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# Collisionality dependence of a shielding factor of a beam driven current

## ビーム駆動電流における 遮蔽因子の衝突率依存性

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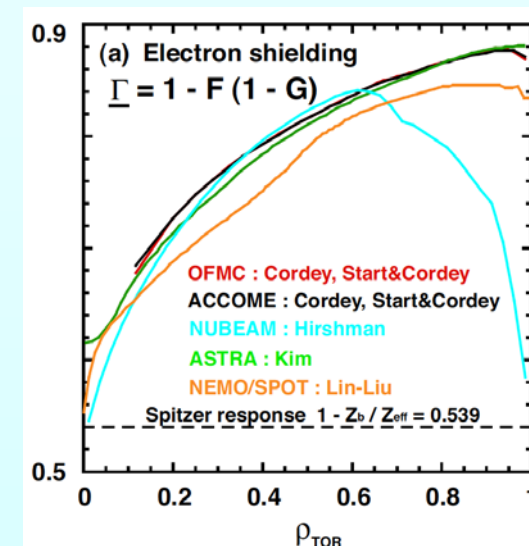
Acknowledgments: T. Oikawa, T. Fujita, S. Ide, T. Suzuki, H. Urano  
and M. Suzuki



- There is of growing importance in accurately estimating **the neutral beam current drive (NBCD)** for obtaining a fully current-driven, steady-state plasma.
- **Physical mechanism of NBCD**
  - Tangential NBI typically produces the fast-ion circulating current.
  - At the same time, electrons tend to be dragged by the fast ions and cancel the current.
  - §Ohkawa clarified that the effective NBCD was obtained in an impure plasma [NF 1970].
  - ¶Also, **the existence of trapped electrons** reduces the electron circulating current.

Shielding factor  $\Gamma$ :  $\Gamma \equiv \frac{j_b}{j_{\parallel f}} = 1 - \overset{\S}{F}(\overset{\P}{1 - G})$  where typically  $F = Z_b/Z_{\text{eff}}$

- **The  $G$  factor stems from the neoclassical transport.**
  - This is because trapped particles are connected to the neoclassical transport.
- **Many models for the  $G$  factor have been proposed, but all of these models have been derived in the banana regime, except one model.**
  - They do **not include the collisionality**.



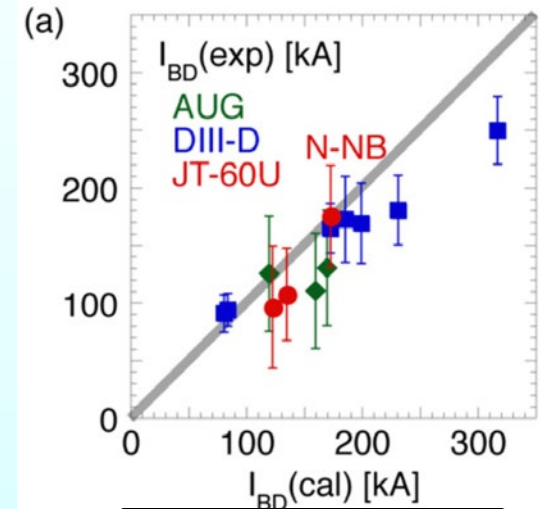


# Background cont'd.

$$\nu_{*e} = \left( \omega_{Te} \tau_{ee} \varepsilon^{3/2} \right)^{-1} \propto n_e / T_e^2$$



- Experimentally, we already found that the NBCD calculation is prone to overestimation of the driven current.
- This research is motivated by the idea that **the collisionality dependence of the shielding factor would be one of the candidates that can partly fill the gap between measured and calculated NBCD.**



Suzuki NF2011

- The Matrix Inversion (MI) method [Kikuchi PPCF1995] solves the momentum balance equations to calculate neoclassical transport coeffs.
  - This is based on the moment approach proposed by Hirshman and Sigmar [NF1981]
  - Recently, the Shaing's viscosity model [PoP 1995] has been incorporated into MI, called the MI-S method, like the NCLASS module [Houlberg PoP 1997].
- Hirshman and Sigmar showed that adding the friction coeffs. of fast ions to the moment approach gave the shielding factor.
  - Unfortunately, this derivation included some mistakes and implicit assumptions.

Derive and examine the collisionality dependent shielding factor using MI-S

# Electron moment equations

The electron momentum and heat balance eqs. based on the moment approach

$$\begin{aligned} \text{viscosity} \quad \langle \mathbf{B} \cdot \nabla \cdot \vec{\Pi}_e \rangle &= \langle BR_{ei} \rangle + \langle BR_{eb} \rangle, \\ \text{friction} \quad \langle \mathbf{B} \cdot \nabla \cdot \vec{\Theta}_e \rangle &= \langle BH_{ei} \rangle + \langle BH_{eb} \rangle, \end{aligned}$$

beam momentum and heat friction forces

$$R_{eb} = (m_e Z_b^2 / \tau_{ee}) n_b u_{\parallel b}$$

$$H_{eb} = -(3/2) R_{eb}$$

bare minimum

Solving this simultaneous equation will give

the bootstrap current as well as the beam driven current.

$\propto$  diamagnetic particle and heat flows

**Ignorable**

which consists of terms proportional to  $\langle Bu_{\parallel j} \rangle = \hat{u}_{j\theta} \langle B^2 \rangle$

**Electron parallel current regarding NBCD**

Solving it so that  $\langle Bq_{\parallel e} \rangle / p_e$  vanishes yields

$$\begin{aligned} \langle Bu_{\parallel e} \rangle &= \frac{\ell_{11}^{ee}(\hat{\mu}_3^e - \ell_{22}^{ee}) + \ell_{12}^{ee}(\hat{\mu}_2^e + \ell_{12}^{ee})}{(\hat{\mu}_3^e - \ell_{22}^{ee})(\hat{\mu}_1^e - \ell_{11}^{ee}) - (\hat{\mu}_2^e + \ell_{12}^{ee})^2} \left( -\langle B^2 \rangle \sum_j \frac{Z_j^2 n_j}{Z_{\text{eff}} n_e} \hat{u}_{j\theta} - \frac{Z_b^2 n_b}{Z_{\text{eff}} n_e} \langle Bu_{\parallel b} \rangle \right) \\ &\equiv -\gamma \left( \frac{Z_b^2 n_b}{Z_{\text{eff}} n_e} \langle Bu_{\parallel b} \rangle + \langle B^2 \rangle \sum_j \frac{Z_j^2 n_j}{Z_{\text{eff}} n_e} \hat{u}_{j\theta} \right) \end{aligned}$$

# Derive shielding factor

This equation expresses the parallel electron flow driven mainly by the parallel beam-ion flow due to NBI, or in other words **the beam driven electron flow**.

Substituting it into the parallel current  $\langle B j_{\parallel} \rangle = \sum_{k=e,j,b} Z_k |e| n_k \langle B u_{\parallel k} \rangle$  yields the beam driven component of the parallel current, namely, **the beam driven current** as follows:

$$\langle B j_b \rangle = Z_b |e| n_b \langle B u_{\parallel b} \rangle \left[ 1 + \gamma \frac{Z_b}{Z_{\text{eff}}} + \frac{\sum_j Z_j^2 n_j \langle B^2 \rangle \hat{u}_{j\theta}}{Z_b n_b \langle B u_{\parallel b} \rangle} \left( \frac{\gamma}{Z_{\text{eff}}} + \frac{\sum_j Z_j n_j \hat{u}_{j\theta}}{\sum_j Z_j^2 n_j \hat{u}_{j\theta}} \right) \right]$$

It would be found that  $(1+\gamma)$  is equivalent to the bootstrap current coefficient  $L_{31}^e$ , i.e.

$$1 + \gamma = L_{31}^e = \frac{(\hat{\mu}_3 - \ell_{22}^{ee})\hat{\mu}_1^e - (\hat{\mu}_2^e + \ell_{12}^{ee})\hat{\mu}_2^e}{(\hat{\mu}_3^e - \ell_{22}^e)(\hat{\mu}_1^e - \ell_{11}^{ee}) - (\hat{\mu}_2^e + \ell_{12}^{ee})^2}$$

*This fact has already been found by Lin-Liu and Hinton [PoP 1997] in a different manner.*

Thus, we finally have

**Shielding factor**

$$\Gamma \equiv \frac{\langle B j_b \rangle}{Z_b |e| n_b \langle B u_{\parallel b} \rangle} = 1 - \frac{Z_b}{Z_{\text{eff}}} + \left( \frac{Z_b}{Z_{\text{eff}}} + \frac{n_e \langle B^2 \rangle \hat{u}_{i\theta}}{Z_b n_b \langle B u_{\parallel b} \rangle} \right) L_{31}^e$$

Add  
 $\langle B R_{eb} \rangle$  &  $\langle B H_{eb} \rangle$

solely  
→

$Z_b/Z_{\text{eff}}$  term

→

Any other term is  
purely neoclassical!

# Matrix Inversion proposes two kinds of shielding factor models.



The ion poloidal flow with the order of  $\mathcal{O}(m_e/m_i)^{1/2}$  is smaller than the parallel beam-ion flow, and thus the second term in the brackets is negligible [H&S NF 1981].

## MI-S analytic model

$$\Gamma = 1 - \frac{Z_b}{Z_{\text{eff}}} (1 - L_{31}^e) = 1 - \underbrace{\frac{Z_b}{Z_{\text{eff}}}}_F \left[ 1 - \underbrace{\frac{(\hat{\mu}_3 - \ell_{22}^{ee})\hat{\mu}_1^e - (\hat{\mu}_2^e + \ell_{12}^{ee})\hat{\mu}_2^e}{(\hat{\mu}_3^e - \ell_{22}^e)(\hat{\mu}_1^e - \ell_{11}^{ee}) - (\hat{\mu}_2^e + \ell_{12}^{ee})^2}}_{G=L_{31}} \right]$$

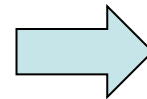
The Lin-Liu model [PoP 1997] exploits the analytical expressions of the viscosities valid solely for the banana regime from [Hirshman NF 1988].

*In contrast, MI-S is capable of estimating the collisionality-dependent viscosities valid for all collisionality regime, because it solves the momentum and heat balance equations to obtain the parallel flows for each species including beam ions.*

More fundamentally, using MI-S gives us the fast-ion circulating current and the beam driven current as follows:

## MI-S model

$$\begin{aligned} \langle B j_{\parallel f} \rangle &= Z_b |e| n_b [(\vec{M} - \vec{L})^{-1}]_{bb} S_{\parallel b}, \\ \langle B j_b \rangle &= \sum_{k=e,j,b} Z_k |e| n_k [(\vec{M} - \vec{L})^{-1}]_{kb} S_{\parallel b}, \end{aligned}$$



$$\Gamma \equiv \frac{\langle B j_b \rangle}{\langle B j_{\parallel f} \rangle} = \frac{\sum_{k=e,j,b} Z_k n_k [(\vec{M} - \vec{L})^{-1}]_{kb}}{Z_b n_b [(\vec{M} - \vec{L})^{-1}]_{bb}}.$$

*Even in the banana limit, the MI-S model can be adopted by introducing the banana viscosities solely.*

# Yet another shielding factor model with collisionality dependence



We could readily obtain the collisionality dependent  $\Gamma$  factor using the analytical expression if we had a simple, accurate expression of the viscosities valid over the whole collisionality domain.

*The problem is that we never knew such expressions!*

However...

By looking at the  $L_{31}$  coef. that is essentially equivalent to the  $G$  factor, we find that a set of formulae for calculating the bootstrap current proposed by Sauter [PoP 1999] includes the collisionality dependent  $L_{31}$  coefficient as follows:

**Fitted  $L_{31}$   
model**

$$L_{31} = F_{31}(X = f_{\text{teff}}^{31}) = \left(1 + \frac{1.4}{Z+1}\right) X - \frac{1.9}{Z+1} X^2 + \frac{0.3}{Z+1} X^3 + \frac{0.2}{Z+1} X^4,$$

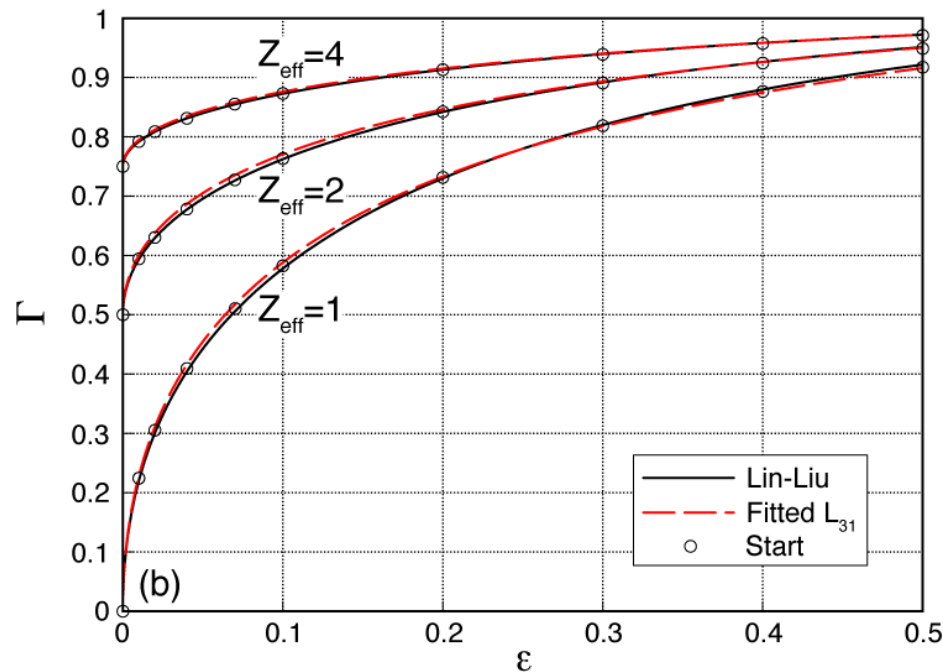
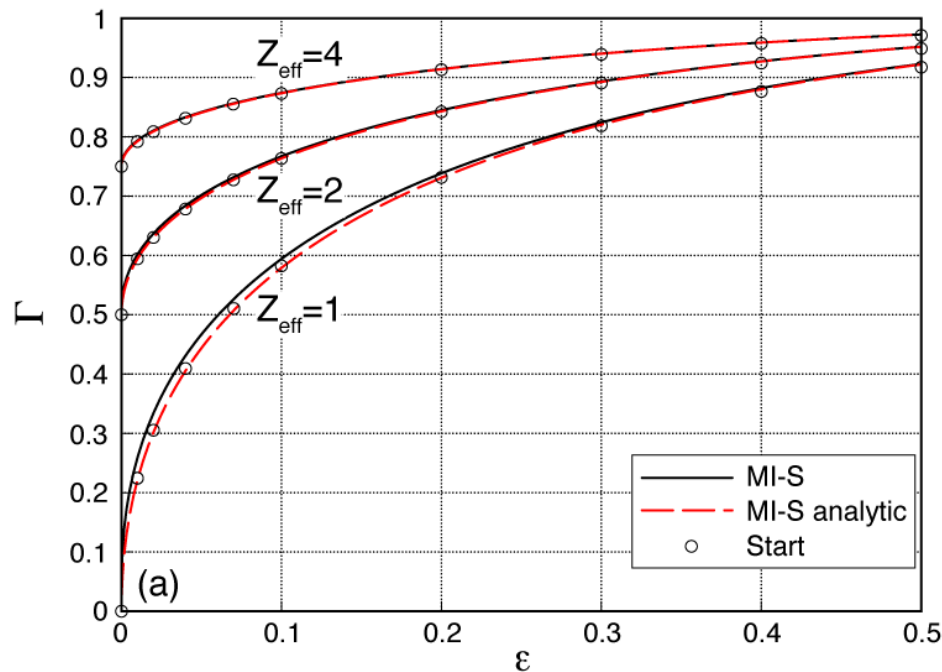
$$f_{\text{teff}}^{31}(\nu_{*e}) = \frac{f_t}{1 + (1 - 0.1f_t)\nu_{*e}^{1/2} + 0.5(1 - f_t)\nu_{*e}Z^{-1}},$$

The model is based on numerical results of a code CQLP, solving the Fokker-Planck equation with the full, linearized collision operator.

This  $L_{31}$  clearly includes the collisionality dependence solely through  $f_{\text{teff}}^{31}$ .

# Comparison of the collisionless shielding factor models

$v_{*e} \rightarrow 0$ ,  $Z_b = 1$ , nearly concentric circular equilibrium,  $f_t = 1.46\epsilon^{1/2} - 0.46\epsilon^{3/2}$



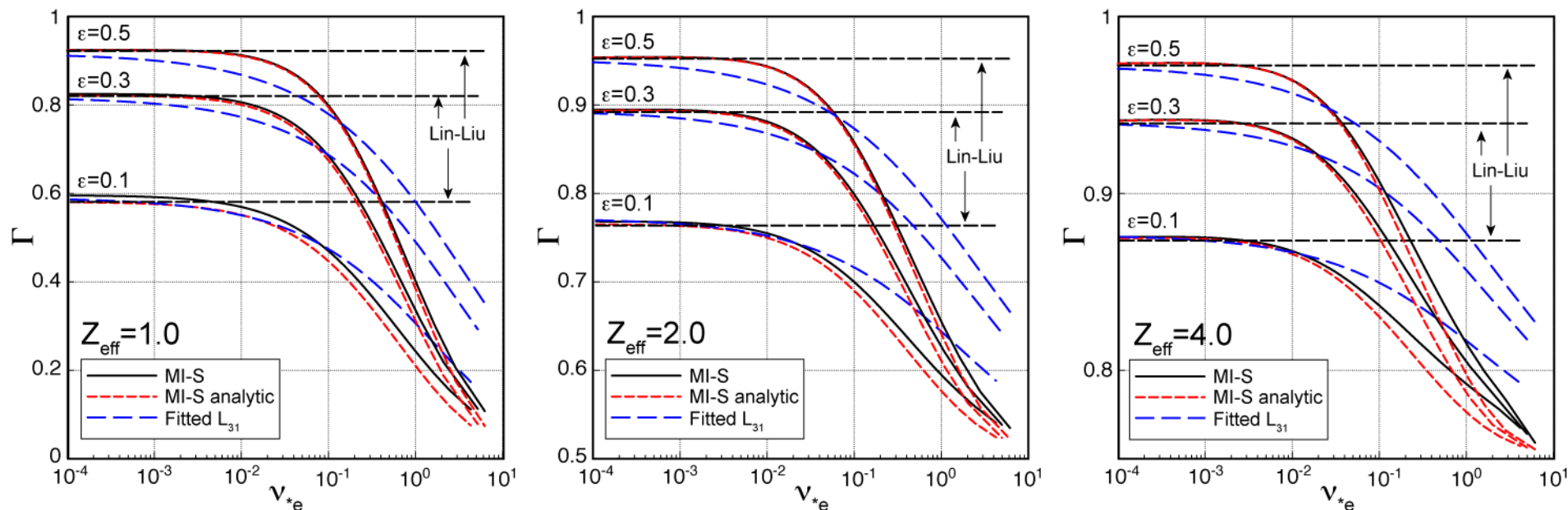
## Comparison of the MI-S, MI-S analytic, Lin-Liu and fitted $L_{31}$ models against Start and Cordey model

- Very good agreement among all
- $\Gamma$  increases as  $\epsilon$  or  $Z_{\text{eff}}$  increases.
  - ✓ This tendency coaxes us into employing off-axis NBI in order to maximize the NBCD.
- Even in a pure plasma ( $Z_{\text{eff}} = 1$ ), we have finite NBCD due to the trapped electron effect ( $G$ ).
- Slight deviation of the MI-S model seems to be due to effects of ions.



# Collisionality dependence of shielding factor models

$v_{*e} \rightarrow 0$ ,  $Z_b = 1$ , nearly concentric circular equilibrium,  $f_t = 1.46\epsilon^{1/2} - 0.46\epsilon^{3/2}$



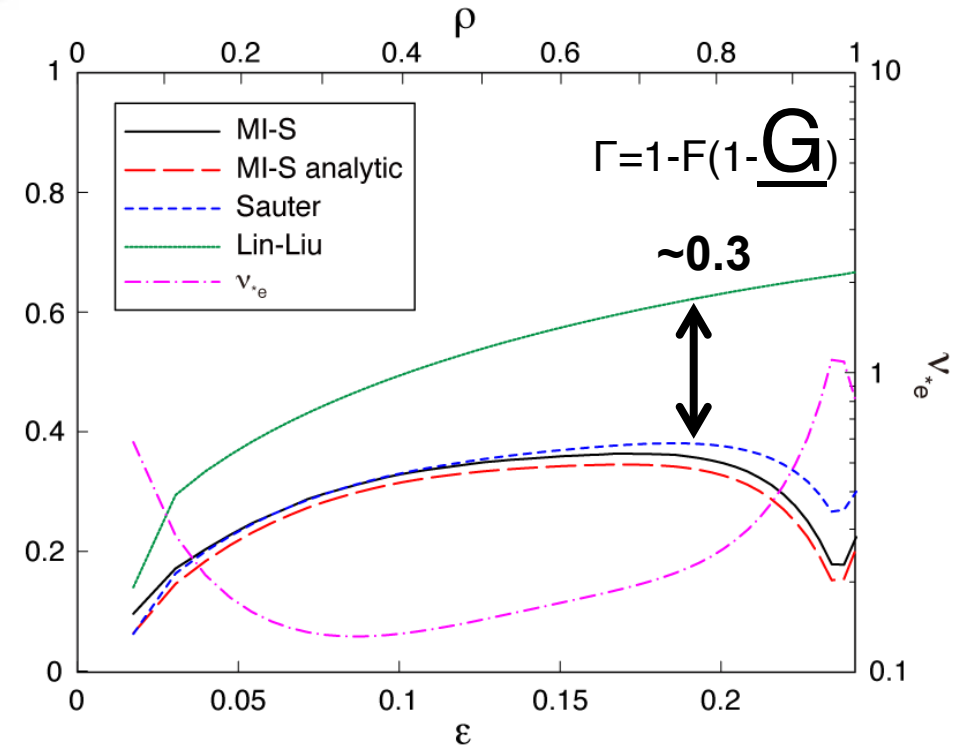
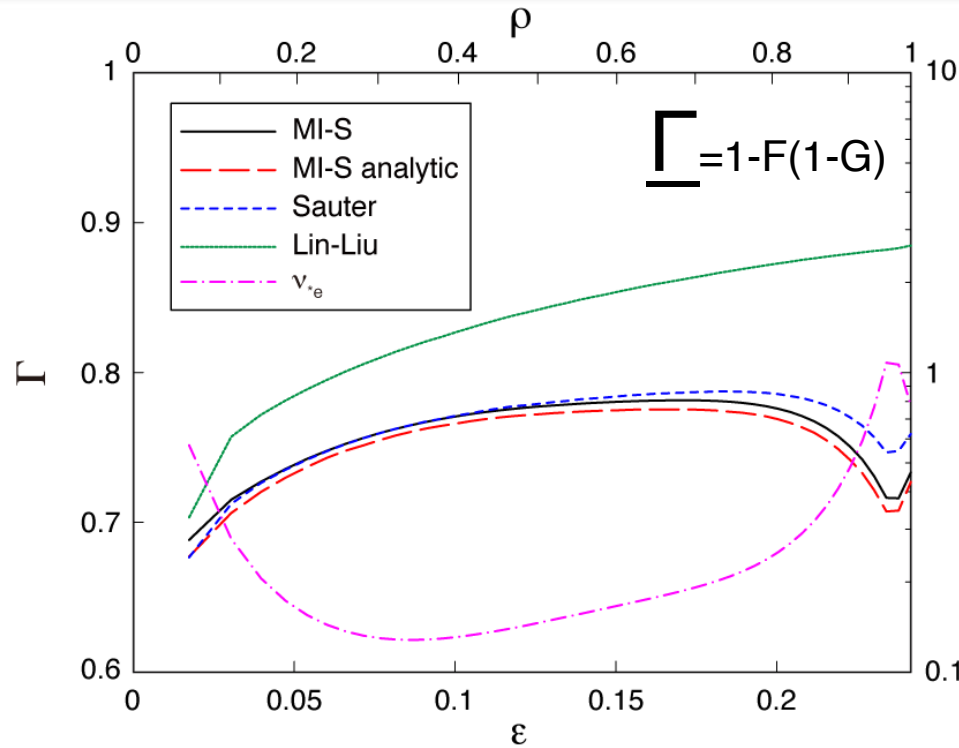
Examine the coll. dependence of the MI-S, MI-S analytic and fitted  $L_{31}$  models

- $\Gamma$  certainly converges to its collisionless value as  $v_{*e} \rightarrow 0$ .
- $\Gamma$  decreases as  $v_{*e}$  increases, especially for low  $Z_{\text{eff}}$  cases.
  - ✓ Irrespective of collisionality, the range of  $\Gamma$  becomes narrower as  $Z_{\text{eff}}$  increases.
- There appears some difference in the dependence of  $\Gamma$ .
  - ✓ The MI-S models predict that  $\Gamma$  is almost independent of  $v_{*e}$  up to  $v_{*e} \approx 10^{-2}$ .

**Using collisionless models does always overestimate a driven current!**

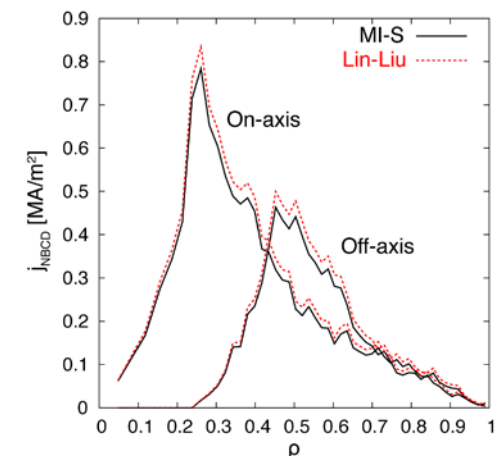
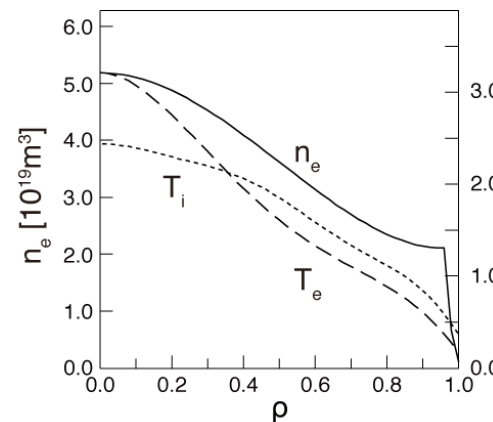
# JT-60U #45687 t=12.5s

Courtesy of H. Urano



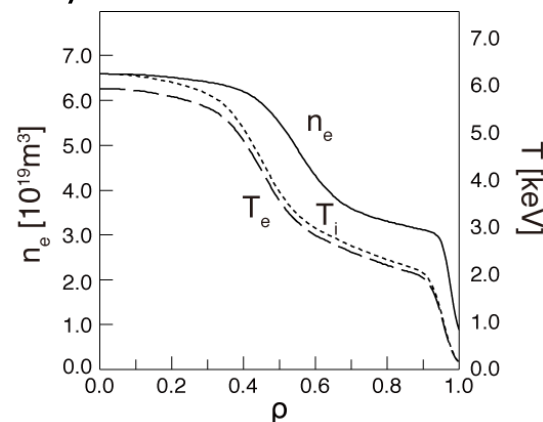
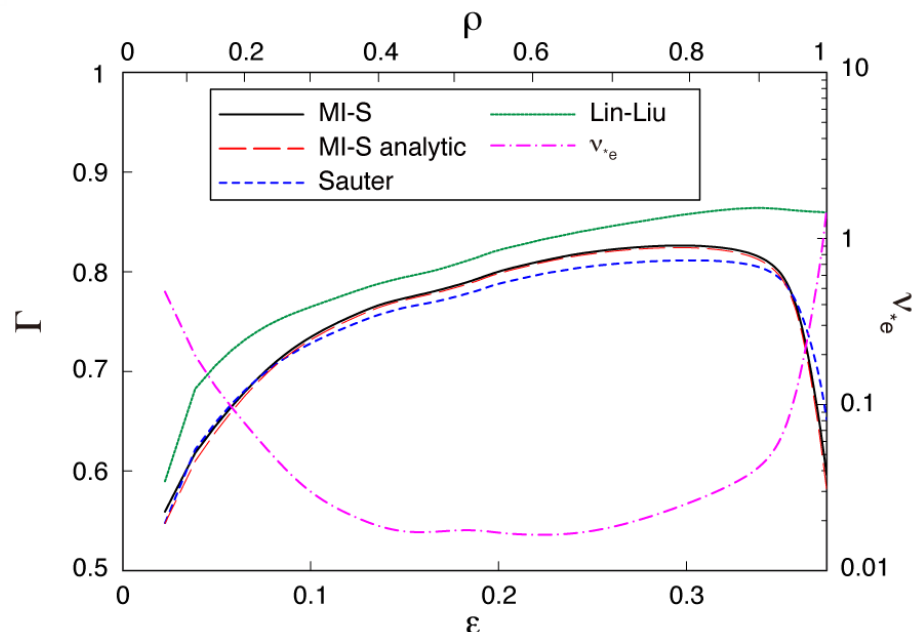
$R=3.19\text{ m}$ ,  $a=0.781\text{ m}$ ,  $B_T=2.71\text{ T}$ ,  $I_p=1.05\text{ MA}$ ,  $Z_{\text{eff}}=2.84$ ,  $\langle n_e \rangle = 2.90 \times 10^{19}\text{ m}^{-3}$   
 4 P-NBI of 85keV: bal-perp 3.94 MW, co-tang onax 1.9 MW, co-tang offax 1.84 MW

model	tot [kA]	%	onax [kA]	offax [kA]
MI-S	82.9	91.7	47.2	36.9
MI-S analytic	82.3	91.0	46.9	36.6
Sauter	83.3	92.1	47.4	37.1
Lin-Liu	90.4	100	51.3	40.4



# JT-60SA LSN SS 2.3MA

Courtesy of S. Ide



model	[MA]	%
MI-S	0.529	96.5
MI-S analytic	0.528	96.3
Sauter	0.523	95.4
Lin-Liu	0.548	100

$R=2.95\text{ m}$ ,  $a=1.12\text{ m}$ ,  $B_T=1.72\text{ T}$ ,  $I_p=2.3\text{ MA}$

$Z_{\text{eff}}=2.0$ ,  $\langle n_e \rangle = 3.03 \times 10^{19}\text{ m}^{-3}$

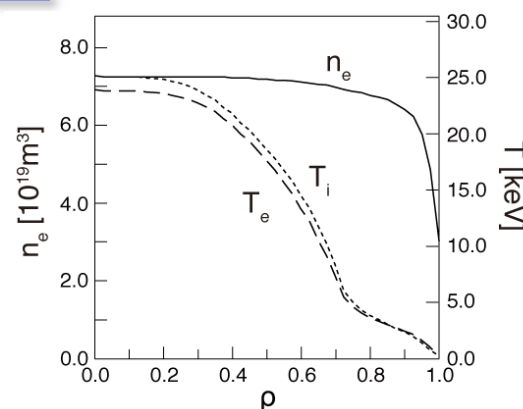
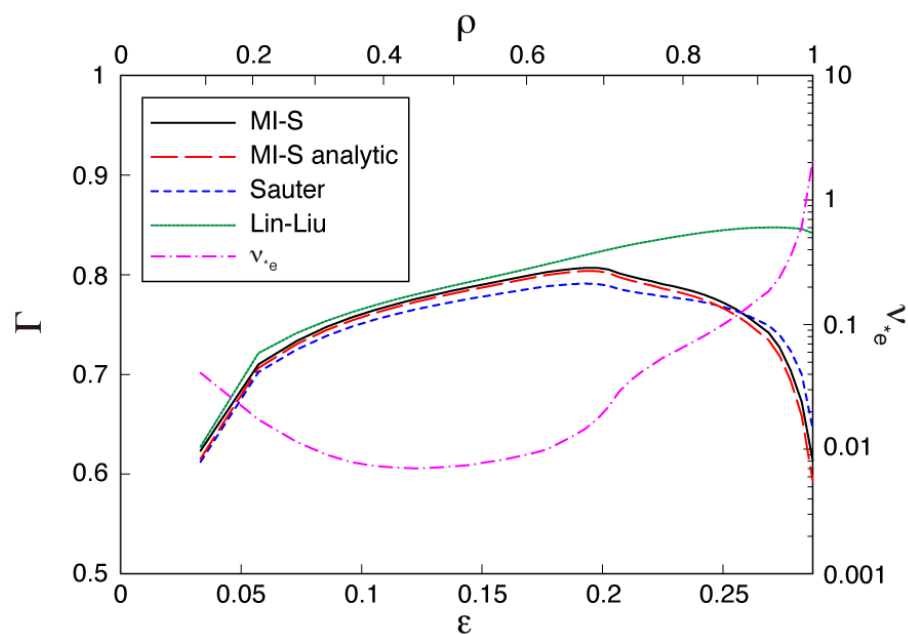
2 N-NBI of 500keV: co-tang 10 MW

12 P-NBI of 85keV: bal. 20 MW

# ITER 9MA SS DT scenario§

Courtesy of T. Oikawa

§A R Polevoi et al 2002 Proc. 19<sup>th</sup> IAEA FEC (Lyon) CT/P-08



model	[MA]	%
MI-S	1.75	99.4
MI-S analytic	1.74	98.9
Sauter	1.72	97.7
Lin-Liu	1.76	100

$R=6.35\text{ m}$ ,  $a=1.85\text{ m}$ ,  $B_T=5.3\text{ T}$ ,  $I_p=9\text{ MA}$

$Z_{\text{eff}}=2.17$ ,  $\langle n_e \rangle = 6.74 \times 10^{19}\text{ m}^{-3}$

1 N-NBI of 1MeV: co-tang 33 MW

# Conclusions

**The collisionality dependence of the NBCD shielding factor has been investigated.**

- ✓ The MI-S models newly proposed can not only reproduce the collisionless shielding factor  $\Gamma$  but also estimate the collisionality dependent  $\Gamma$ .
  - The MI-S model is the only one that can incorporate effects of ions self-consistently.
  - The choice of a set of friction coefs. will alter results.
- ✓ It is found that the Sauter BS current model can be used for estimating the collisionality dependent  $\Gamma$  as a simple, analytic formula.
- ✓ **Collisionality always acts as decreasing the G factor and the resultant  $\Gamma$ .**
  - The increase in  $\epsilon$  and the decrease in  $Z_{\text{eff}}$  enhance this tendency.
- ✓ **It is subsequently expected that this effect is emphasized when an off-axis NBI is employed rather than an on-axis NBI.**
- ✓ **The collisionality effect must be included in any shielding factor models, an effect which can partly fill the gap between expts. and calculations.**
  - It would become prominent in current experiments rather than future burning plasmas that have lower collisionality.





# Additional materials

# Basic formulae and coefs. for neoclassical parallel transport theory



mom balance eqs.

$$\begin{aligned}\langle B \cdot \nabla \cdot \vec{\Pi}_e \rangle &= \langle BR_{ei} \rangle + \langle BR_{eb} \rangle, \\ \langle B \cdot \nabla \cdot \vec{\Theta}_e \rangle &= \langle BH_{ei} \rangle + \langle BH_{eb} \rangle,\end{aligned}$$

where

neoclassical  
viscous stress

$$\begin{aligned}\langle B \cdot \nabla \cdot \vec{\Pi}_j \rangle &= \langle B^2 \rangle \left( \hat{\mu}_1^j \hat{u}_{j\theta} + \hat{\mu}_2^j \frac{2\hat{q}_{j\theta}}{5p_j} \right), \\ \langle B \cdot \nabla \cdot \vec{\Theta}_j \rangle &= \langle B^2 \rangle \left( \hat{\mu}_2^j \hat{u}_{j\theta} + \hat{\mu}_3^j \frac{2\hat{q}_{j\theta}}{5p_j} \right),\end{aligned}$$

parallel flows

$$\begin{aligned}\langle Bu_{\parallel j} \rangle &= \langle BV_{1j} \rangle + \hat{u}_{j\theta} \langle B^2 \rangle, \\ \frac{2}{5} \frac{\langle Bq_{\parallel j} \rangle}{p_j} &= \langle BV_{2j} \rangle + \frac{2\hat{q}_{j\theta}}{5p_j} \langle B^2 \rangle,\end{aligned}$$

$$\begin{aligned}R_{eb} &= (m_e Z_b^2 / \tau_{ee}) n_b u_{\parallel b} \\ H_{eb} &= -(3/2) R_{eb}\end{aligned}$$

friction forces

$$\begin{pmatrix} \langle BR_{ei} \rangle \\ \langle BH_{ei} \rangle \end{pmatrix} = \begin{pmatrix} \ell_{11}^{ee} & -\ell_{12}^{ee} \\ -\ell_{21}^{ee} & \ell_{22}^{ee} \end{pmatrix} \begin{pmatrix} \langle Bu_{\parallel e} \rangle \\ \frac{2\langle Bq_{\parallel e} \rangle}{5p_e} \end{pmatrix} + \sum_j \begin{pmatrix} \ell_{11}^{ej} & -\ell_{12}^{ej} \\ -\ell_{21}^{ej} & \ell_{22}^{ej} \end{pmatrix} \begin{pmatrix} \langle Bu_{\parallel j} \rangle \\ \frac{2\langle Bq_{\parallel j} \rangle}{5p_j} \end{pmatrix}$$

approx.  
friction  
coefs.

$$\ell_{11}^{ee} = -\frac{m_e n_e}{\tau_{ee}} Z_{\text{eff}},$$

$$\ell_{11}^{ej} = \frac{m_e n_e}{\tau_{ee}} \frac{Z_j^2 n_j}{n_e} = -\ell_{11}^{ee} \frac{Z_j^2 n_j}{Z_{\text{eff}} n_e},$$

$$\ell_{12}^{ee} = \ell_{21}^{ee} = \frac{3}{2} \ell_{11}^{ee},$$

$$\ell_{12}^{ej} = 0,$$

$$\ell_{21}^{ej} = \frac{3}{2} \ell_{11}^{ej},$$

$$\ell_{22}^{ee} = -\frac{m_e n_e}{\tau_{ee}} \left( \sqrt{2} + \frac{13}{4} Z_{\text{eff}} \right) = \frac{\ell_{11}^{ee}}{Z_{\text{eff}}} \left( \sqrt{2} + \frac{13}{4} Z_{\text{eff}} \right),$$

$$\ell_{22}^{ej} = 0.$$

# Shaing's neoclassical viscosity model



$$\begin{Bmatrix} \hat{\mu}_1 \\ \hat{\mu}_2 \\ \hat{\mu}_3 \end{Bmatrix} = \frac{8}{3\pi^{1/2}} \int_0^\infty dx x^4 \exp(-x^2) \begin{Bmatrix} 1 \\ (x^2 - \frac{5}{2}) \\ (x^2 - \frac{5}{2})^2 \end{Bmatrix} \frac{K_B K_{PS}}{K_B + K_{PS}},$$

where

$$K_B \equiv g \frac{\nu_D}{S^{3/2}},$$

$$K_{PS} \equiv \frac{3}{2} v_T^2 x^2 \sum_{m=1}^{\infty} F_m \frac{\nu_T I_R^m}{\nu_T},$$

$$F_m \equiv \frac{2}{\langle B^2 \rangle \langle \mathbf{B} \cdot \nabla \theta \rangle} [\langle (\sin m\Theta)(\mathbf{n} \cdot \nabla B) \rangle \langle (\sin m\Theta)(\mathbf{B} \cdot \nabla \Theta)(\mathbf{n} \cdot \nabla B) \rangle + \langle (\cos m\Theta)(\mathbf{n} \cdot \nabla B) \rangle \langle (\cos m\Theta)(\mathbf{B} \cdot \nabla \Theta)(\mathbf{n} \cdot \nabla B) \rangle],$$

$$\nu_T I_R^m \equiv -\frac{3}{2} \left( \frac{\nu_T}{\omega_m} \right)^2 - \frac{9}{2} \left( \frac{\nu_T}{\omega_m} \right)^4 + \left\{ \frac{1}{4} + \left[ \frac{3}{2} + \frac{9}{4} \left( \frac{\nu_T}{\omega_m} \right)^2 \right] \left( \frac{\nu_T}{\omega_m} \right)^2 \right\} \frac{2\nu_T}{\omega_m} \tan^{-1} \left( \frac{\omega_m}{\nu_T} \right),$$

$$\omega_m \equiv x v_T (m \mathbf{n} \cdot \nabla \Theta),$$

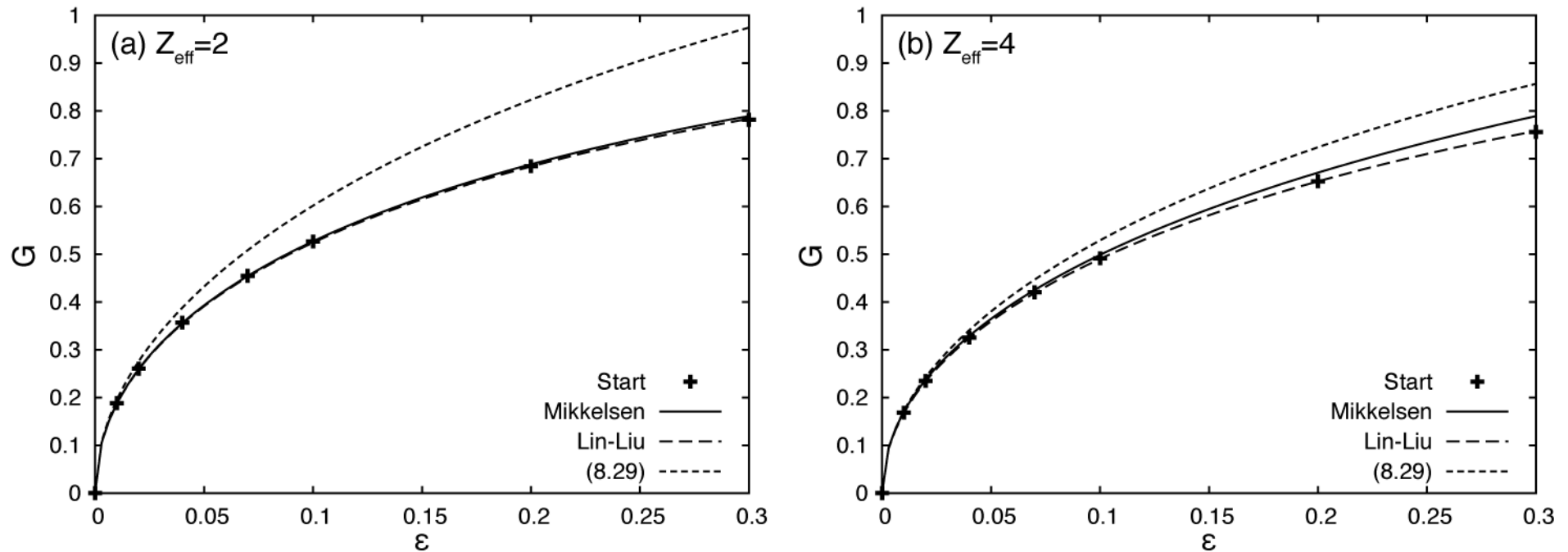
$$\Theta(l_p) \equiv \gamma \int_0^{l_p} dl'_p \frac{B}{B_p},$$

$$\gamma = 2\pi \left( \int_0^{L_p} dl'_p \frac{B}{B_p} \right)^{-1}.$$

The original moment approach uses the energy-space partitioning method to express the neoclassical viscosities.

Shaing proposed more appropriate analytic expression for viscosities in finite aspect ratio, which can reproduce all the asymptotic collisionality limits.

# Comparison of the existing collisionless shielding factor models



$Z_b=1$ , the model magnetic field  $B \cong B_\phi = B_0 / (1 + \epsilon \cos \theta)$ ,  $f_t = 1.46\epsilon^{1/2} - 0.46\epsilon^{3/2}$

- Start and Cordey model [PoF 1980]
- Mikkelsen and Singer model [Nucl. Technol./Fusion 1983]
  - Fitted formula of the tabulated values of Start and Cordey
- Lin-Liu and Hinton model [PoP 1987]
- Eq. (8.29) in the review paper of Hirshman and Sigmar [NF 1981]



# Possible reasons that produce the discrepancy between the MI-S and fitted $L_{31}$ models



- ① Different approaches to obtain the shielding factor
- ②  $Z=Z_{\text{eff}}$  assumption exploited in the fitted  $L_{31}$  model may not be appropriate for some cases. This was pointed out in the original paper [Sauter PoP 1999].
- ③ The fitted  $L_{31}$  formula is a numerically-fitted function of simply  $v_{*e}$ ,  $f_t$  and  $Z$  and the applicability of the formula is not explicitly specified in the paper. When considering the collisional regime where  $v_{*e} \gg \epsilon^{-3/2}$ , to leading order we have

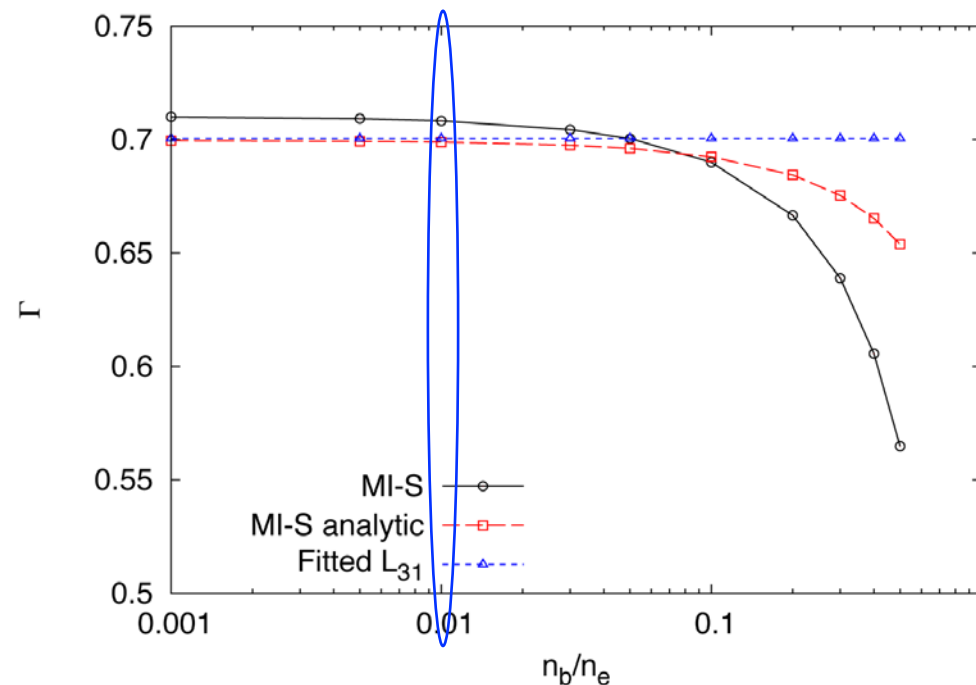
$$L_{31} \sim Zg v_{*e}^{-1} = Z \frac{f_t}{f_c} v_{*e}^{-1}.$$

For the moment approach,  $g$  does not participate in any transport coefs. in the collisional regime, because trapped particles no longer exist due to frequent collisions in this regime.

- ④ CQLP code uses the full, linearized collision operator, while in the collisional regime MI-S adopts the Shaing's viscosity model that was derived from a linearized DK eq. with a Krook operator [PoF B 1990, PoP 1996]. The discrepancy in the operator may cause about 20% error. In the banana regime, it does not matter.

# An advantage of the MI-S model is to be able to include effects of ions.

So far, we have assumed  $n_b/n_e=0.01$  for all simulations.



at  $\varepsilon=0.3$   
 $\nu_{*e} \approx 0.076$

- Using the current expressions of beam friction forces implicitly assumes  $n_b/n_e \ll 1$ .
- It is apparent that the MI-S models includes  $n_i$  and  $n_b$  inside, whereas the fitted  $L_{31}$  model does not.
- As  $n_b/n_e$  increases,  $\Gamma$  gradually decreases.
  - This tendency can be reproduced irrespective of collisionality, even in the collisionless limit.
- This decrease in  $\Gamma$  is due to the decrease in viscosities through the decreases in the  $90^\circ$  deflection frequency.