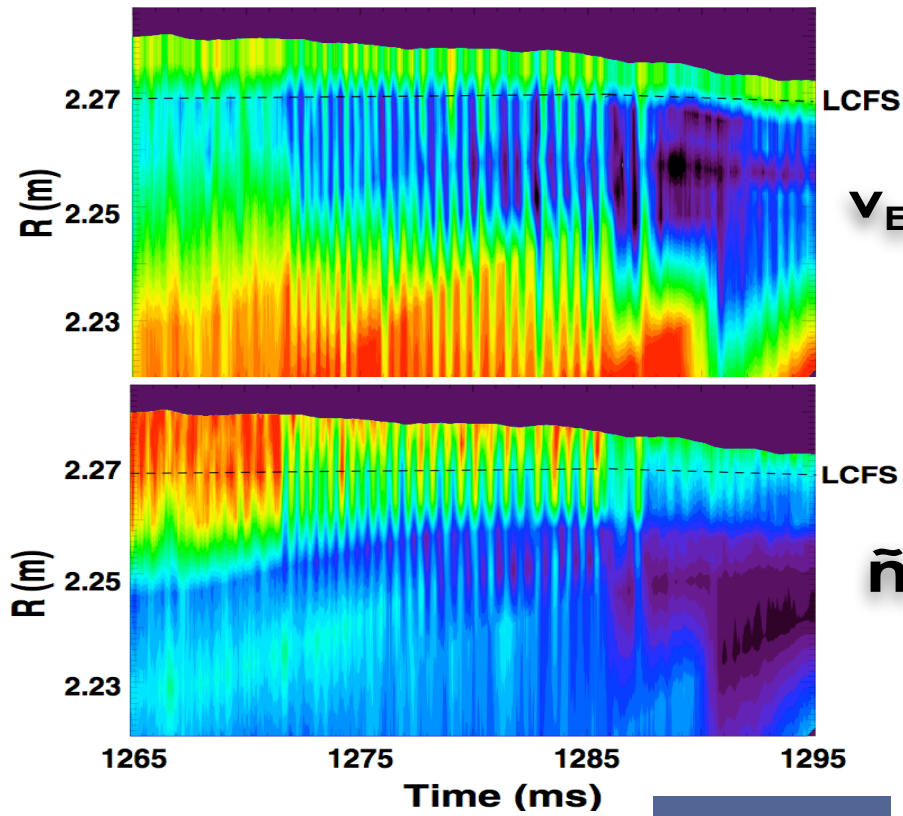


Can a simple model explain these? [E. Kim, PRL 2003]

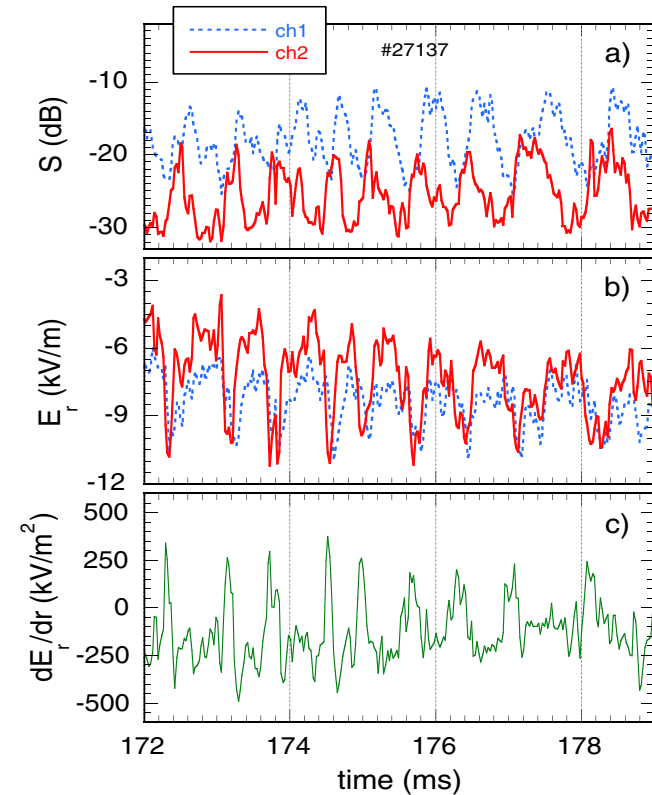


# Radial structure of I-phase is identified in DIII-D and TJ-II

DIII-D [Schmitz, submitted to PRL]



TJ-II [Estrada, PRL '11]



→ One-dimensional model to reproduce I-phase is necessary in that I-phase radial propagation and pedestal formation should be compared.

To address these issues, we have developed **a 1D model**.

- *Spatio-temporal* evolutions of 5-field (density, pressure, turbulence intensity, ZF, poloidal flow) equations
- Zonal flow / Mean flow competition , *a' la* 0D Kim-Diamond
  - ZF/MF as different players [E. Kim, PRL '03]
- NO MHD activities; NO ELMs
- **No 'first principle' simulations have ever reproduced or elucidated the L-H transition!**

# Predator-prey model

Turbulence intensity:  $\gamma_L \sim \gamma_{L0} \frac{c_s}{R} \sqrt{\frac{R}{L_T} - \left(\frac{R}{L_T}\right)_{crit}}$  ← from  $p, n$  profile

$$\partial_t I = (\gamma_L - \Delta\omega I - \alpha_0 E_o - \alpha_V E_V) I + \chi_N \partial_x (I \partial_x I)$$

Driving term

Local dissipation

ZF shearing

MF shearing

Turbulence spreading

Zonal flow energy:  $E_0 = V_{ZF}^2$

$$\alpha_0 \sim \alpha_V \sim \tau_{ac0} \frac{\sqrt{a\rho_i}}{c_s} \quad (\tau_{ac} \ll 1)$$

$$\partial_t E_0 = A E_0 \alpha_0 (I / (1 + \xi_0 E_V) - I_*)$$

Screening factor

Reynolds stress drive

MF/ZF competition

ZF collisional damping

$$I_* = \gamma_{damp} / \alpha_0$$

$$\gamma_{damp} \sim (v_{ii} + v_{CX}) / R$$

Mean flow shearing:

$$E_V = (\partial_x V_{E \times B})^2$$

→ by radial force balance

Short time scale normalization  $\omega_* (\sim c_s / a) t \rightarrow t$

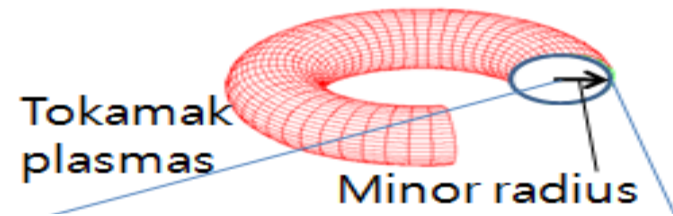
Small spatial scale  $\rho_i \sim 0.01 a$

Long time scale  $\tau_{ii} (= 1/v_{ii}) \sim 600(a/c_s)$

Long spatial scale normalization  $r/a \rightarrow r$

# 1D transport model

x: radial direction



pressure

$$\partial_t p(x) + \partial_x \Gamma_p = H$$

density

$$\partial_t n(x) + \partial_x \Gamma_n = S$$

$$\Gamma_p = -(\chi_{neo} + \chi_o) \partial_x p$$

$$\Gamma_n = -(D_{neo} + D_o) \partial_x n - Vn$$

## Pinch term

TEP pinch      Thermoelectric pinch

$$V = (v_{0,TEP} + v_{0,TE}) \text{ Inward pinch}$$

$$\cong \left( \frac{D}{R} - \frac{D}{L_T} \right) \quad (\propto I, L_T < 0)$$

$$n \sim \exp\left(-\frac{V}{D} r\right)$$

→ density peaking

## Neoclassical transport term

Banana regime

$$\chi_{neo} \sim \chi_{Ti} \sim \varepsilon_T^{-3/2} q^2 \rho_i^2 v_{ii}$$

$$D_{neo} \sim (m_e / m_i)^{1/2} \chi_{Ti}$$

## Turbulent transport term

$$D_0 \sim \chi_0 \sim \frac{\tau_c c_s^2 I}{(1 + \alpha_t V_E'^2)}$$

→ Predator-prey model



# Poloidal momentum spin-up

- Full- $f$  gyrokinetic simulation predicts that poloidal flow driven by turbulence can be another mediator through L-H transition especially in low  $\rho_*$  plasmas. [Dif-Pradalier '08, PRL]
- Coupling radial and parallel momentum force balance equations, we obtain

Turbulence drive  
obtained from stress  
tensor [McDevitt, PoP '10]

Neoclassical  
effects

Eq. of poloidal rotation

$$-\frac{\partial u_\theta}{\partial t} = \frac{1}{nm} \left\langle \nabla \cdot (\hat{e}_y \vec{\Pi}_{turb}) \right\rangle + \mu_{ii}^{(neo)} (u_\theta - u_\theta^{(neo)})$$

$$\sim \alpha_5 \frac{\gamma_L}{\omega_*} c_s^2 \partial_x I + (v_{ii} + v_{CX}) q^2 R^2 \mu_{00} (u_\theta + 1.17 c_s \frac{\rho_i}{L_T})$$

# Radial force balance equation:

$$V'_{E \times B} = \frac{1}{eB} \left[ \underbrace{-\frac{1}{n^2} n' p'}_{\text{Density gradient}} + \underbrace{\frac{1}{n} p''}_{\text{Pressure curvature}} \right] + \left( \underbrace{\left[ \frac{r}{qR} u_{\parallel} \right]'}_{\text{Toroidal flow (not considered here)}} - u'_{\theta} \right)$$

from global profiles

Diamagnetic drift term

Poloidal flow driven by neoclassical and turbulent drives

$$= \rho_i c_s L_p^{-1} (-L_n^{-1} + L_{\frac{dp}{dx}}^{-1}) - u'_{\theta}$$

- Pressure curvature (ignored by Hinton *et al.*, noted by Helander *et al.*, Malkov, P.D. ) produces fine scale  $\langle V_E \rangle'$  structure
- Poloidal rotation from neoclassical, Reynolds drive
- Totally, time-evolving 5-fields ( $n, p, I, E_0$ , and  $u_{\theta}$ ) are solved numerically.

# Numerical simulation results: Slow Power Ramp Indicates $L \rightarrow I \rightarrow H$ Evolution.

## 1D Model

c.f. DIII-D, [Schmitz et al.],

$r/a$

turbulence

ZF

MF

time

# Cycle is propagating nonlinear wave in edge layer

ZF

Period of cycle increases approaching transition.

Turbulence intensity

Mean flow shear

- Turbulence intensity peaks just prior to transition.
- Mean shear (i.e. profiles) also oscillates in I-phase.

Mean shear location comparisons indicate inward propagation, and observed in experiments.

$r/a=0.975$

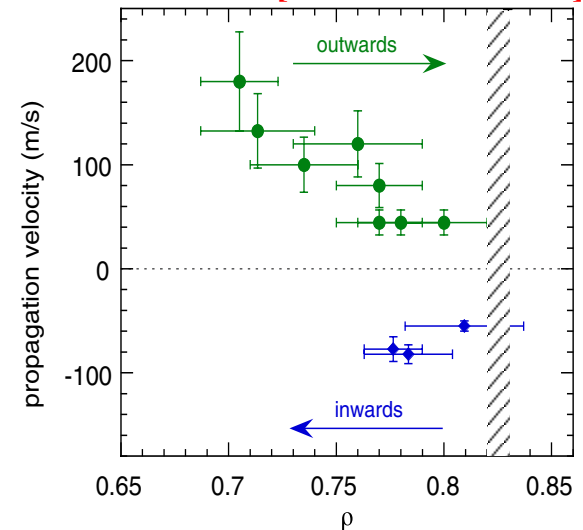
$r/a=0.950$

$r/a=0.925$

Turbulence  
ZF shearing  
MF shearing

- Inward propagation  $\sim 80$  [m/s]
- Similar to exp.  
[Estrada],[Schmitz]

[Estrada '11 PRL]



Phase delay between **turbulence** and **zonal flow** increases from  $\pi/2$  to  $\pi$  during I-phase

$\sim \pi/2$   
phase delay

**1D model**

$\sim \pi$   
phase delay

DIII-D [Schmitz, '11 APS]



The phase lag relation is shown in DIII-D experiments!

# Time evolution of diamagnetic shearing.

→ Diamagnetic shear oscillates with growing amplitude in I-phase, then increases abruptly at L-H transition.

c.f. DIII-D [Schmitz]

Diamagnetic shearing  $\omega_{E \times B, dia} = \frac{\partial}{\partial r} \left( \frac{1}{eBn} \right) \frac{\partial p}{\partial r}$

**1D model:**

Energy channel  $\rightarrow$  Rate of coupling to ZF  
comparable to drive at the transition threshold.

**1D Model:**

- Peak of ZF shearing contribution increasing
  - Consistent with EAST results[Manz, Xu *et al.*, submitted]
- ZF triggers MF; ZF can be a heat ‘reservoir’ w/o increasing turbulence.
- Thus, ZF shearing dominant in prior to L $\rightarrow$ H transition.



# Profile comparison in L, I, H

- Pressure and temperature profile

pressure

Density

$T(r)$  temperature

➔ Pedestal formation clearly recovered.

**Fast** ramp up indicates no LCO, but  $L \rightarrow H$  transition occurs.

a) turbulence

b) ZF

c)  $\log(MF)$

# Implications for Steady State Experiments (KSTAR, EAST, JT-60 SA, ITER)

$$\gamma_{ZF} \sim \nu_{ii} + \nu_{CX}$$

- neutral CX can damp zonal flows (c.f. Y. Xu, *et al.*, in preparation)
  - high edge  $n_{\text{neutral}}$  unfavorable to transition
  - long established experimental lore concerning  $Q_{\text{thresh}}$ ,  
'dirty machines,' re-cycling,...
- But:
  - in SST, with long pulse H-mode,  
can expect:   → eventual wall saturation  
                  → subsequent increase in re-cycling  
                  → increase in CX damping of ZF

If/When discharge drops out of H-mode, will recovery be possible???

Increase  $\gamma_{ZF}$  and  $\mu_{neo}$  increases L $\rightarrow$ H power threshold.

$\rightarrow$  neutral CX increases power threshold!

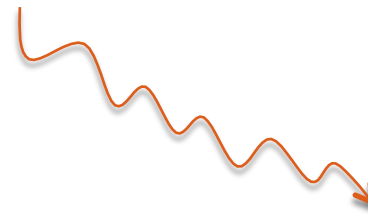
# Back transitions -- More than hysteresis!

- Back transitions now both an interesting **and a pragmatically critical** topic for ITER
- Back transition issues: → hysteresis
  - rate of  $\beta_p$  decay (D. McDonald, '12)
  - observation of so-called 'small, Type III ELMs' during  $\beta_p$  decay at back transition
  - beneficial, as allows 'soft landing'

i.e.



vs



- hypothesize that 'small Type III ELMs' are really L.C.O. in back transition
- **Key Question: Does back-transition occur via  $H \rightarrow I \rightarrow L$ ?**

# Case with slow power ramp up and down

**Slow** power ramp up

**Slow** power ramp down

L.C.O. nucleates  
at pedestal  
shoulder.

# Case with slow ramp up and **fast** ramp down

**Slow** power ramp up

**Fast** power ramp down



Little  
sign of  
I-phase

# Hysteresis is here!

Scan of  $\chi_{neo}$  indicate relation to  
'strength of the hysteresis'

Area of hysteresis loop

Core pressure  $\sim \langle \text{grad } p \rangle$

$$A_{hyst} \sim Nu^\alpha$$

$$\alpha \sim 1$$

Heat power ramp

$$Nu \sim \frac{\chi_{turb,L \rightarrow H}}{\chi_{neo}}$$

[S.S. Kim and H. Jhang]



# Summary of this study

- One dimensional extension of the Kim-Diamond model is introduced, including Pressure/Density profile, 0D K-D model components (turbulence, ZF, MF) , Radial force balance, i.e. mean flow equilibrium. Poloidal rotation spin-up
- L-I-H-transitions with power ramp up are shown. Observed properties are consistent with those observed in DIII-D, TJ-II, and EAST.
- Damping of ZF increases the L→H power threshold
  - ZF shearing contribution decreases the L→H power threshold.
  - Neutral CX hinders plasmas from H-mode transition, by shrinking ZF shearing contribution and increasing power threshold.
- I-phase on back transition possible but not certain.
  - Hysteresis:  $A_{\text{hyst}} \sim \text{Nu}^\alpha$

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## To-go message:

There are REAL clues, both from experiments and the model study, to indicate the connection between L→H transition and ZF.