

# Entropy transfer process and plasma turbulent transport in non-axisymmetric systems

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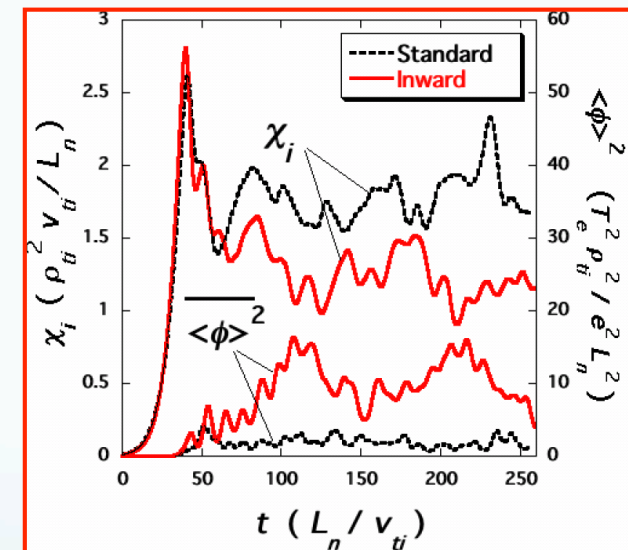
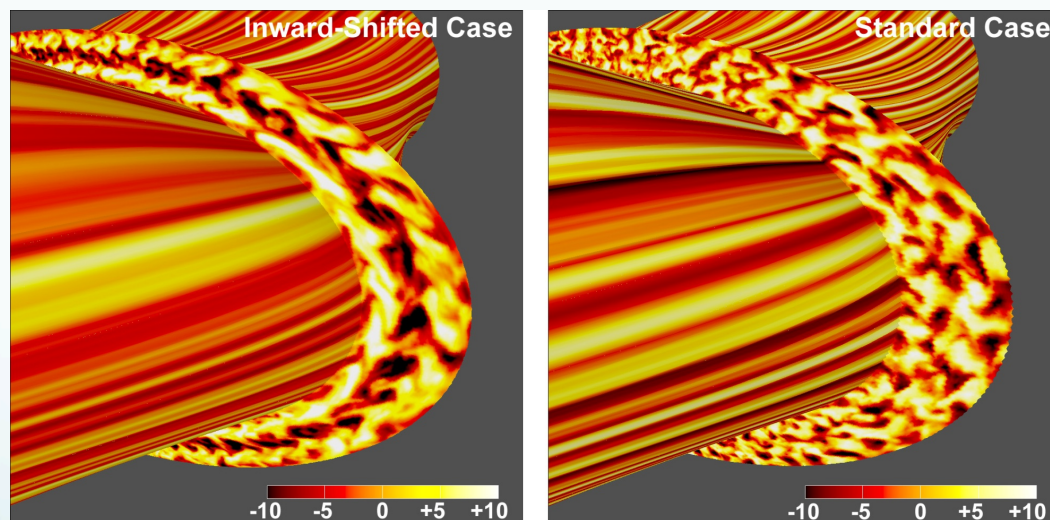
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# Orbit Optimization Leading to Turbulent Transport Reduction

- The enhanced ZFs in the **inward-shifted** LHD plasma regulate the ITG turbulence and transport.

[Watanabe, Sugama, Ferrando-Margalet, PRL 2008]



- Gyrokinetic simulations with **real geometries** of LHD experimental equilibrium condition are demanded !
  - GKV-X simulations (M. Nunami, this conference)

# Entropy Balance and Transfer

- A quadratic functional of  $\delta f$ , that is,  $\delta S$ , is a measure of fluctuation, “entropy variable”

$$\delta S_{i\mathbf{k}_\perp} = \left\langle \int d\mathbf{v} \frac{|\delta f_{i\mathbf{k}_\perp}^{(g)}|^2}{2F_M} \right\rangle$$

- Production rate of  $\delta S$  balances with transport and dissipation
- In kinetic plasma turbulence,  $\delta S$  is transferred in the phase space
  - Generation of fine velocity-space structures
  - Zonal flow and turbulence interactions

$$\begin{aligned} \frac{\partial}{\partial t} (\delta S_{i\mathbf{k}_\perp} + W_{\mathbf{k}_\perp}) \\ = L_{T_i}^{-1} Q_{i\mathbf{k}_\perp} + \tau_{i\mathbf{k}_\perp} + D_{i\mathbf{k}_\perp} \end{aligned}$$

$$Q_{i\mathbf{k}_\perp} = \text{Re} \left\langle v_{ti} \int d\mathbf{v} \delta f_{i\mathbf{k}_\perp}^{(g)} \left( \frac{m_i v_{\parallel}^2 + 2\mu B}{2T_i} \right) i k_y \rho_{ti} \frac{e \delta \psi_{\mathbf{k}_\perp}^*}{T_i} \right\rangle$$

$$D_{i\mathbf{k}_\perp} = \text{Re} \left\langle \int d\mathbf{v} \mathcal{C}[h_{i\mathbf{k}_\perp}] \frac{h_{i\mathbf{k}_\perp}^*}{F_M} \right\rangle$$

# Entropy Transfer Function $T_k$

- Entropy transfer function describes nonlinear ExB interactions among turbulence fluctuations and zonal flows. (Sugama et al. PoP 2009; Nakata et al. PoP 2012)

$$\mathcal{T}_{i\mathbf{k}_\perp} = \sum_{\mathbf{q}_\perp} \sum_{\mathbf{p}_\perp} \delta_{\mathbf{k}_\perp + \mathbf{p}_\perp + \mathbf{q}_\perp, 0} \mathcal{J}_i[\mathbf{k}_\perp | \mathbf{p}_\perp, \mathbf{q}_\perp]$$

$$\mathcal{J}_i[\mathbf{k}_\perp | \mathbf{p}_\perp, \mathbf{q}_\perp] = \left\langle \frac{c}{B} \mathbf{b} \cdot (\mathbf{p}_\perp \times \mathbf{q}_\perp) \int d\mathbf{v} \frac{1}{2F_M} \text{Re}[\delta\psi_{\mathbf{p}_\perp} h_{i\mathbf{q}_\perp} h_{i\mathbf{k}_\perp} - \delta\psi_{\mathbf{q}_\perp} h_{i\mathbf{p}_\perp} h_{i\mathbf{k}_\perp}] \right\rangle$$

$h_k$ : non-adiabatic part of  $\delta f^{(g)}$

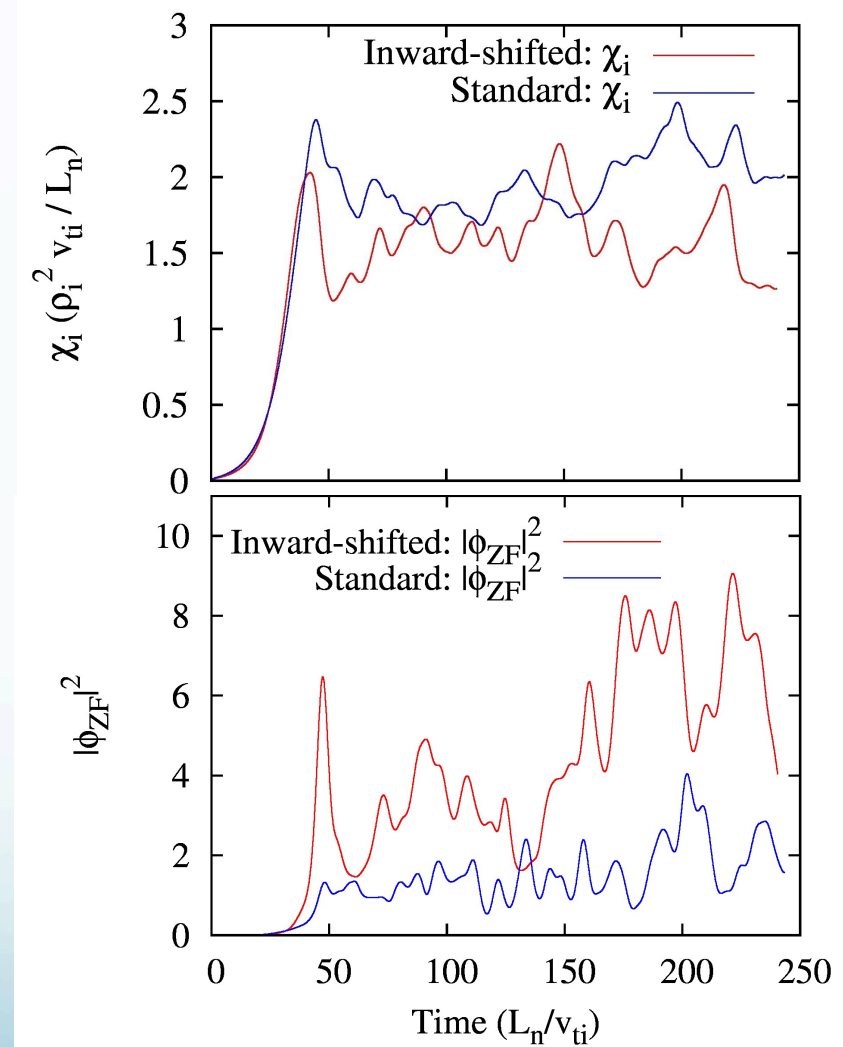
- Detailed balance relation holds for the triad interaction

$$\mathcal{J}_i[\mathbf{k}_\perp | \mathbf{p}_\perp, \mathbf{q}_\perp] + \mathcal{J}_i[\mathbf{p}_\perp | \mathbf{q}_\perp, \mathbf{k}_\perp] + \mathcal{J}_i[\mathbf{q}_\perp | \mathbf{k}_\perp, \mathbf{p}_\perp] = 0$$

[Nakata, Watanabe, and Sugama PoP (2012)]

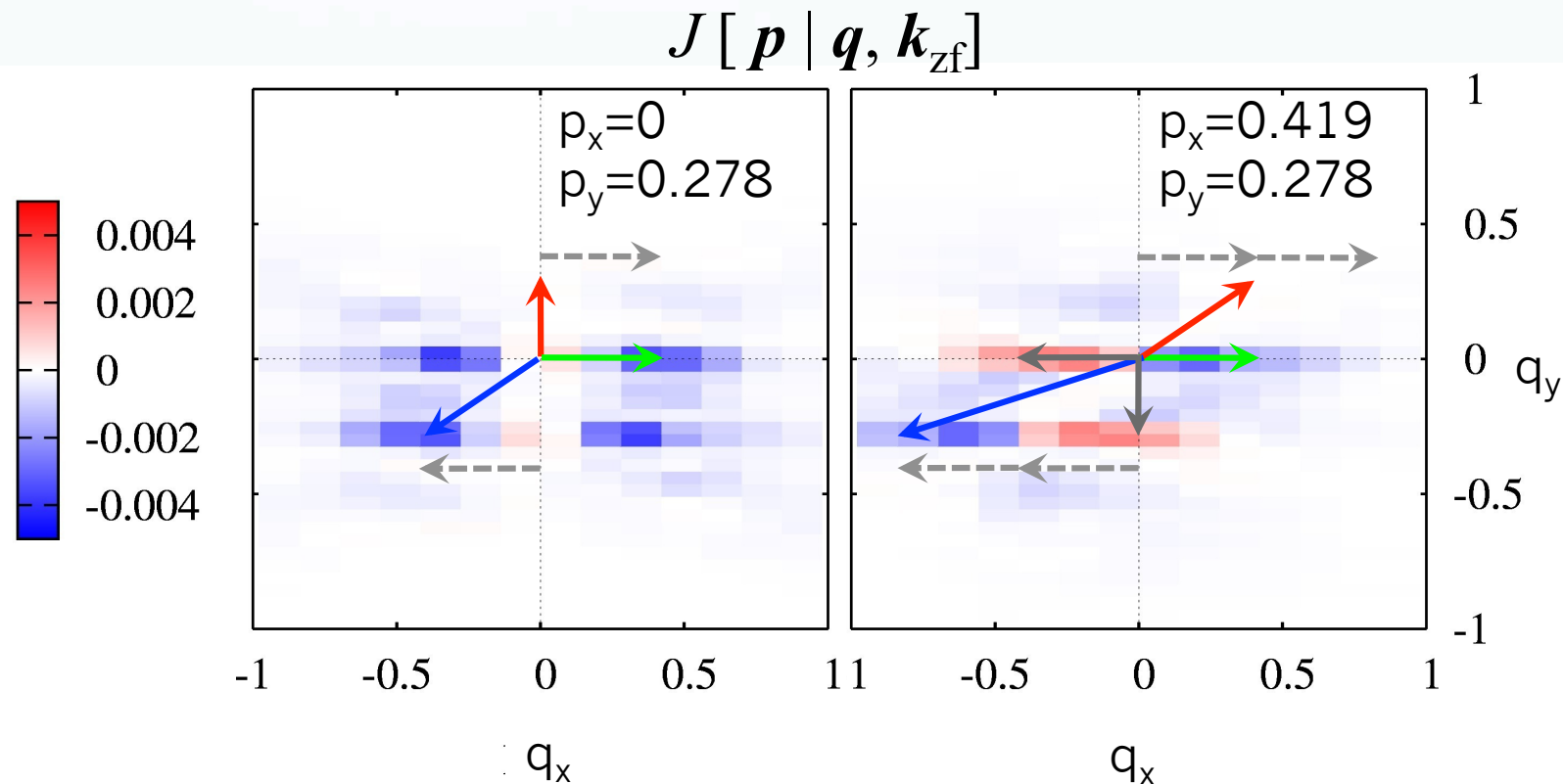
# Entropy Transfer Analysis Applied to Helical Configurations

- ITG turbulent transport simulations with the entropy transfer analysis for model LHD configurations.
- Inward-shifted case
  - Stronger ZFs and lower  $\chi_i$
- Standard case
  - Weaker ZFs and higher  $\chi_i$



# Entropy Transfer Function for Inward-Shifted Case

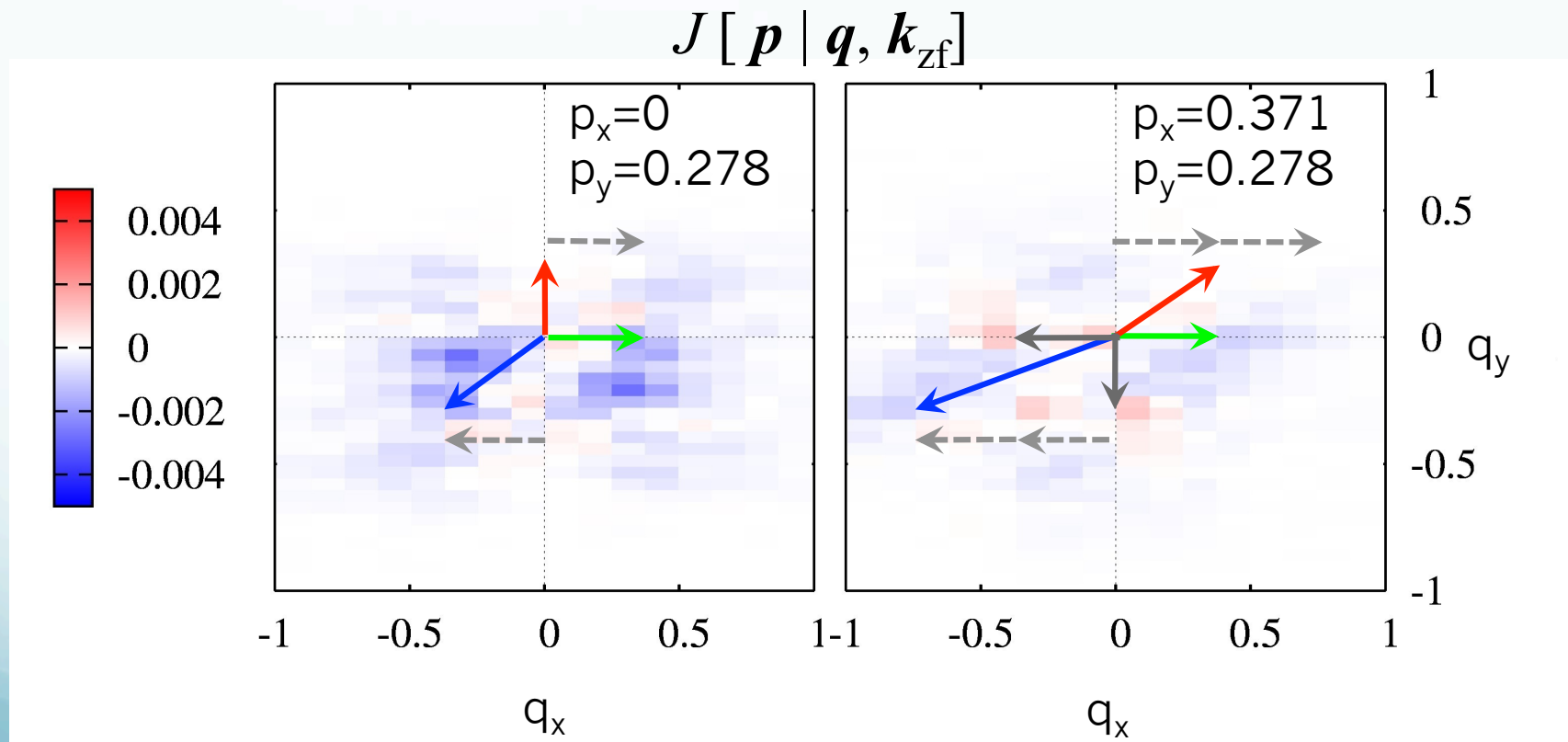
- Strong ZF-turbulence interactions in the inward-shifted case drives the successive entropy transfer.





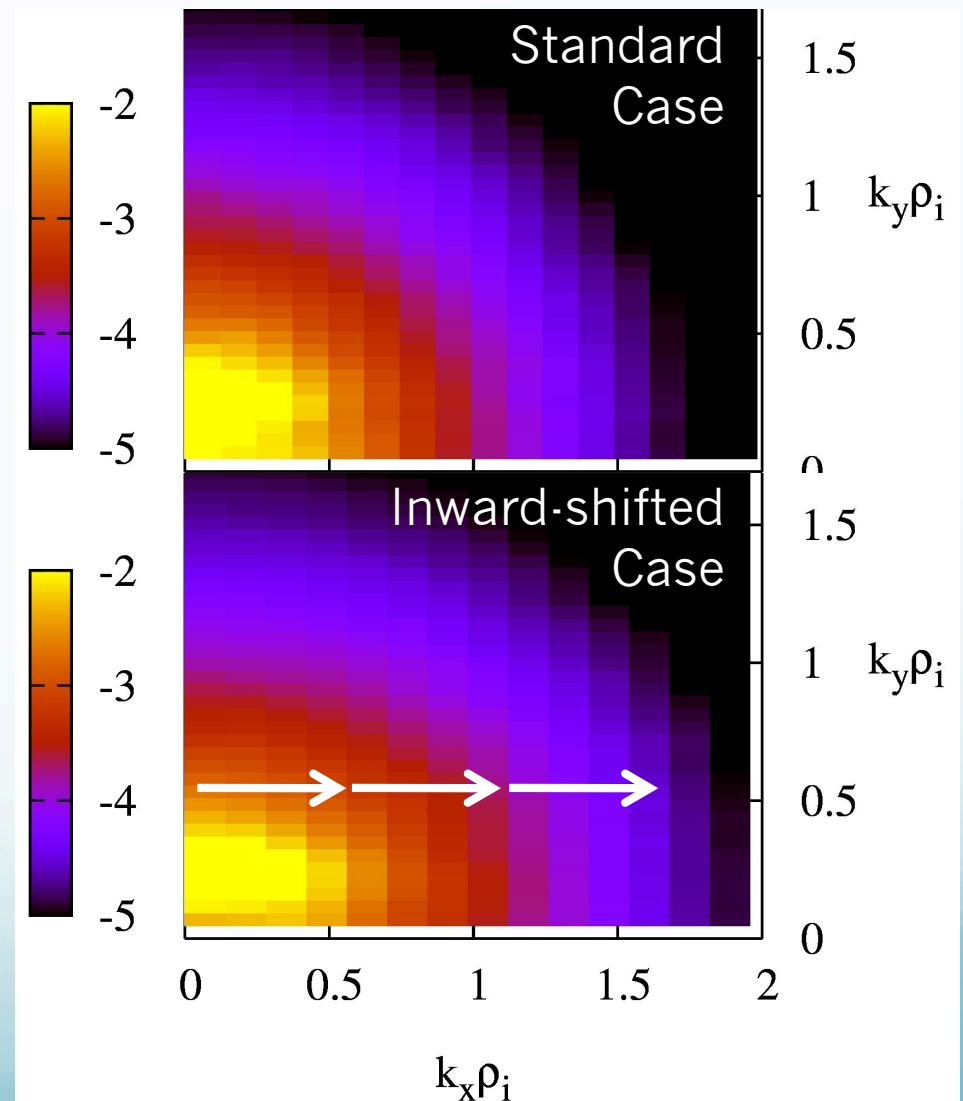
# Entropy Transfer Function for Standard Case

- ZF-turbulence interaction is weaker in the standard case. Successive entropy transfer is not clearly found.



# Spectrum Broadening Through Successive Entropy Transfer

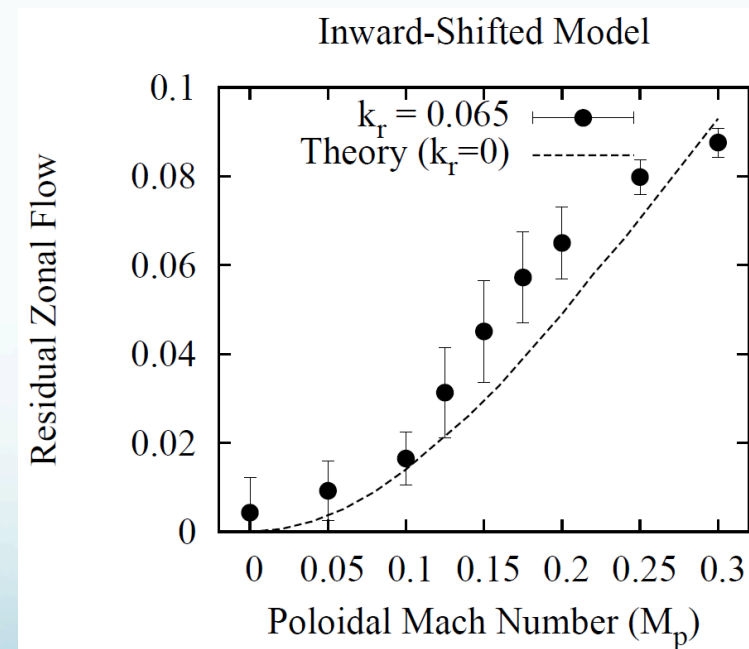
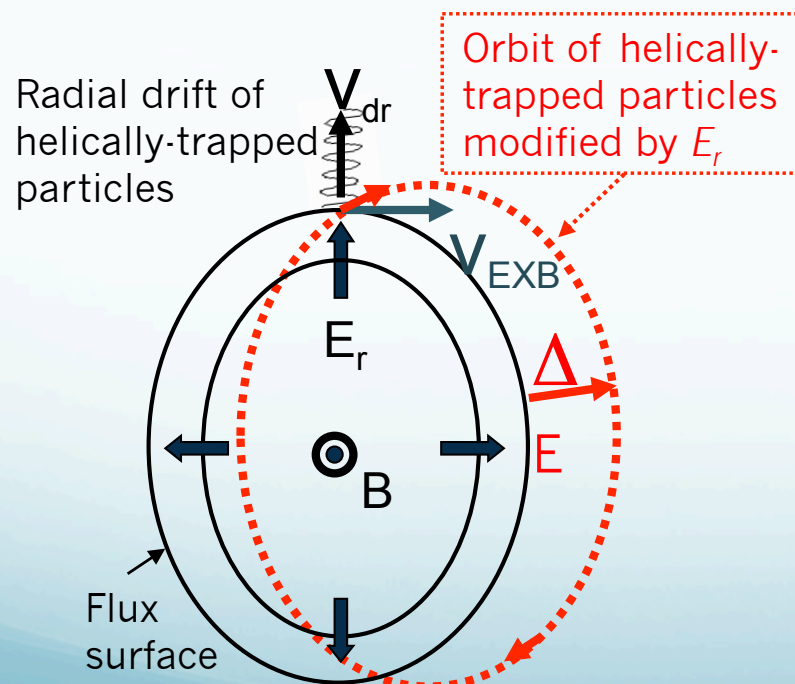
- In the inward-shifted case, the fluctuation spectrum expands into higher- $k_r$  space due to the successive entropy transfer by zonal flows.
- Standard case:  
 $\sigma^2 = \langle k_r^2 \rangle = 0.917$
- Inward-shifted case:  
 $\sigma^2 = \langle k_r^2 \rangle = 0.991$





# Enhancement of Zonal Flow Response by $E_r$

- The equilibrium-scale radial electric field  $E_r$  generated by the neoclassical transport
  - improves collisionless particle orbits, and
  - simultaneously **enhances the ZF response**



[Watanabe et al. NF (2011)]

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# How to couple ZF computation with turbulence simulation?

- In the ZF response calculation with  $E_r$ ,  $\alpha$ -dependence of the confinement field strength  $B$  should be introduced, *i.e.*,

$$\left[ \frac{\partial}{\partial t} + v_{\parallel} \hat{\mathbf{b}} \cdot \nabla + i \mathbf{k}_r \cdot \mathbf{v}_d - \mu \hat{\mathbf{b}} \cdot \nabla \Omega \frac{\partial}{\partial v_{\parallel}} + \omega_{\theta} q \frac{\partial}{\partial \alpha} \right] \delta f = -i \mathbf{k}_r \cdot \mathbf{v}_d \frac{e \langle \psi \rangle}{T_i} F_M$$

$$\alpha = \zeta - q\theta$$

$$\omega_{\theta} = -\frac{cE_r}{r_0 B_0}$$

- A **non-local treatment** in the toroidal direction, because of the  $\alpha$  dependence of operators, such as  $\mathbf{v}_d(\alpha)$  etc.
- Mixture of the typical scale-lengths** of turbulence  $\sim \rho_i$  and non-axisymmetric geometry  $\sim r_0 / Mq$  ( $M$ : toroidal period of  $|B|$ )
- An alternative idea:
  - Scale separation between ZF and turbulence for the field-line label coordinate  $\alpha$**  (while the same scale in the radial direction)
  - Analogy to the “radial scale-separation” that is applied in  $\delta f$ -GK

# Scale Separation of Zonal Flows and Turbulence

- The gyrokinetic equation for the perturbed ion gyrocenter distribution function,  $\delta f$  ( $h$ : non-adiabatic part)

$$\left[ \frac{\partial}{\partial t} + v_{\parallel} \mathbf{b} \cdot \nabla + \mathbf{v}_d \cdot \nabla - \frac{\mu}{m} (\mathbf{b} \cdot \nabla B) \frac{\partial}{\partial v_{\parallel}} \right] \delta f + \frac{c}{B_0} \{ \Phi, \delta f \} = (\mathbf{v}_* - \mathbf{v}_d - v_{\parallel} \mathbf{b}) \cdot \frac{e \nabla \Phi}{T} F_M + C(h)$$

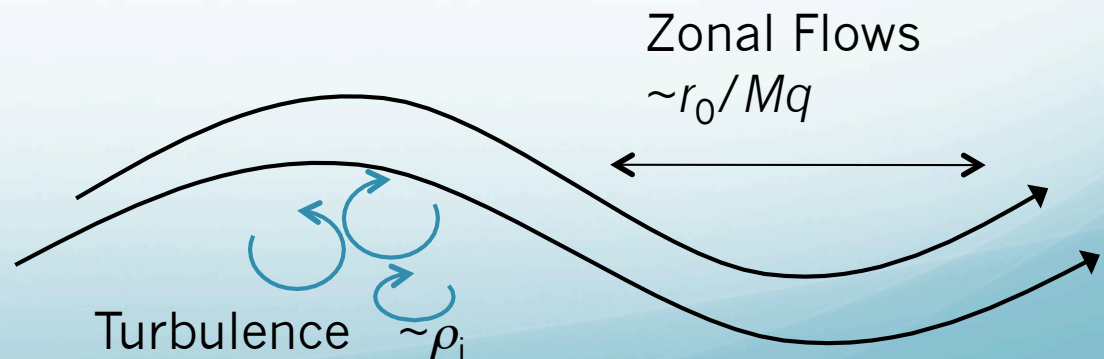
$$\delta f_{\mathbf{k}} = - \frac{e \Phi_{\mathbf{k}}}{T} F_M + h_{\mathbf{k}}$$

- Postulate the spatial dependence on slow ( $\alpha' = -\alpha$ ) and fast ( $y$ ) coordinates

$$\delta f = \hat{f}(x, \alpha', z, v_{\parallel}, \mu) + \tilde{f}(x, y, z, v_{\parallel}, \mu; \alpha') \quad \Phi = \hat{\Phi}(x, \alpha', z, \mu) + \tilde{\Phi}(x, y, z, \mu; \alpha') + \Phi_0$$

- Flux tube coordinates

$$\begin{cases} x = r - r_0 \\ y = (r_0/q_0)(q\theta - \xi) \\ z = \theta \end{cases}$$



# Flux-Tube Bundle Model for Multi-Scale Interactions of $E_r$ , ZFs, and Turbulence

- Zonal flow components with  $\alpha$  dependence (non-axisymmetry)

$$\left[ \frac{\partial}{\partial t} + v_{\parallel} \mathbf{b} \cdot \nabla + v_{dx} \frac{\partial}{\partial x} - \frac{\mu}{m} (\mathbf{b} \cdot \nabla B) \frac{\partial}{\partial v_{\parallel}} - \omega_{\theta} q \frac{\partial}{\partial \alpha'} \right] \hat{f} = \left( -v_{dx} \frac{\partial \hat{\Phi}}{\partial x} - v_{\parallel} \mathbf{b} \cdot \nabla \hat{\Phi} \right) \frac{e}{T} F_M + C(\hat{h}) + S_i^{ZF}$$

- Turbulence components in the  $i$ th flux tube at  $\alpha' = \alpha_i$

$$\left[ \frac{\partial}{\partial t} + v_{\parallel} \mathbf{b} \cdot \nabla + \mathbf{v}_d \cdot \nabla - \frac{\mu}{m} (\mathbf{b} \cdot \nabla B) \frac{\partial}{\partial v_{\parallel}} - \omega_{\theta} r_0 \frac{\partial}{\partial y} \right] \tilde{f} + \frac{c}{B_0} \{ \Phi, \delta f \}_i$$

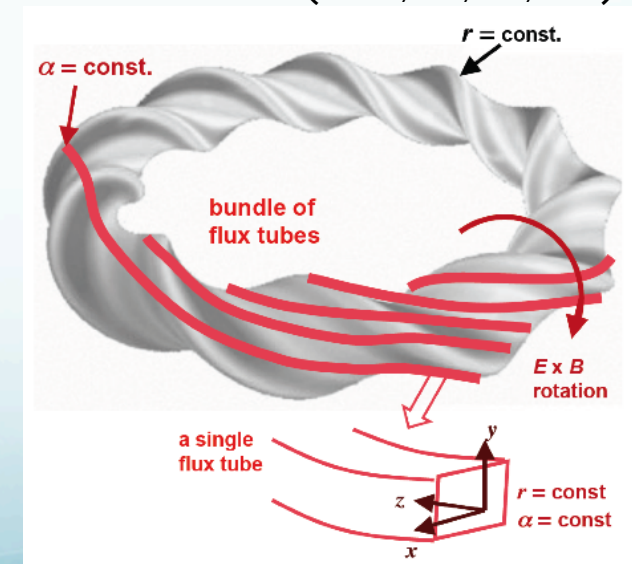
Flux tube at  $\alpha' = \alpha_i$   
( $i=0, 1, 2, \dots$ )

$$= (\mathbf{v}_* - \mathbf{v}_d - v_{\parallel} \mathbf{b}) \frac{e \nabla \Phi}{T} F_M + C(\tilde{h}) - S_i^{ZF}$$

where

$$\frac{c}{B_0} \{ \Phi, \delta f \}_i \Rightarrow \sum_{\mathbf{k}=\mathbf{k}'+\mathbf{k}''} \mathbf{k}' \times \mathbf{k}'' \Phi_{i,\mathbf{k}'} \delta f_{i,\mathbf{k}''} \quad S_i^{ZF} = -\frac{c}{B_0} \{ \Phi, \delta f \}_i$$

$$\delta f_{i,\mathbf{k}} = \begin{cases} \tilde{f}_i & \text{for } k_y \neq 0 \\ \hat{f}(\alpha' = \alpha_i) & \text{for } k_y = 0 \end{cases}$$



# Relationship with Conventional Models

- Linear dispersion of drift waves remains the same as that in the flux tube model, except for the Doppler shift with  $\omega_\theta$
- Zonal flow response enhancement by  $E_r$  can also be reproduced
- Entropy balance relation

Turb.  $\frac{d}{dt} (\delta \tilde{S}_i + \tilde{W}_i) = L_T^{-1} Q_i + \tilde{D}_i - \tau^{ZF}$

ZF  $\frac{\partial}{\partial t} (\delta \hat{S}_i + \hat{W}_i) - \omega_\theta q \frac{\partial}{\partial \alpha'} (\delta \hat{S}_i + \hat{W}_i) = \hat{D}_i + \tau^{ZF}$

Total  $\frac{d}{dt} (\delta S + W) = L_T^{-1} Q + D$

