

19th NEXT Workshop

29 – 30 Aug. 2013, Katsura campus, Kyoto Univ.

Overview of Experimental Research on Energetic-Particle-Driven Modes in 2D and 3D Plasmas

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Outline

- **Motivation and Objectives**
- **Basis of Experiments on EP Driven Instabilities**
- **Recent topics**
- **Summary and Future Prospect**

Motivation and Objectives



Significances of Researches on Energetic Particle-MHD Wave Interactions

Self sustainment of D-T burning plasma



→ Demonstration in ITER (~2026)

DT burning plasma: bulk plasma (~10-20 keV) + minority energetic ions

(0.2-3.5 MeV) in ITER ($n_{\text{hot}} \ll n_{\text{bulk}}$)

Self-organized plasma with less external control nobs

Fusion energy gain

$$Q = P_{\text{fusion}} / P_{\text{heat}} = 5P_{\alpha} / P_{\text{heat}}$$

Alpha heating fraction

$$f_{\alpha} = P_{\alpha} / (P_{\alpha} + P_{\text{heat}}) \\ = Q / (Q + 5)$$

$$Q=1 \quad f_{\alpha} = 17 \%$$

$$Q=5 \quad f_{\alpha} = 50 \%$$

$$Q=10 \quad f_{\alpha} = 67 \% \text{ (ITER)}$$

Parameter ranges in experiments on

Tokamaks : JET, JT-60U, TFTR, DIII-D, AUG, C-Mod, KSTAR, EAST, HL-IIA ...)

Spherical tori (NSTX, MAST)

Helical/Stellarators (LHD, CHS, W7-AS, W7-X)

ITER

$$T_{i,e} = 0.2 - 20 \text{ keV}, \quad B = 0.1 - 10 \text{ T}$$

$$q = 1 - 10; \quad 1 < R/a < 8, \quad E_f = 0.05 - 1 \text{ MeV},$$

$$v_{Ti} \ll v_f \sim v_A \ll v_{Te}, \quad \beta_f \lesssim \beta_{\text{bulk}}$$



DT Burning Plasmas in Tokamak and Stellarator/Helical Reactors

Tokamak type
(2D configuration
+ 3D perturbations)

ITER :

$$\langle \beta_{\alpha} \rangle \approx 0.2\%,$$

$$\beta_{\alpha}(0) \approx 0.7\%$$

$$(T_0 = 20\text{keV}, \\ n_e = 2 \times 10^{20} \text{m}^{-3})$$

$$\tau_s \sim 0.8\text{s}$$

Good α -confinement
Confinement degradation
in high n_e regime
=> High T & low n_e plasma

A. Fasoli et al., Nucl. Fusion (2007)

Stellarator/Helical
type (3D config.
or quasi-symmetric)

ARIES – CS Reactor :

$$\langle \beta_{\alpha} \rangle \sim 0.1\%,$$

$$\beta_{\alpha}(0) \sim 0.4\%$$

$$(T_0 = 10\text{keV}, n_e = 5 \times 10^{20} \text{m}^{-3};$$

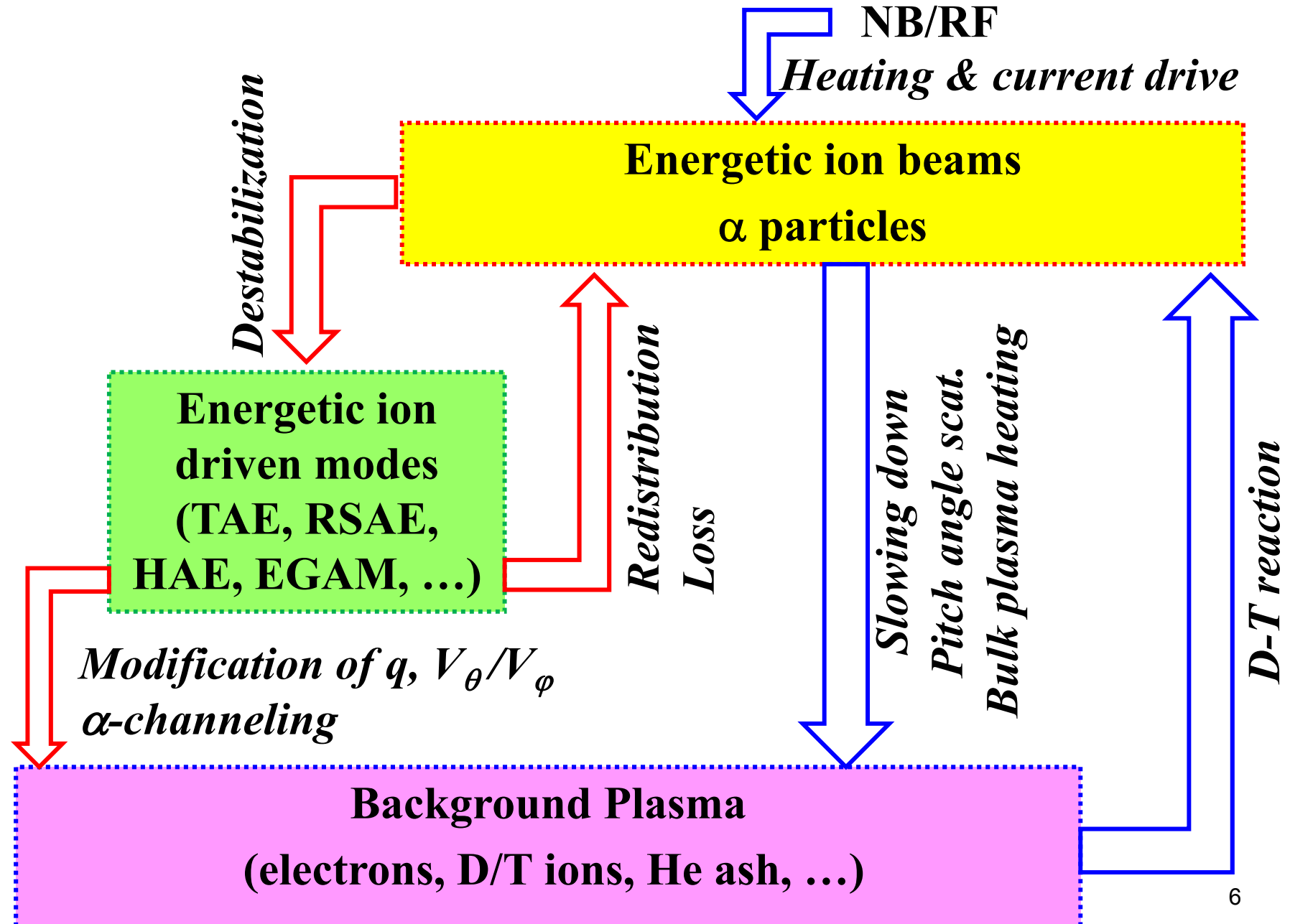
$$\tau_s \leq 0.1\text{s (short!)})$$

Degraded α -confinement
due to field ripple
Good confinement
in high n_e regime
=> Low T & high n_e plasma
=> Reduced $P_{\alpha} \tau_s$

L.P. Ku et al., Fusion Sci. Technol. (2008)



Effects of Energetic Ions in Burning Plasma



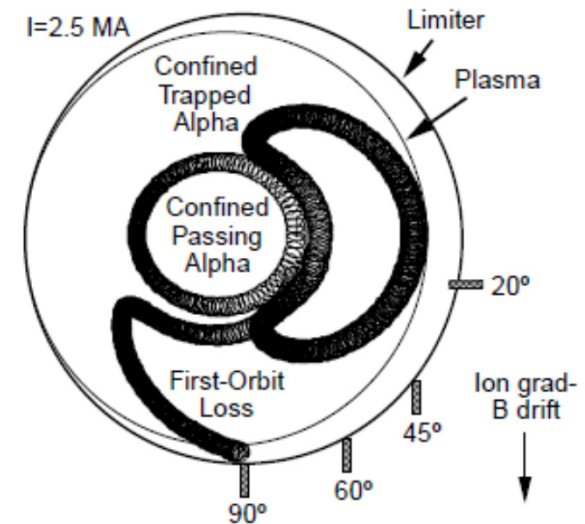


Basis of Experiments on EP Driven Instabilities



Fast Ion Orbits in 2D Plasma (Ideal Tokamak)

- Adiabatic invariants of guiding center motion : E , μ_B
- in 2D axisymmetric tori: $P_\phi = m_j R v_\phi - e_j \psi$
 $E - (n/\omega) P_\phi$ is also conserved
 $\Rightarrow \Delta E = (n/\omega) \Delta P_\phi$
Change in E leads to the change of ψ
 $\Rightarrow$ Particle transport across magnetic surfaces



Alpha particle orbit in TFTR
at $B_t=5T$ and $I_p=2.5MA$
Zweibel: NF NF (2000)

- 3D perturbation in actual tokamak plasma** ($\Rightarrow$ 2D plasma+3D perturbations)
- @ Finite number of TF coils & feritic steels $\Rightarrow$ Edge magnetic ripple
(toroidicity in 2D plasma $\Rightarrow$ not 3D effect $\Rightarrow$ passing/trapped orbits)
 - @ Resonant magnetic perturbations for ELM control
 - @ 3D deformation of plasma by large scale helical instabilities such as RWM and snake



Particle Orbits in Stellarator/Helical Devices with large N

In 3D plasmas (non-axisymmetric tori):

P_ϕ is not an adiabatic invariant.

For specific 3D configurations having the following characters

1. Small $\iota/2\pi$ per the toroidal field period :

$$\frac{\iota/2\pi}{N} \ll 1$$

2. Field variation due to toroidicity is smaller than that due to helical ripple:

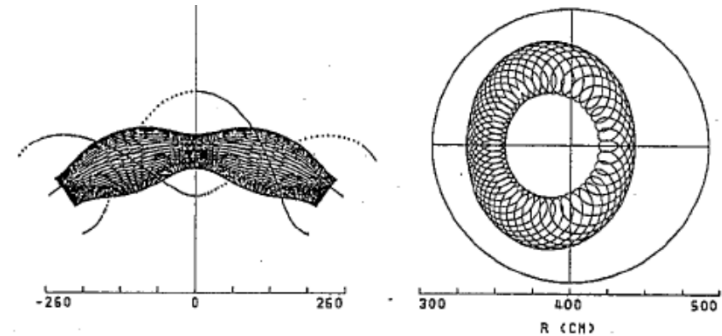
$$\frac{\varepsilon_t}{\varepsilon_h} \frac{\iota/2\pi}{N} \ll 1$$

=> For passing particles with small λ , P_ϕ is nearly conserved.

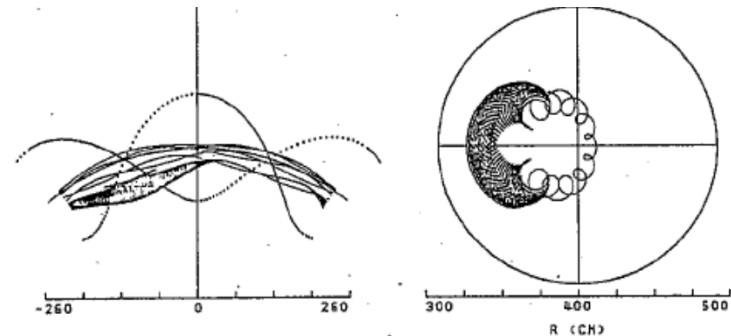
Typical particle orbits for various pitch-angle in LHD configuration

Guiding center orbits on LHD

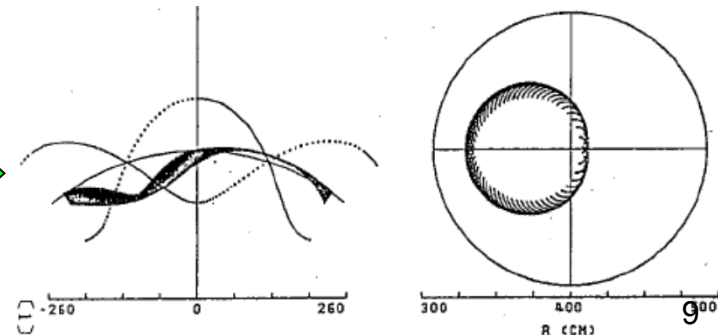
Passing particles ($\lambda=54$ deg.)



Transition particles ($\lambda=65$ deg.)



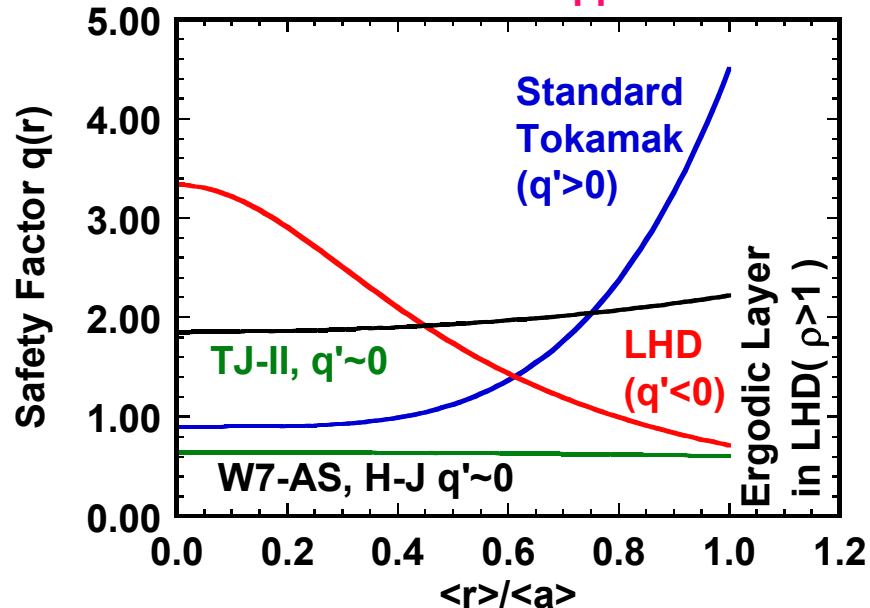
Helical-ripple trapped particles ($\lambda=75$ deg.)





AEs in Monotonic and Reversed Magnetic Shear Plasmas in 2D & 3D Plasmas

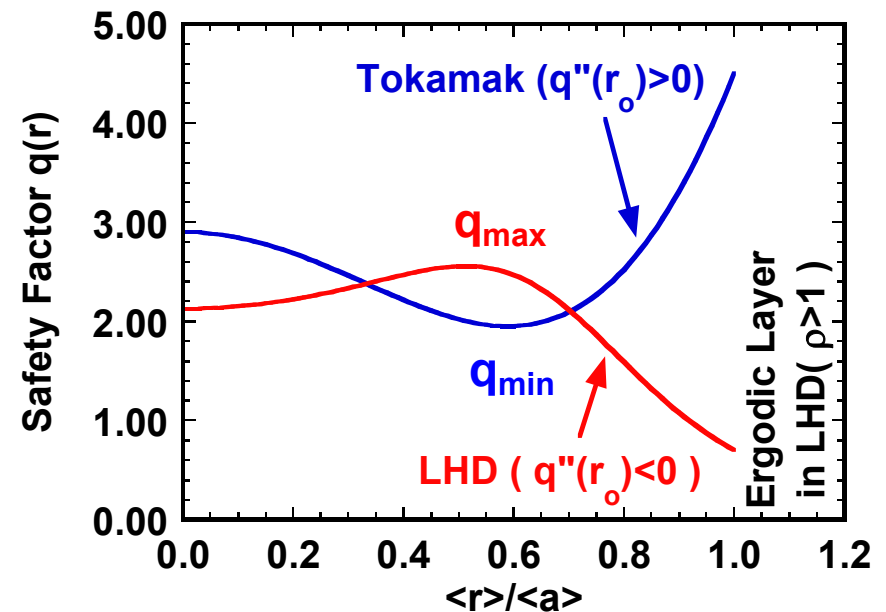
Standard Configuration
with **monotonic q-profile**



2D tori: tokamaks
w/o 3D perturbations
3D tori: LHD, W7-AS, TJ-II

$$\iota(r) / 2\pi = 1/q(r)$$

Reversed Magnetic Shear Configuration
with non-monotonic profile



RS configurations with positive
and negative $q''(r_o)$
Time evolution of $q_{\min}$ and $q_{\max}$
 $\Rightarrow$ Characteristic sweeping
of RSAE frequency



Shear Alfvén Spectral Gaps in 2D Torus

Cylindrical plasma: shear Alfvén continua $\omega = k_{//} v_A$

Tokamak plasma : Coupling of m and $m \pm 1$ continua

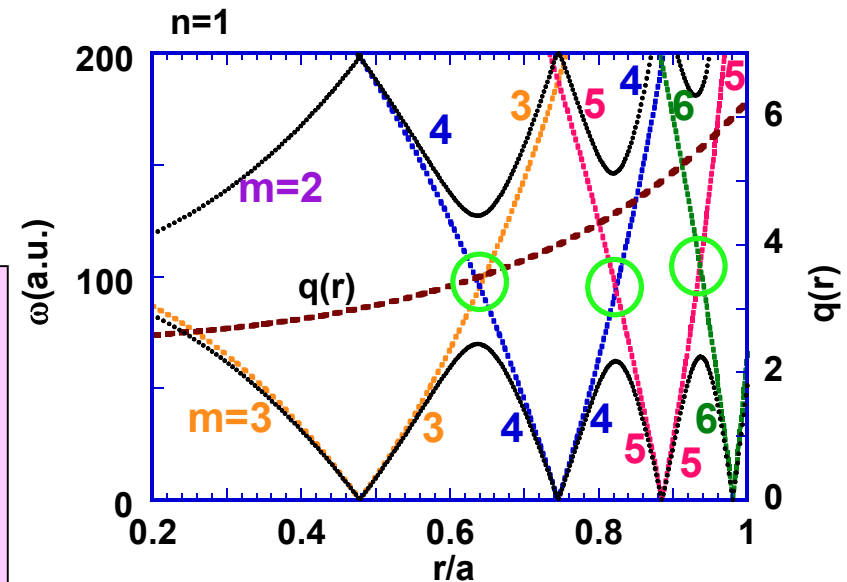
$$k_{//m} v_A = -k_{//m+1} v_A \Rightarrow \text{TAE gap}$$

TAE gap frequency :
($1/n_e^{0.5}$ -dependence)

$$f_{TAE} = \frac{v_A}{4\pi R q^*} \quad \text{where } q^* = \frac{2m+1}{2n}$$

Coupling of m and $m+2$
continua $\Rightarrow$ EAE gap
 $f_{EAE} \sim 2f_{TAE}$

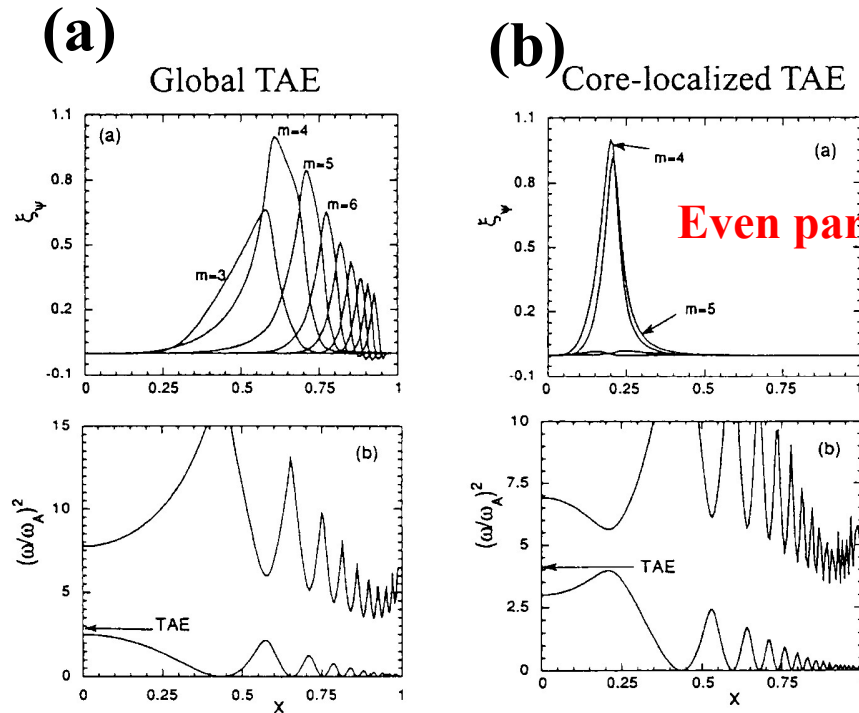
Note: No or inverse density
dependence in GAE or
RSAE frequency
 $f = (k_{//} v_A)_{\min \text{ or } \max} / (2\pi)$
depends on q profile evolution



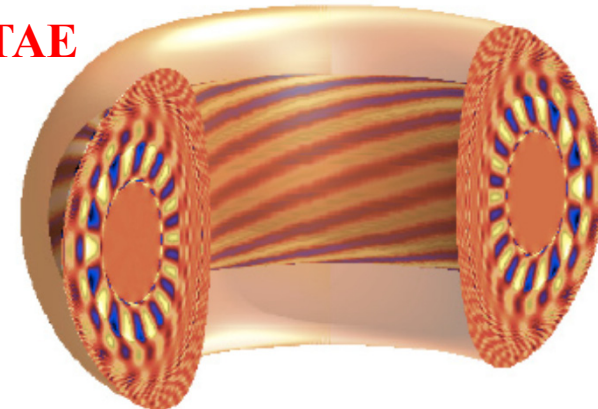


Typical Example of TAEs in Tokamak Plasmas

TAES observed in TFTR



(c) Te fluctuation pattern by $n=10$ TAE on ITER



M. Van Zeeland, Varenna WS on Plasma Diagnostics (2007)

G.Y. Fu et al., PoP (1996)

2 types of TAEs in a tokamak plasma

with monotonic $q(r)$ -profile (positive shear)

(a) Global type TAE (G-TAE) with multi- m Fourier components

(b) Core-localized TAE (C-TAE) with two dominant m components, in low shear plasma core region

even parity C-TAE(ballooning) 、 odd-parity C-TAE(anti-ballooning)

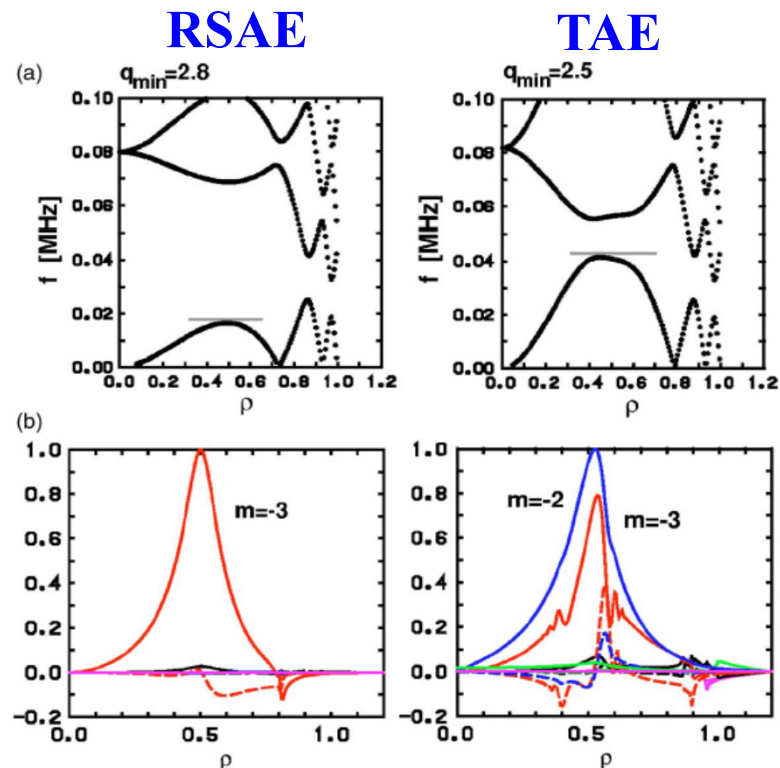


RSAE in RS Plasmas on JT-60U

GAE: just below shear Alfvén continua or above the continua (NGAE)

RSAE: just below or above the continua in a RS plasma

GAE and RSAE composed by a single dominant Fourier mode



JT-60U (calculated by TASK/WM code)
Shear Alfvén spectra in the cases of
 $q_{\min}=2.8$ and 2.5 of JT-60U RS plasma

$q_{\min}=2.8$: RSAE with one dominant Fourier mode

$q_{\min}=2.5$: TAE with multi-Fourier modes

Fukuyama et al., 6th IAEA TM on Energetic Particles in Magnetic Confinement Systems,
Naka, 1999.

M. Takechi et al., PoP (2005)



Shear Alfvén Gaps in 3D Plasma

Fourier spectra of equilibrium field strength ($|B|/B_o$) and geometrical structure (metric tensor of magnetic coordinates)

$|B|$ expressed by Boozer magnetic coordinates(ψ, θ, φ)

$$B/B_o = 1 + \sum_{\mu, \nu} \varepsilon_B^{\mu, \nu}(\psi) \cos(\mu\theta - \nu N\varphi)$$

Coupling of counter propagating shear Alfvén waves

=> Gap

$$k_{//m,n} v_A = -k_{//m+\mu, n+\nu N} v_A$$

ギャップ位置とギャップ周波数:

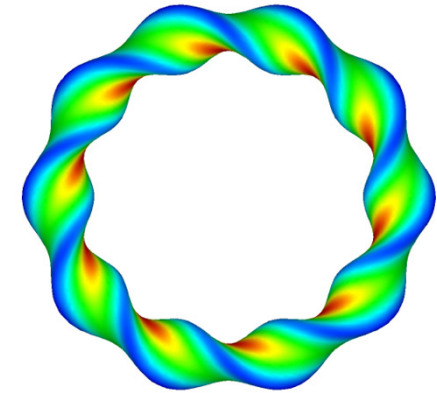
$$f_{gap}^{(m,n)} = \frac{|\nu q^* N - \mu| v_A}{4\pi R q^*}$$

$$q^* = \frac{2m + \mu}{2n + \nu N}$$

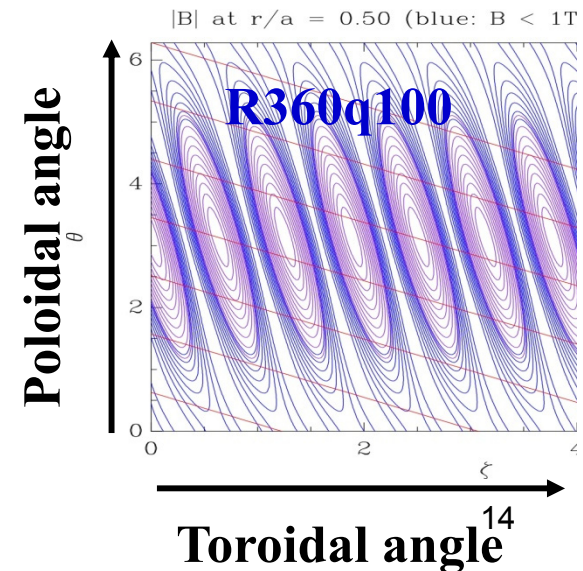
ギャップ幅: $\Delta\omega^2 / \omega^2 \propto |\varepsilon_B^{2,1} - \varepsilon_g^{2,1}| / 2$

($\mu=1, \nu=0$) → TAE gap,

($\mu=2, \nu=1$) → HAE₂₁ gap $f_{HAE} \sim N f_{TAE}$ (wide gap)



$|B|$ contour at $r/a=0.5$ on LHD





Importance of Toroidal Mode Coupling in 3D Plasmas

Toroidal mode coupling takes place in a 3D toroidal plasma with toroidal period number N .

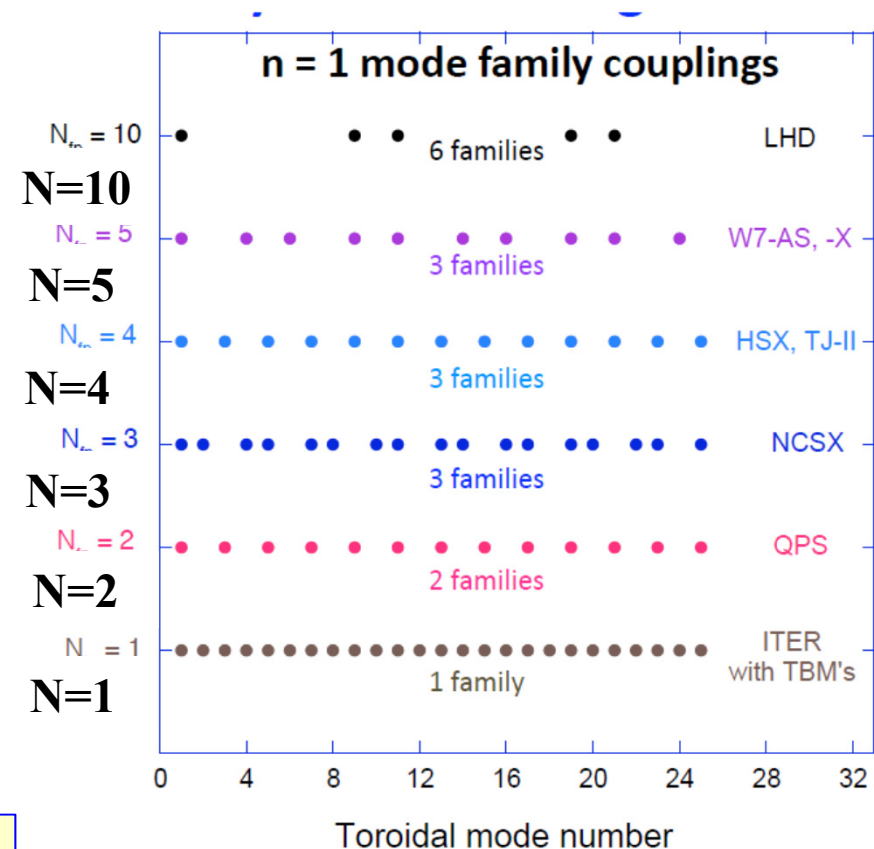
Toroidal mode coupling of n and n' modes on the condition of $n' \pm n = kN$ where $k=0, 1, 2, \dots$.

Number of the mode family
 $= 1 + N/2$ (C. Schwab, PFB5(1993))

Weak toroidal mode coupling in 3D configuration with large N such as LHD

=> Essential for HAE gap formation

=> Weak effects on TAE (~2D plasmas)



n' modes that can couple with $n=1$ mode in various 3D tori

D.A. Spong et al., 21st IAEA FEC, Geneva, 2008, paper No. TH-3/4.₁₅



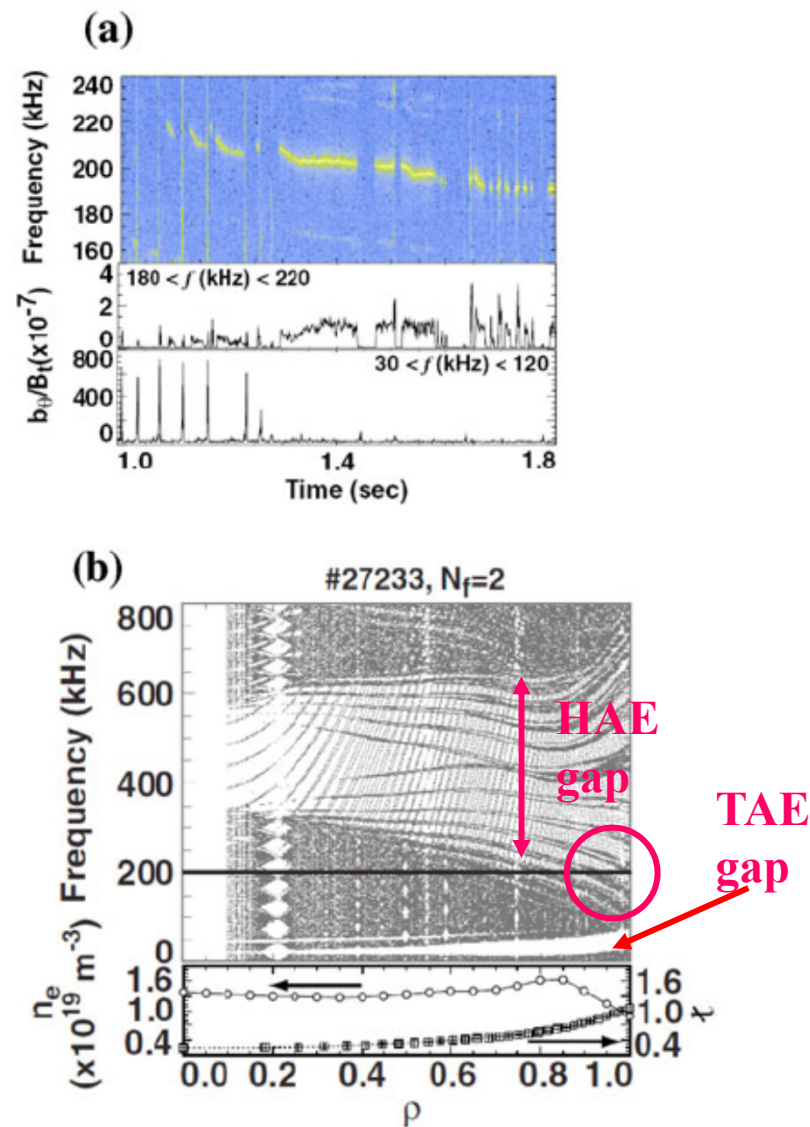
Typical Example of Helicity-Induced AE(HAE) in an LHD (3D) Plasma

Observation of HAEs in LHD
weak toroidal mode coupling,
essential for HAE gap formation

The AE spectra are calculated
including toroidal mode coupling
with $n=2, 8, 12, \dots, 48$ and 52 modes
(included 919 Fourier modes)

S. Yamamoto et al., PRL (2003)

Density fluctuations of HAE are observed in the HAE gap near the plasma edge. K. Toi et al., PPCF (2010)





Resonant Wave-Particle Interactions

Energy exchange rate dW/dt : $\frac{dW}{dt} \approx eZ_h \mathbf{v}_d \cdot \mathbf{E}_\perp$

$$\frac{dW}{dt} \sim \sum_{m,n,\mu,\nu} C^{\mu,\nu} \exp[-i\omega t + i(m+\mu)\omega_\theta - i(n+\nu N)\omega_\phi t]$$

Resonance condition for efficient energy exchange between wave and particles :

$$\omega - (m + \mu)\omega_\theta + (n + \nu N)\omega_\phi = 0$$

For large aspect ratio tokamak, $\mu = \pm l, \nu = 0$

$$\frac{v_{||}}{v_A} = \frac{l}{\pm 2 - l}$$

$$l=1 \rightarrow \text{TAE} \rightarrow |v_{||}/v_A| = 1, 1/3$$

$$l=2 \rightarrow \text{EAE} \rightarrow |v_{||}/v_A| = 1/2$$



Linear Growth Rate of EP Drive Modes

Adiabatic invariants in 2D plasmas: E , μ and P_ϕ

→ $E - (n / \omega) P_\phi$ is conserved

→ AE growth rate by EPs:

$$\gamma_\alpha \propto \frac{\partial f_{\alpha 0}}{\partial E} - \frac{\lambda}{E} \frac{\partial f_{\alpha 0}}{\partial \lambda} - \frac{n}{\omega} \frac{\partial f_{\alpha 0}}{\partial P_\phi}$$

Destabilization of $n \neq 0$ AE modes by the 3rd term

(due to spatial non-uniformity of EP density)

Destabilization of $n=0$ mode (EP driven GAM, $n=0$ GAE)

by gradients for E and λ in velocity space (Velocity space instabilities)

In 3D plasmas, P_ϕ is conserved for passing EPs with small λ

=> This idea is applicable for passing EPs on LHD



Various Fluctuations Induced by EP Driven Modes

Magnetic fluctuations perpendicular to **B** by shear Alfvén eigenmodes: $b \perp$

Incompressible waves: $\nabla \cdot \xi = 0$

Various fluctuations by AEs:

Fluctuations of magnetic, density, electron temperature, plasma potential, lost ion flux, and so on

Plasma fluctuation diagnostics:

Magnetic probes (b_r , b_θ , b_ϕ)

Millimeter wave reflectometer,

ECE /ECE imaging (ECEI),

Beam emission spectroscopy (BES),

Lost ion probe/fast ion loss detector,

Heavy ion beam probe (HIBP),

....

Ideal MHD plasma

$$\mathbf{b} = \nabla \times (\xi \times \mathbf{B})$$

$$\frac{\delta \rho}{\rho} = -\nabla \cdot \xi - \frac{\xi \cdot \nabla \rho}{\rho}$$

$$\frac{\delta p}{p} = -\gamma \nabla \cdot \xi - \frac{\xi \cdot \nabla p}{p}$$

$$\frac{\delta n_e}{n_e} = -\nabla \cdot \xi - \frac{\xi \cdot \nabla n_e}{n_e}$$

$$\frac{\delta T_e}{T_e} = -(\gamma - 1) \nabla \cdot \xi - \frac{\xi \cdot \nabla T_e}{T_e}$$

For shear Alfvén waves: $\nabla \cdot \xi = 0$

However, toroidal effect leads to

$$\nabla \cdot \xi \approx -\frac{2}{R} (\xi \cdot \mathbf{R}) \neq 0$$

Even for a flat profile,

$$\delta n_e \neq 0 \text{ and } \delta T_e \neq 0 \quad 19$$



Effects of Plasma Rotation on Mode Frequency

Correction of Doppler effect due to plasma rotation:

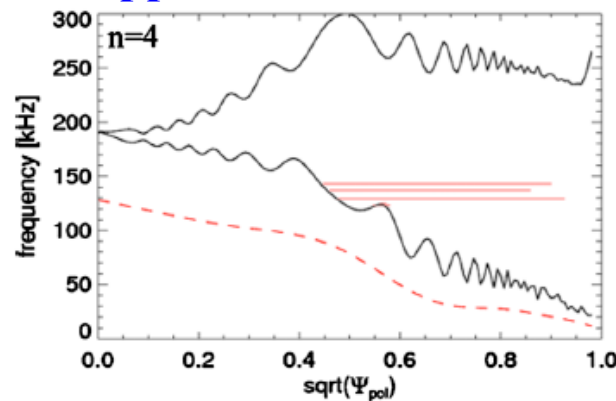
$$\omega_{laboratory\ frame} = \omega_{plasma\ frame} + k \cdot v$$

If the mode is convected with purely toroidal flow $\Rightarrow f_{obs} \approx f_{AE} + n f_{\phi}(r)$
(E.J. Strait et al., PPCF 1994)

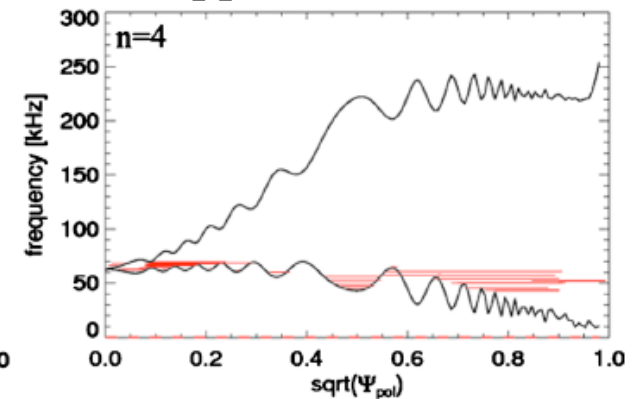
Shear Alfvén spectra
with and without the
correction of sheared
toroidal rotation in
NSTX

M. Podesta et al., PoP (2010)

w/ Doppler effect correction



w/o Doppler effect correction



Doppler effect on AE frequencies is important for **large n AEs** in tokamaks and ST plasmas heated by tangential NBI.

In LHD, only low n AEs are excited on the condition of low toroidal rotation speed < 30 km/s, where $R \sim 3.6\text{m}-3.9\text{m}$.

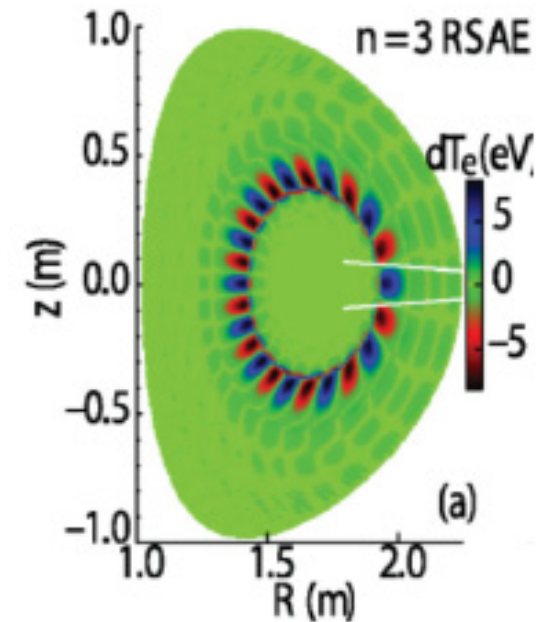
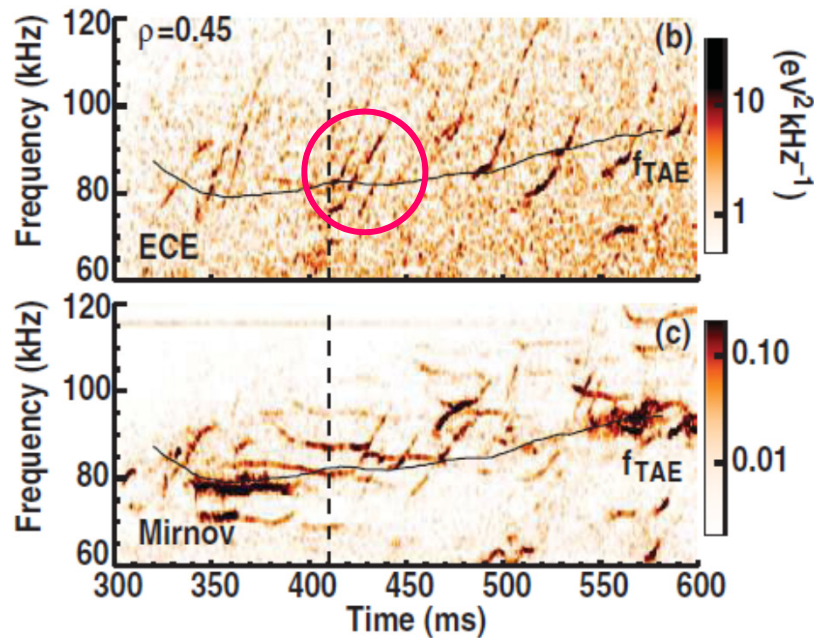
$\Rightarrow$ Doppler effect can be neglected, because $f_{Doppler} \sim n$ (kHz).

Some Recent Topics



1D Structure of RSAE Measured by ECE

DIH-D

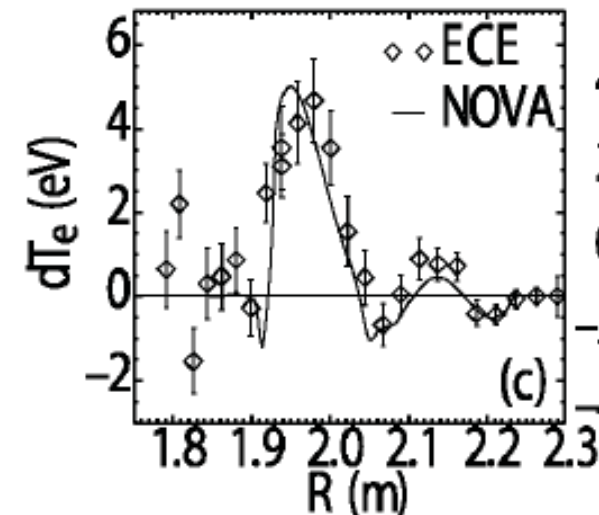


$$\frac{\tilde{T}_e}{T_e} = -(\gamma - 1) \nabla \cdot \xi - \xi \cdot \nabla T_e / T_e$$

Internal structure of RSAE measured by high spatial resolution **ECE**:

**Excellent agreement
with NOVA (ideal MHD code)**

M.A. Van Zeeland et al., PRL(2006).





2D Structure of AEs Measured by ECEI

Calculated RSAE by ideal MHD(NOVA)

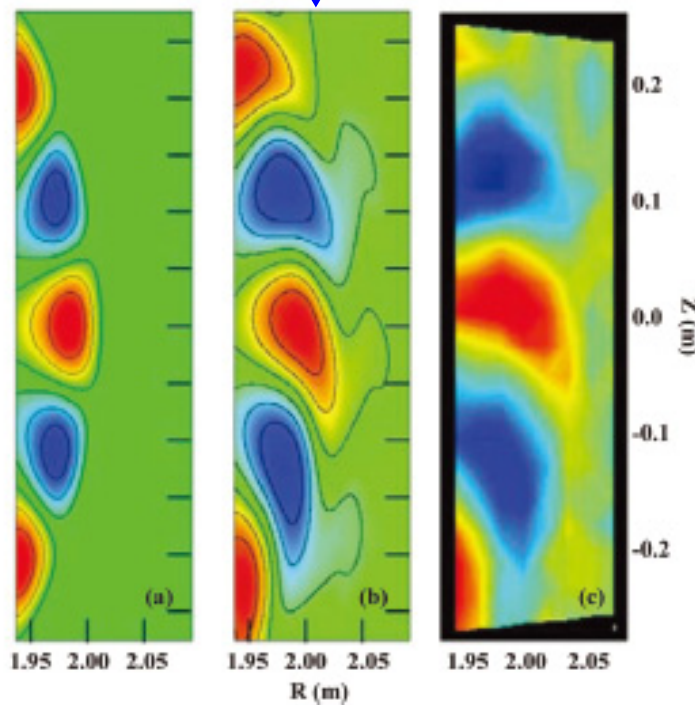
DIIS-D

Calculated by TAEFL

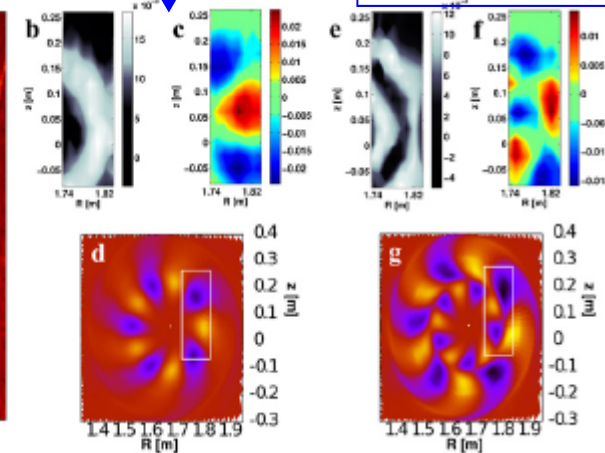
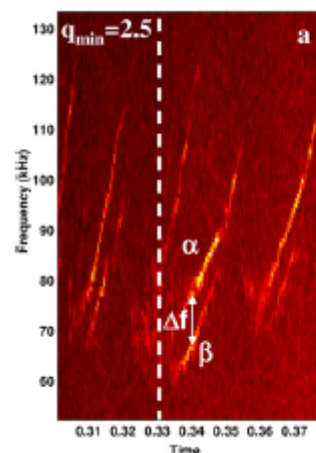
Experimental data by ECEI

$p=0$ RSAE measured
By ECEI

$p=1$ RSAE
by ECEI



AUG



Calculated by LIGKA

Poloidally twisted structure of RSAE due to energetic ion effects

⇒ Good agreement with a gyro-fluid code TAEFL

B.J. Tobias et al., PRL & PoP (2011)

2D structures of RSAE with $p=0$
and $p=1$ due to low magnetic shear

⇒ Good agreement with a linear
gyro-kinetic code LIGKA

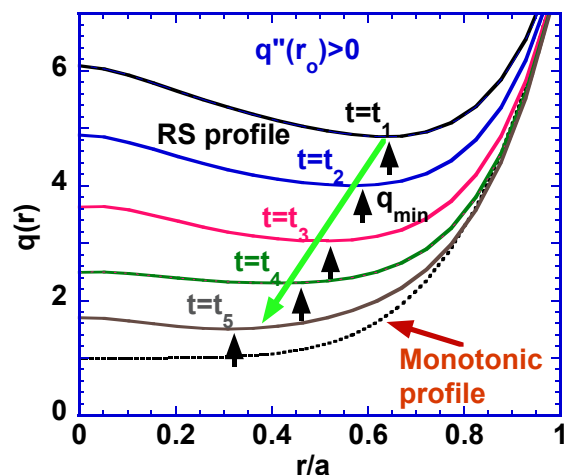
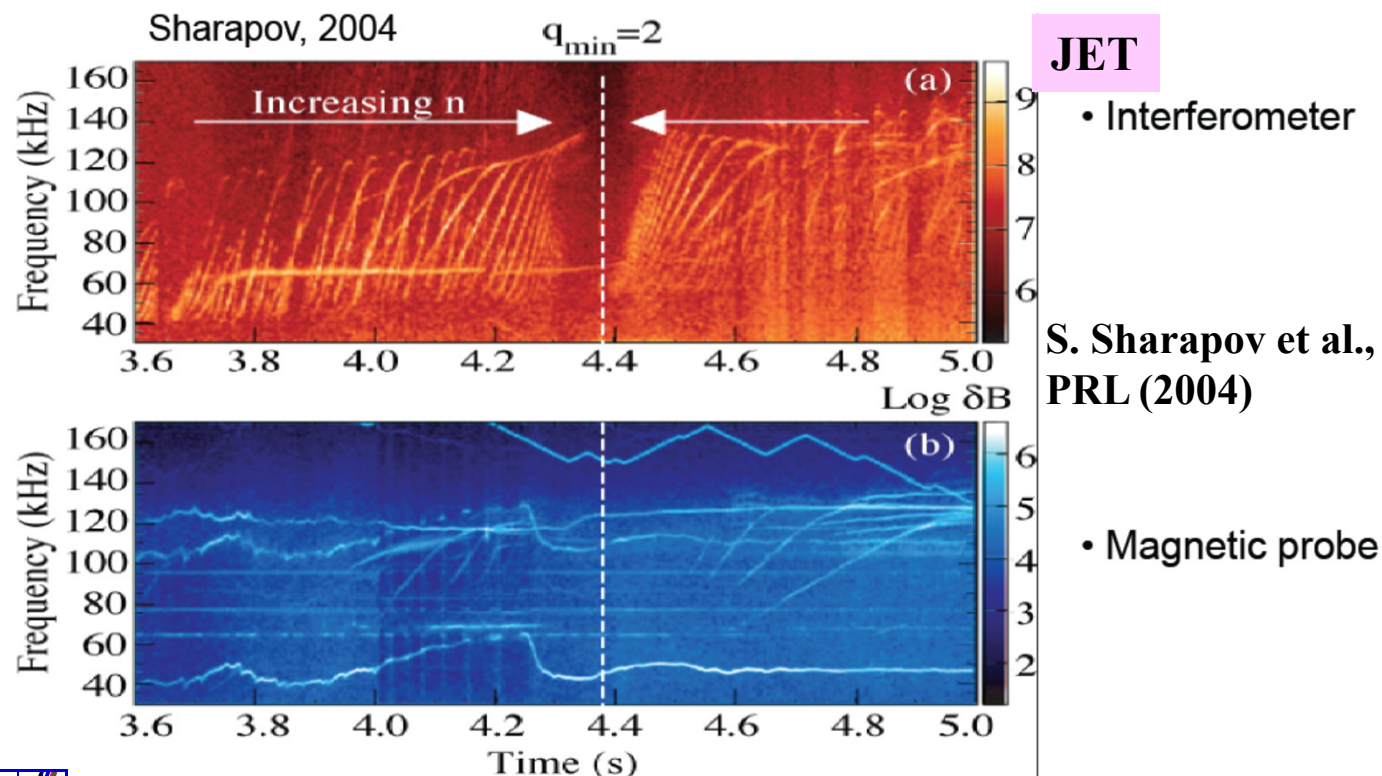
I.G.J. Glassen et al., PPCF(2011)



Characteristic Sweeping of RSAE Frequency (Alfven Cascade)

Upward sweeping of RSAE frequency during q_{min} decrease in time

EP effects are important for upward frequency sweeping.



RSAs are more clearly detected by interferometer than magnetic probe because of internal mode.

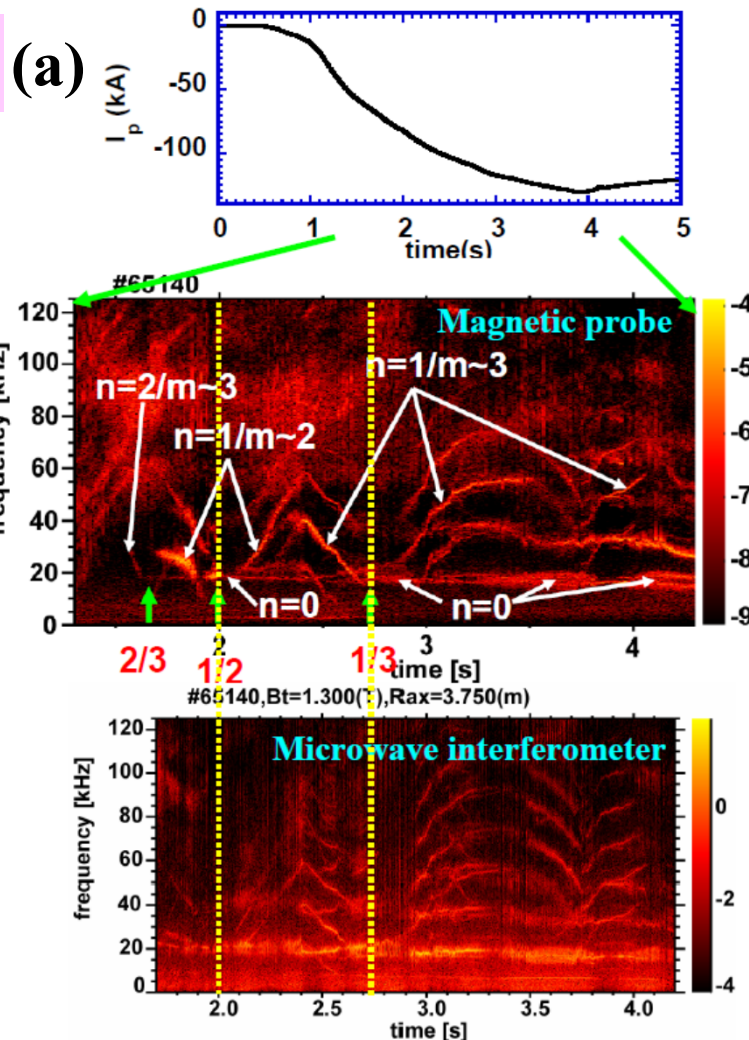
Dispersion relation of RSAE:

$$\omega^2 - \frac{v_A^2}{R^2} \left(n - m \frac{l}{2\pi} \right)^2 - [\omega_{GAM}^2 + \omega_{VP+VF}^2] = 0$$

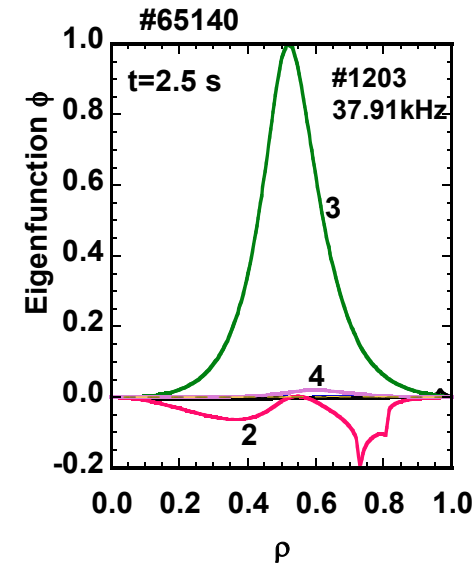


RSAEs in a Reversed Shear Plasma on LHD

LHD



(b) Calculated by AE3D

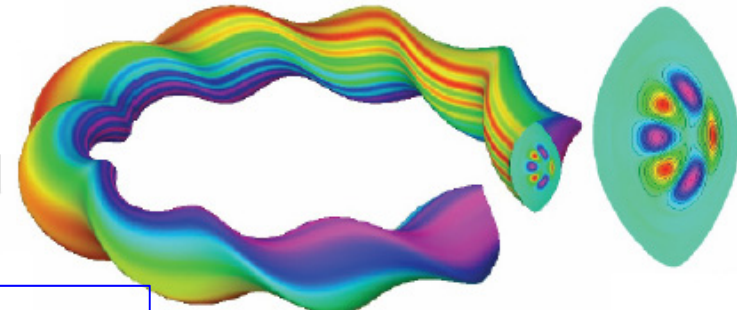


LHD:
 $q''(r_o) < 0$

Tokamak
 $q''(r_o) > 0$

K. Toi et al.
PRL(2010)

(c) Calculated by AE3D



D.A. Spong et al.
22th IAEA FEC (2008)

f_{RSAE} is swept with the increase of q_{max} in time
 $\Rightarrow$ downward sweeping to upward sweeping via f_{min}
 $f_{min} \approx f(n=0 \text{ mode}) \Rightarrow$ EP driven GAM



Frequency Sweeping in RSAEs

- Eigenmode equation for RSAE *localized at $r=r_o$ (zero shear surface)*:

$$\frac{\partial}{\partial x}(S + x^2) \frac{\partial}{\partial x} U_m + (Q - S - x^2) U_m = 0$$

$$x = m(r - r_o) / r_o$$

$$Q = Q_{hot} + Q_{tor} + Q_{press} + Q_{dens} > \frac{1}{4}$$

H.L Berk et al., PRL 2001.

F. Zonca et al., PoP 2002.

B.N. Breizman et al., PoP 2003.

G.Y. Fu & H.L. Berk, PoP 2006.

S.V. Konovalov, PoP 2004.

$$-k_{mo} = (m - nq_o) / q_o, \quad \omega_o = \pm \frac{V_A}{R_o} | -k_{mo} |,$$

$$\langle j_{//h} \rangle \approx e V_{//h} \langle n_{//h} \rangle$$

Dominant terms in tokamaks and LHD

$$Q_{hot} \approx q_o (-k_{mo}) \frac{q_o}{r_o^2} \frac{\omega_{ch}}{\omega_o} \left[1 \pm \frac{V_{//h}}{V_A} \frac{(-k_{mo})}{| -k_{mo} |} \right]_{r=r_o} \left(-\frac{r_o}{\rho_i} \frac{d\langle \rho_h \rangle}{dr} \right)_{r=r_o}$$

$$Q_{press} = \frac{mq_o}{(-k_{mo})} \frac{q_o}{r_o^2} \left[\frac{4\Delta' \bar{\omega}^2 \alpha - \alpha^2 / 2 q_o^2}{1 - 4k_{mo}^2 q_o^2} + \frac{\bar{\kappa}_r \alpha}{q_o^2} \right]$$

K. Toi et al. PRL(2010)

Tokamak: $Q > 1/4$ in upward sweeping $\Rightarrow$ existence of RSAE

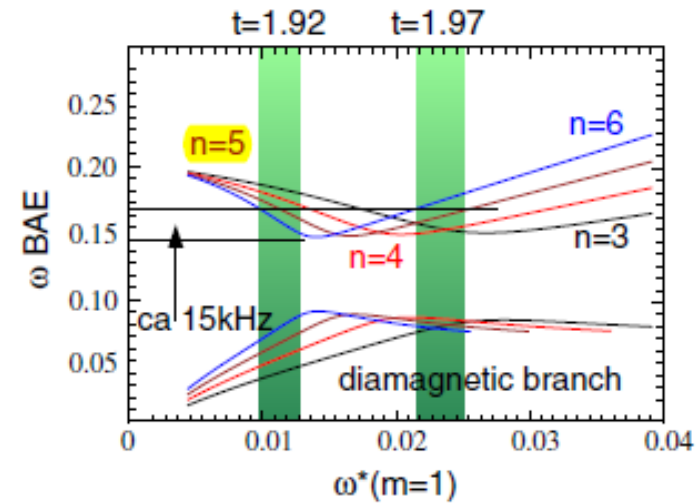
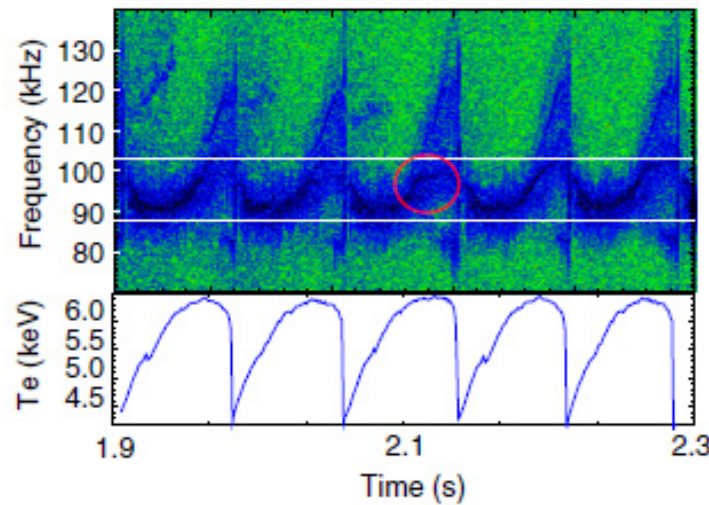
$Q < 1/4$ in downward sweeping $\Rightarrow$ no existence of RSAE

(rarely observed $\Rightarrow$ “quasi-mode” ?)

LHD: $Q > 1/4$ by Q_{hot} in downward sweeping and by Q_{press} in upward sweeping
 $\Rightarrow$ Downward to upward sweeping sequentially



Low Frequency Alfvén Eigenmodes in AUG



$$\omega^2 \left(1 - \frac{\omega_{*p}}{\omega} \right) - k_{\parallel}^2 \omega_A^2 R_0^2 = 2 \frac{v_{thi}^2}{R_0^2} \left(- \left[H(x_{m-1}) + H(x_{m+1}) \right] + \tau \left[\frac{N^m(x_{m-1}) N^{m-1}(x_{m-1})}{D^{m-1}(x_{m-1})} + \frac{N^m(x_{m+1}) N^{m+1}(x_{m+1})}{D^{m+1}(x_{m+1})} \right] \right)$$

**Weakly driven beta induced AEs (BAEs) during a sawtooth cycle.
n=5 BAE frequency predicted by the kinetic BAE/GAM dispersion
relation evaluated at q=1 BAE accumulation point.**

It is confirmed by a linear gyro-kinetic code LIGKA.

The frequency and its evolution is consistent with experiments.

=> MHD spectroscopy



EP Driven Geodesic Acoustic Mode in Tokamas

EP driven GAM: $n=0$ mode destabilized by EPs (GAM: N. Winsor, PF(1968))

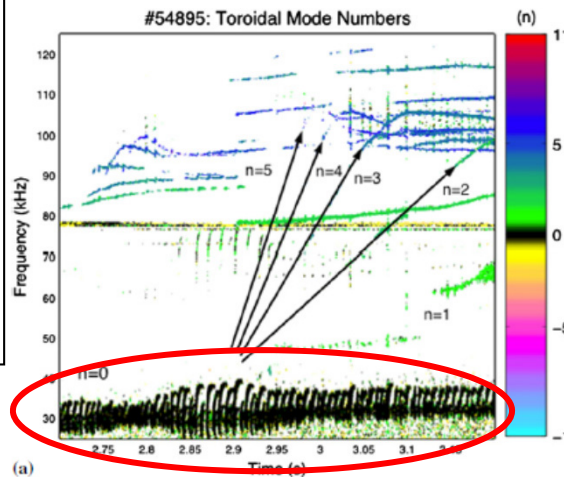
GAM induced by drift waves $\Rightarrow$ localized mode and electrostatic

GAM destabilized by EPs $\Rightarrow$ global modes and partially electromagnetic

In ICRF heated JET plasma: continuous excitation by $\frac{\partial f}{\partial \lambda} \neq 0$ (H.L.Berk et al., NF(2006))

In ctr-NBI heated DIII-D: bursts by $\frac{\partial f}{\partial \lambda} \neq 0$ and/or $\frac{\partial f}{\partial E} > 0$ (R. Nazikian et al., PRL(2008))

GGAM
(EGAM)
in ICRF
heated
JET
plasma



EGAM in DIII-D
plasma with ctr-NBI

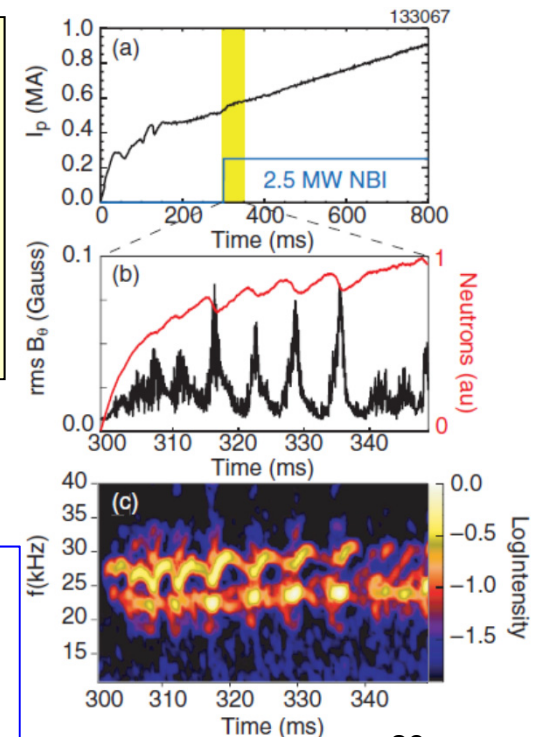
EGAM theory
G.Y. Fu, PRL(2008)
H.L. Berk, NF(2010)

$$f_{\text{EGAM}} = f_{\text{GAM}} \sim f_{\text{GAM}}/2$$

EP losses are induced by EGAM bursts.

R. Nazikian et al., PRL (2008)

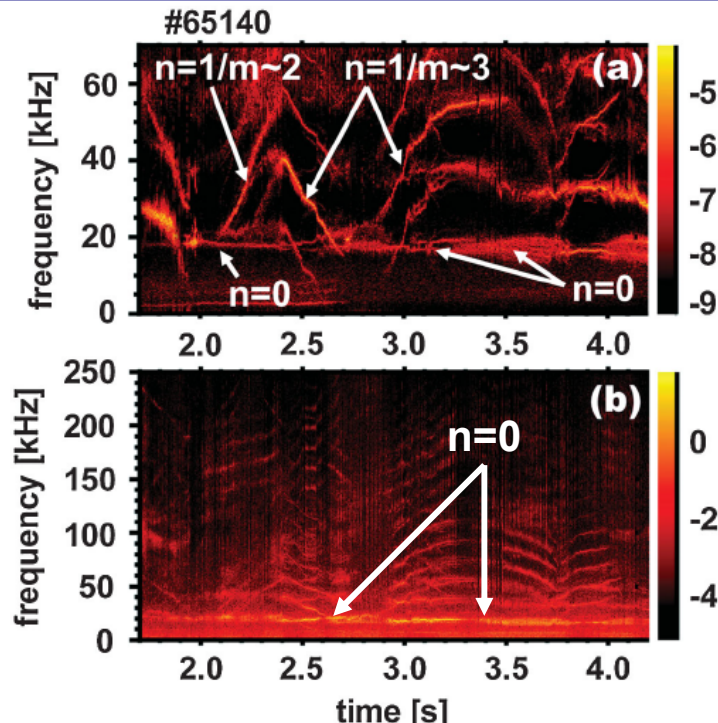
G.L. Kramer et al., PRL (2012)





Two Types of EP Driven GAM on LHD

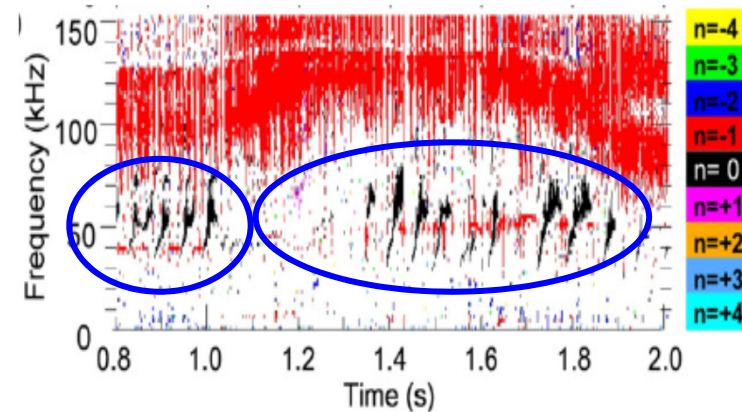
Type I: Quasi-stationary excited type



Quasi stationary excitation
in Reversed shear plasma
 $\langle n_e \rangle = 0.4 \times 10^{19} - 1.0 \times 10^{19} \text{ m}^{-3}$
 $\beta_{\text{hot}} \lesssim \beta_{\text{bulk}}$
 RS plasmas with counter NBCD
 Excited by $\frac{\partial f}{\partial \lambda} \neq 0$

K. Toi et al., PRL (2010)

Type: Bursting mode type



Normal shear plasma

$$\langle n_e \rangle \leq 0.1 \times 10^{19} \text{ m}^{-3} \quad (n_h/n_e \sim 0.1)$$

$$\beta_{\text{hot}} \gg \beta_{\text{bulk}} \quad (\text{very long } \tau_s \gtrsim 2 \text{ s})$$

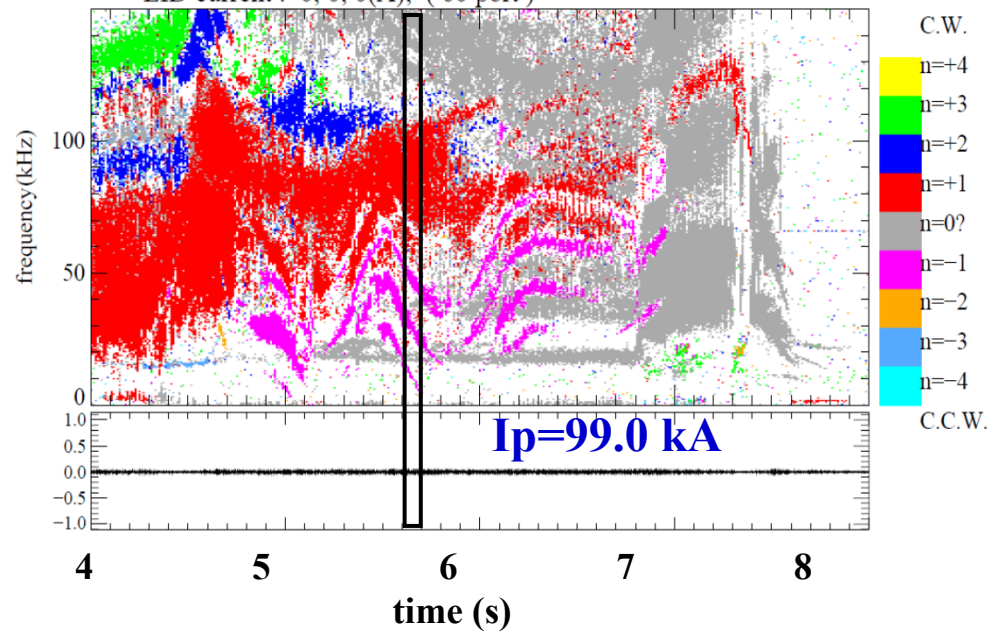
$$\text{Excited by } \frac{\partial f}{\partial E} > 0 \text{ and } \frac{\partial f}{\partial \lambda} \neq 0$$

T. Ido et al., 18th ISHW (2012)

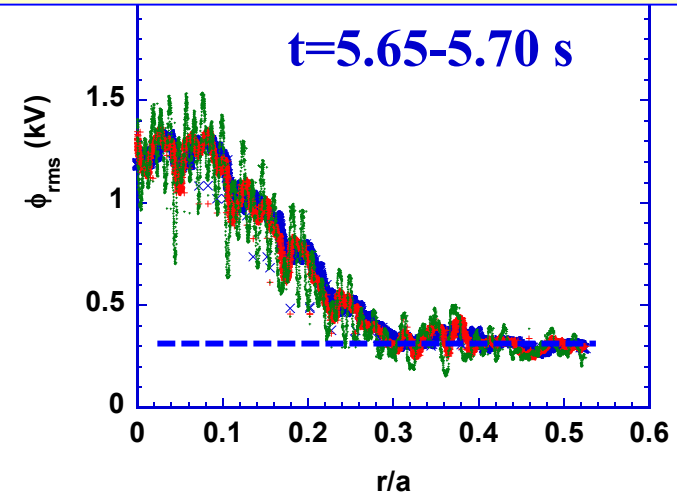
Large potential fluctuation amplitude
of 2 keV ~ 7 keV in both EP driven GAM



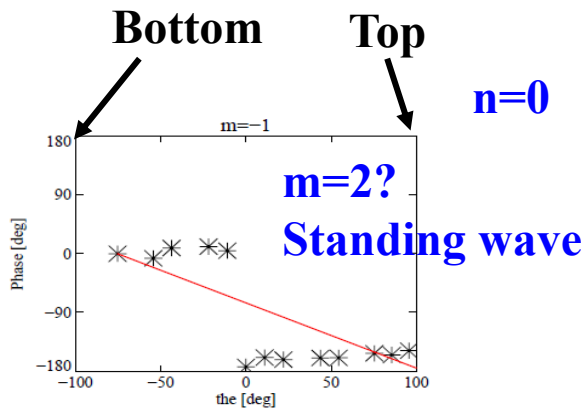
Magnetic & Potential Fluctuations of EP-Driven GAM in LHD Reversed Shear Plasma



**Spatial overlap of GAM & RSAE
=> 3-wave coupling**

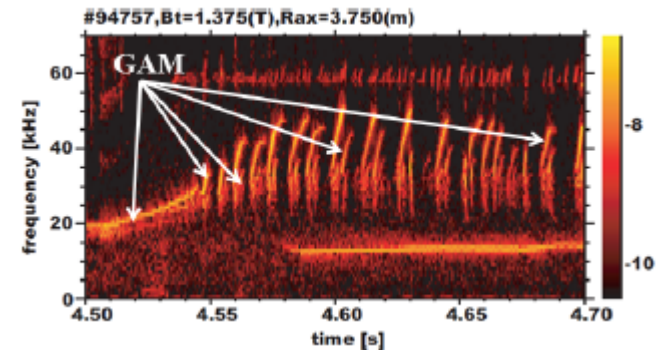


b_θ probe array



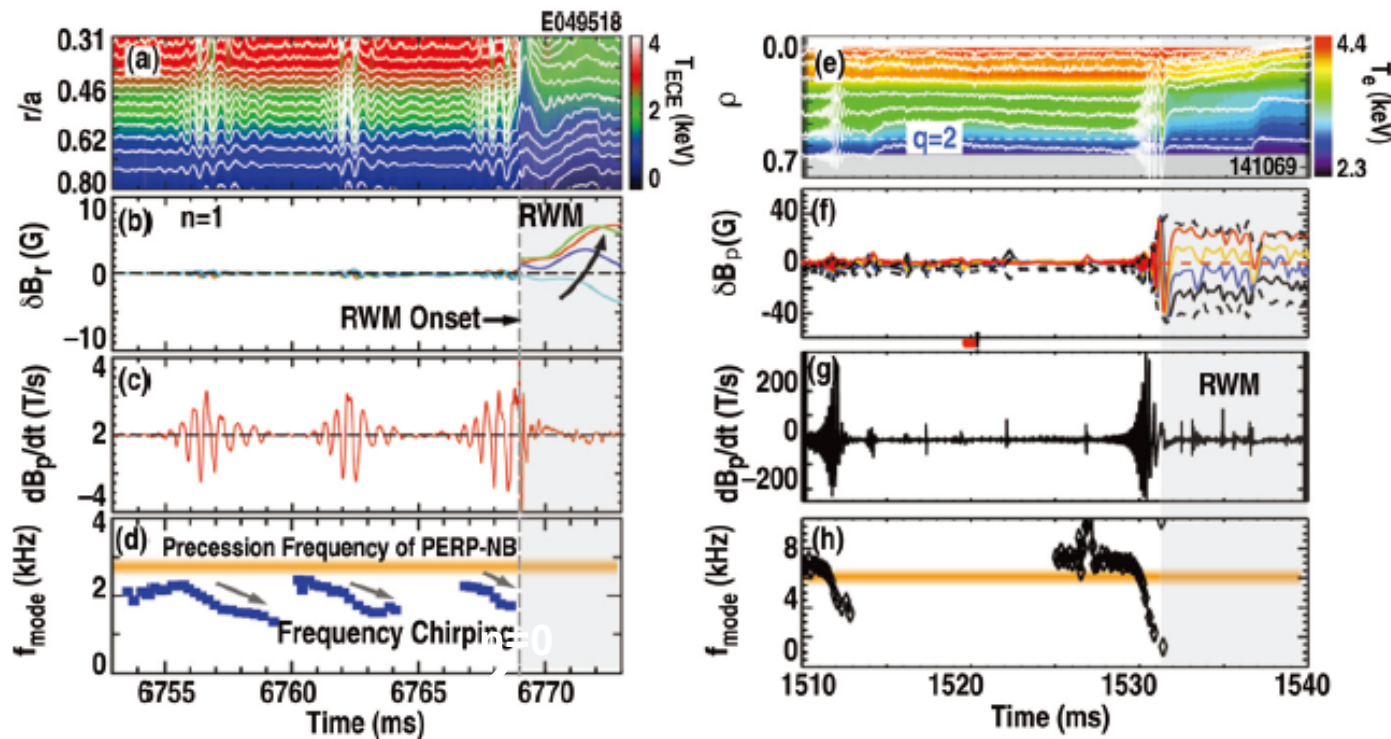
Nonlinear evolution of EP driven GAM
Pitch-fork splitting
Frequency chirp-up and down during ECH

**K. Toi, PFR
(2013).**





EWM/ Off-Axis Fishbone Instabilities in Tokamaks



EWM/ Off axis-fishbones excited by trapped EPs at $q=2$ in JT-60U and DIII-D

Destabilization above ideal beta limit

Very large magnetic perturbations of $\sim 1\text{G}$

This mode triggers RWM and sometimes ELMs.

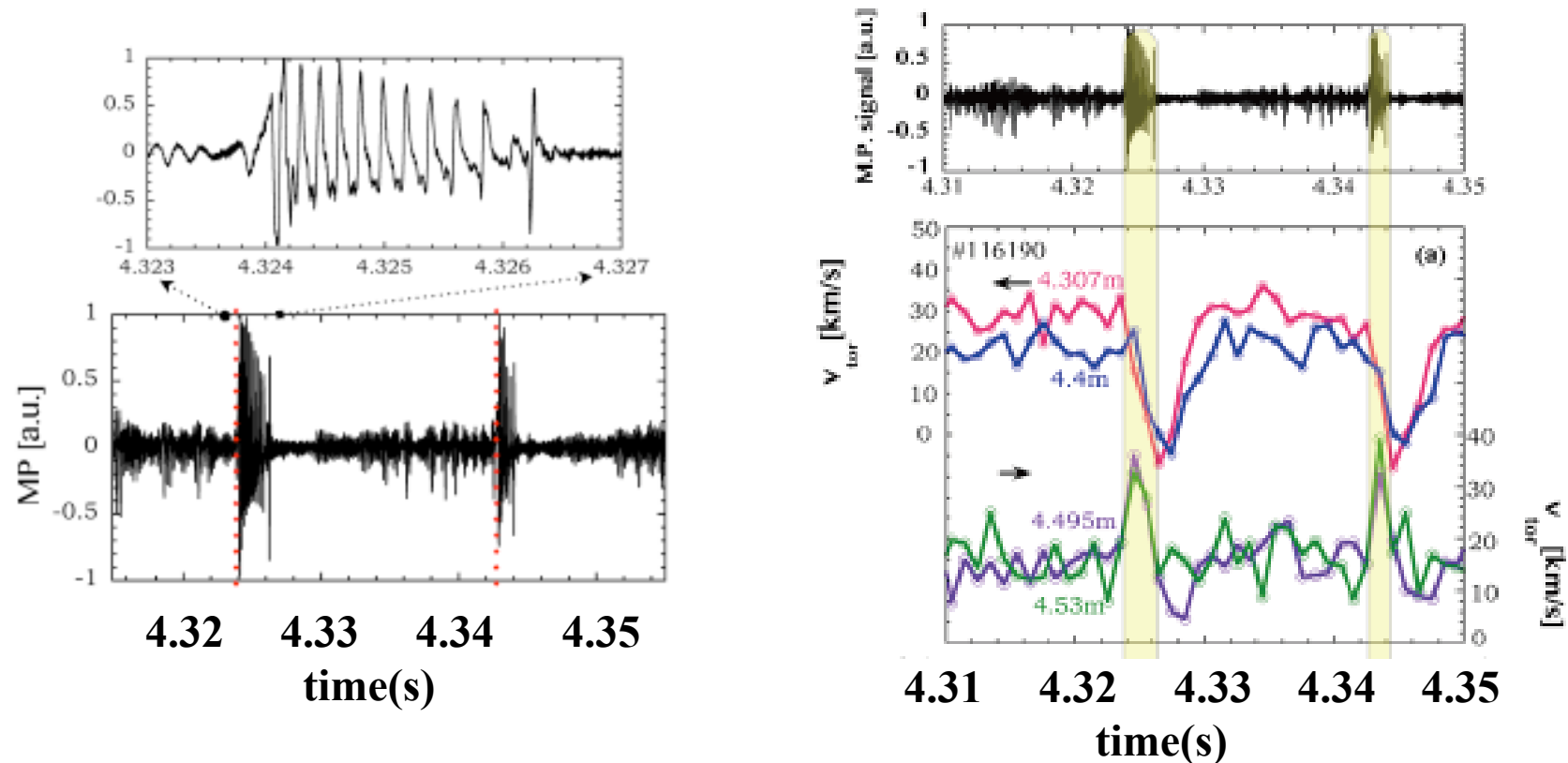
G. Matsunaga et al., PRL (2009)

M. Okabayashi et al., PoP (2011)

G. Matsunaga et al., 24th IAEA FEC, San Diego, 2012.



Off-Axis Fishbone Instabilities on LHD



**Resistive interchange mode destabilized by helical-ripple trapped EPs
at $q=1$ on LHD $\Rightarrow$ similar to off-axis fishbones in tokamaks**
**Initial frequency $\sim$ Precession poloidal drift frequency of initial beam
energy ($E=40$ keV)**

Strong drop of toroidal flow velocity at $q=1$ surface

X.D. Du et al., JPS meeting, March 2013, Kansai-gakuin Univ.



Nonlinear Evolution of AEs (1)

Kinetic instability near threshold (bump-on-tail instability model)

Berk-Breizman model: H.L. Berk et al., PRL (1996)

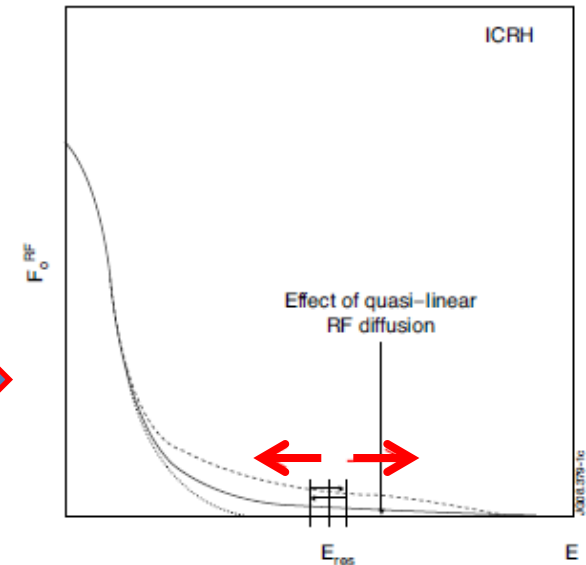
Relaxation process to create unstable $f(v)$

Quasi-linear v -space diffusion (ICRF)

Krook collision: $\nu_{eff}(f-f_0)$

=> (1)steady-state, (2)periodic, (3)chaotic,
(4)explosive(burst)

Good agreement with JET data

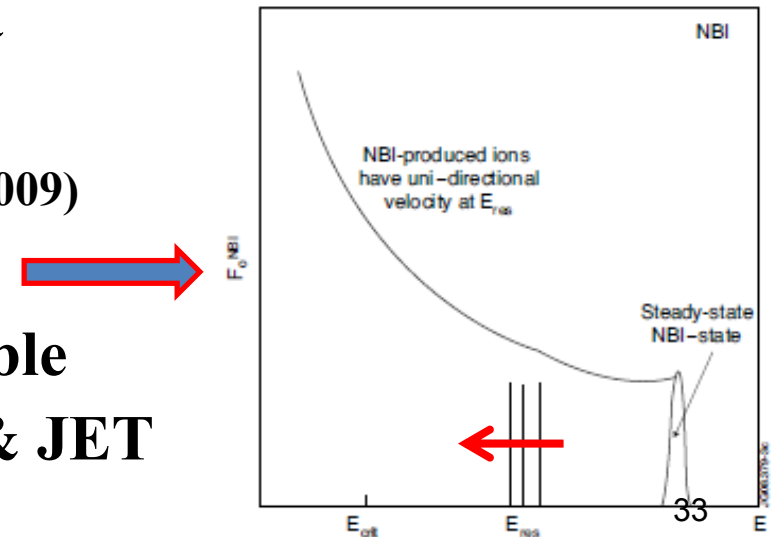


Lilley model: M.K. Lilley et al., et al., PRL (2009)

Electron drag (NBI)

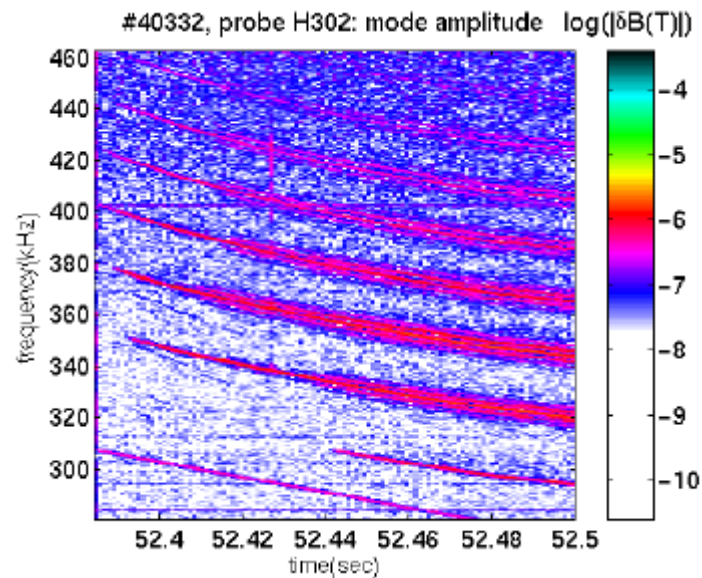
=> Only “explosive(burst)” is possible

Good agreement with MAST & JET





Nonlinear Evolution of AEs (2)



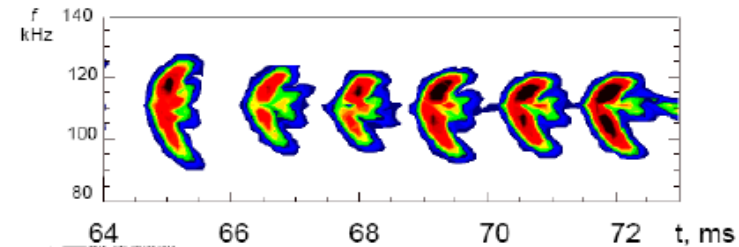
**Pitchfork splitting with
the same n (JET)**

A. Fasoli et al., PRL(1998)

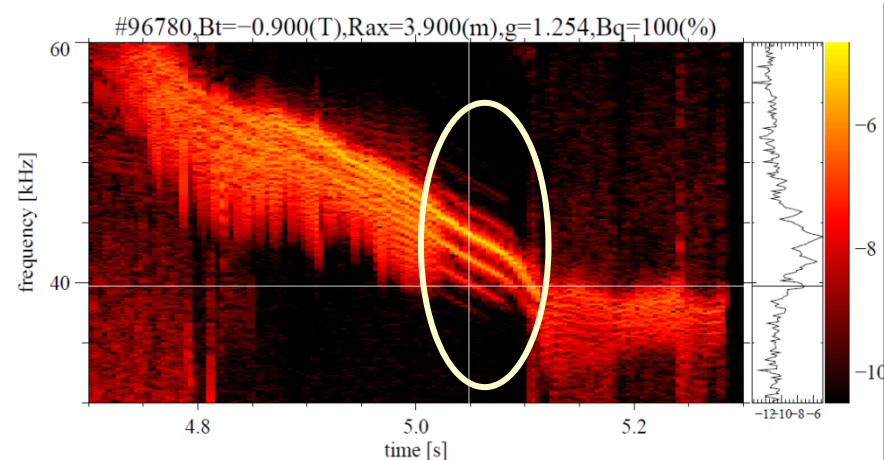
Chaotic regime (JET)

R.F. Heeter et al., PRL(2000)

**Good agreement with
B-B Model**

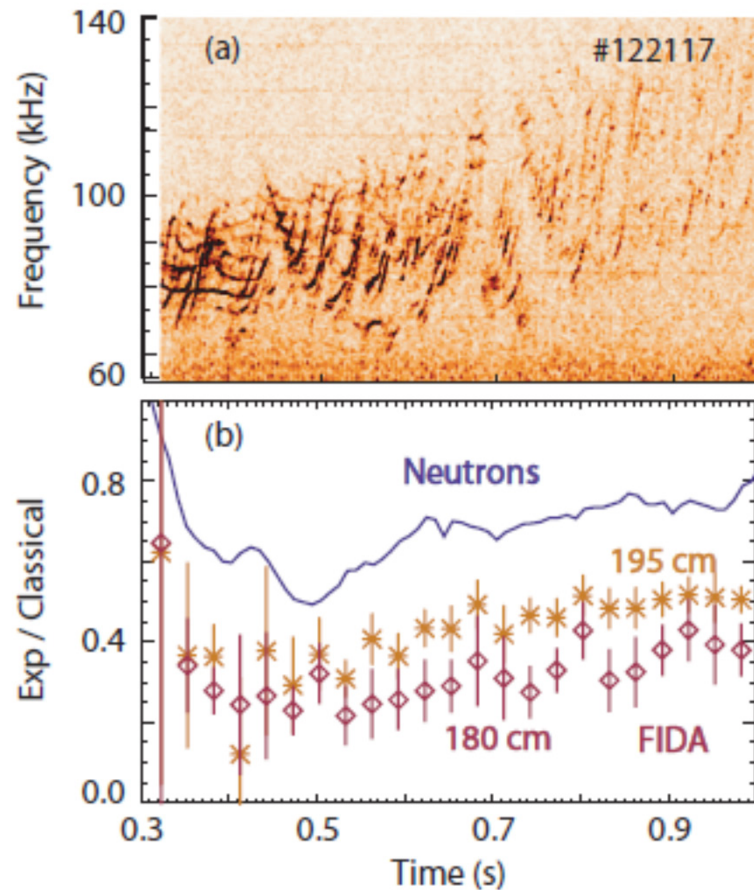


**Explosive TAE on MAST 1n in an el. drag
dominant case
(rapid frequency sweep)
Good agreement with Lilley's theory**



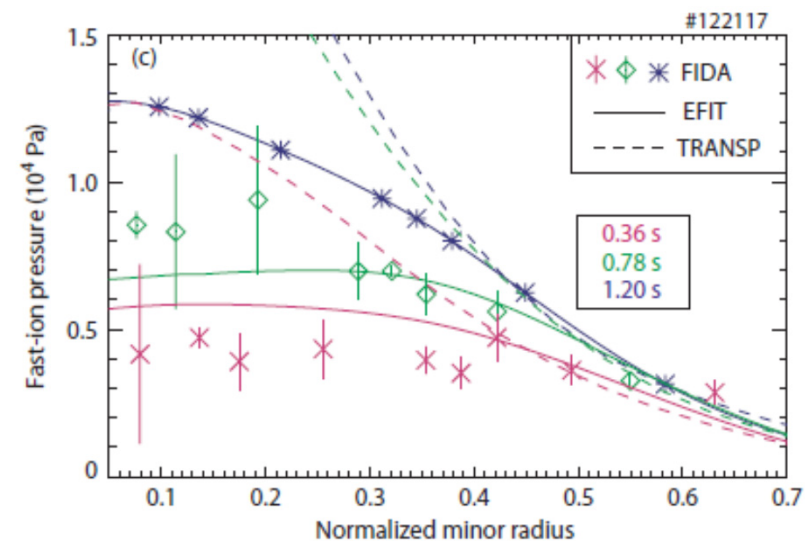
**Pitch fork splitting and steady state regime on
LHD in an el. drag dominant case
Contradiction to Lilley's theory**

Energetic Ion Transport from Core to Edge by TAE & RSAE



Radial profile of confined energetic ions
Are obtained by FIDA (fast ion-fast ion
charge exchange recombination)

DIII-D



Large deficit of EPs in the plasma
central region by RSAE and TAE,
compared with that on no AEs
(Redistribution of EPs)

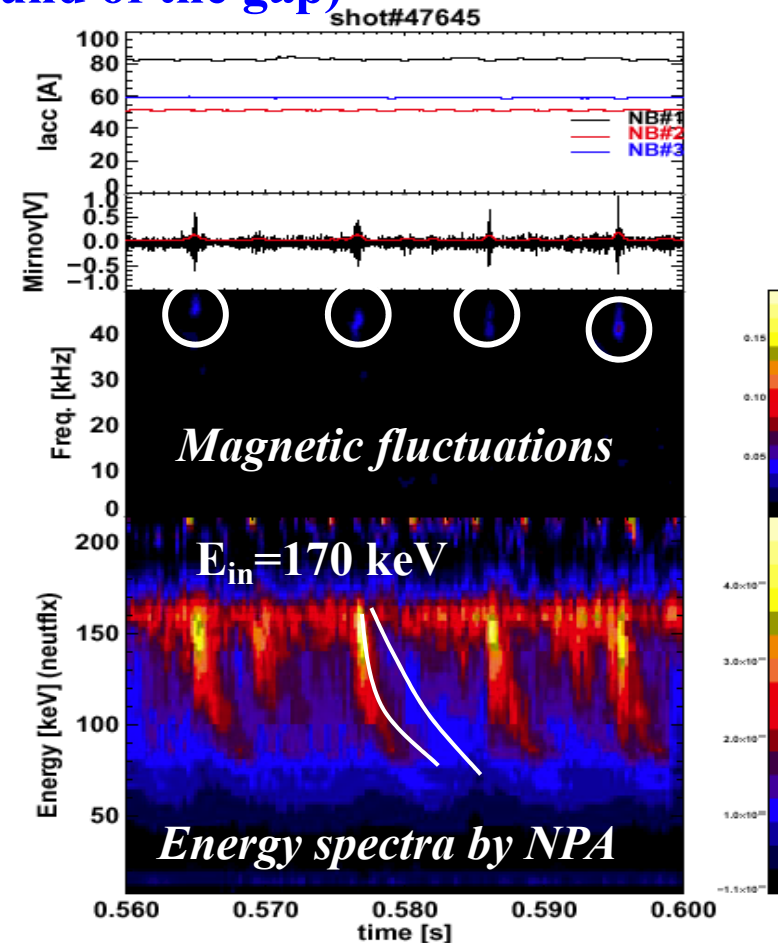
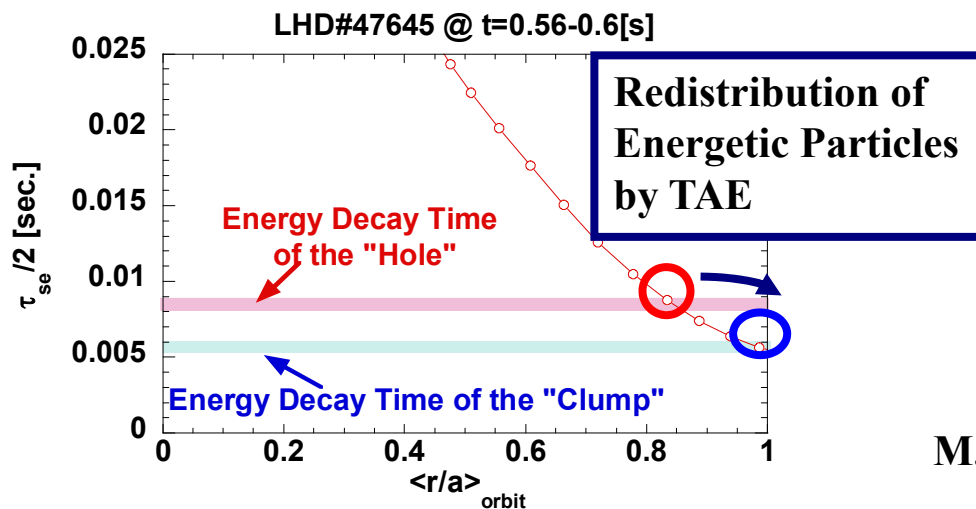
W.W. Heidbrink et al., PRL (2007)



Clump-Hole Pair Formation in Phase Space by TAE Bursts on LHD

Rax=3.6m, Bt=-0.5T (very low field)=> Large orbit deviation of 170 keV beams
TAE peak at $r/a \sim 2/3$ (f close to the upper bound of the gap)

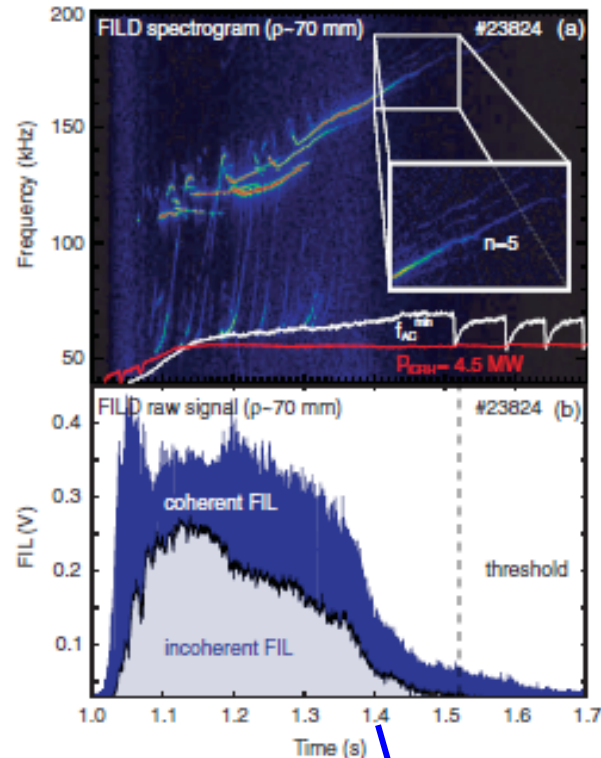
- The 'hole' starts from 153keV and its typical decay time is $\tau_s = 8.3[\text{ms}]$
 - The 'clump' starts from 153keV and its decay time is $\tau_s = 6[\text{ms}]$
- => Clump is transported to the plasma edge region of short τ_s



M. Osakabe et al., NF (2006)



Convective and Diffusive Losses by AEs on AUG



Fast ion loss detector (FILD) just inside LCFS

Spectrogram of FILD signal:

Coherent fluctuations by RSAE & TAE
(Resonant interaction between EPs and AEs)

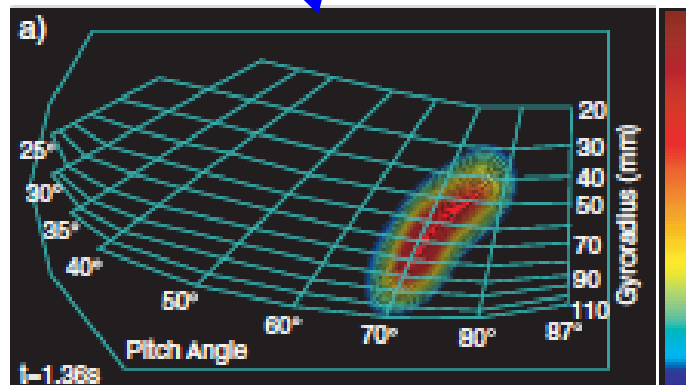
Waveform of FILD signal

Incoherent base plus coherent fluctuations

Coherent loss (Γ_{coh}): convective loss ($\propto b_{\text{TAE}}$)

Incoherent loss (Γ_{incoh}): diffusive loss ($\propto b_{\text{TAE}}^2$)

M. Garcia Munoz et al., PRL(2010)



In LHD, convective and diffusive losses of EPs by TAE bursts are also observed.

K. Ogawa et al., NF (2013)



Summary and Future Prospect



Summary and Future Prospect

1. **Good agreement between experiments and MHD theories with kinetic effects for various Alfvén eigenmodes (frequency, spatial mode structure, ...)**

TAEs, RSAEs/GAEs, HAEs, BAEs,

2. **Progress in AE stability analysis**

Measurements of **low n TAE** damping rate in JET and C-Mod

=> **Good agreement with theories (ITPA)**

Under investigation on damping rate of **mid n (=10-20) TAEs** in JET

Code bench mark test of TAE growth rate in ITPA EP-TG

(Less information of EP distribution function in experiments)

3. **Intensive Studies of nonlinear AE studies**

Rapid frequency chirping, spectrum splitting, chaotic spectra

=> **Phase space information may be extracted from nonlinear behaviors of AEs.**



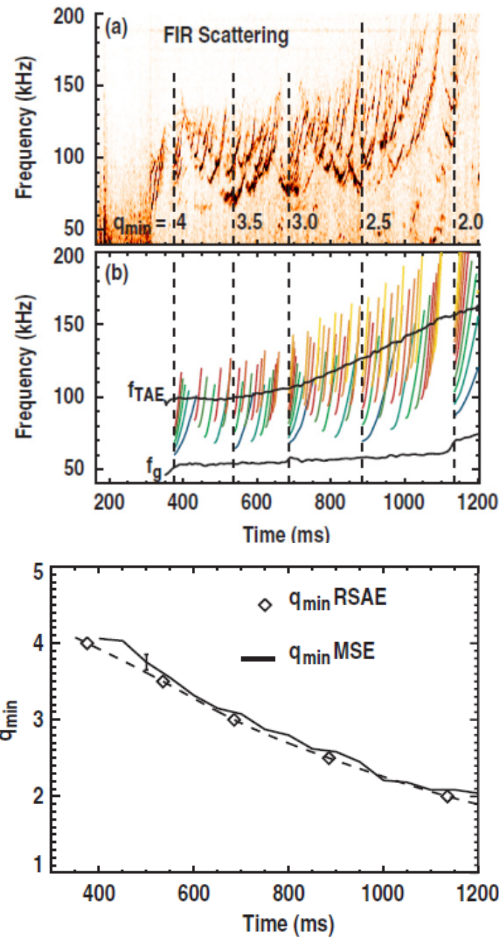
Summary and Future Prospect

4. **Loss and redistribution of energetic ions by EP driven modes in tokamak and helical/stellarators**
5. **Possibility of radial transport of well-slowed down energetic ions ($E=5-10T_e$) by micro-turbulence**
6. **Favorable aspect of energetic ion driven modes**
 - (1) **MHD spectroscopy by linear characters of EP driven modes**
 - => **Precise information of q-profile**
 - => **Applicability to DEMO**
 - (2) **Stability control of EP-driven modes through *Phase Space Engineering***
 - NBI or ICRF +externally applied MPs**
 - NBI + ICRF**
 - (3) **Favorable effects of EP driven modes on bulk plasma confinement**
 - Bulk confinement improvement by flow shear generation**
 - Slowing down of toroidal current penetration**
 - => configuration sustainement of RS configuration K.**
 - L. Wong et al., PRL (2004)**
 - Transient confinement improvement (K. Toi et al., PPCF (2010))**



MHD Spectroscopy Using EP Driven Global Instabilities

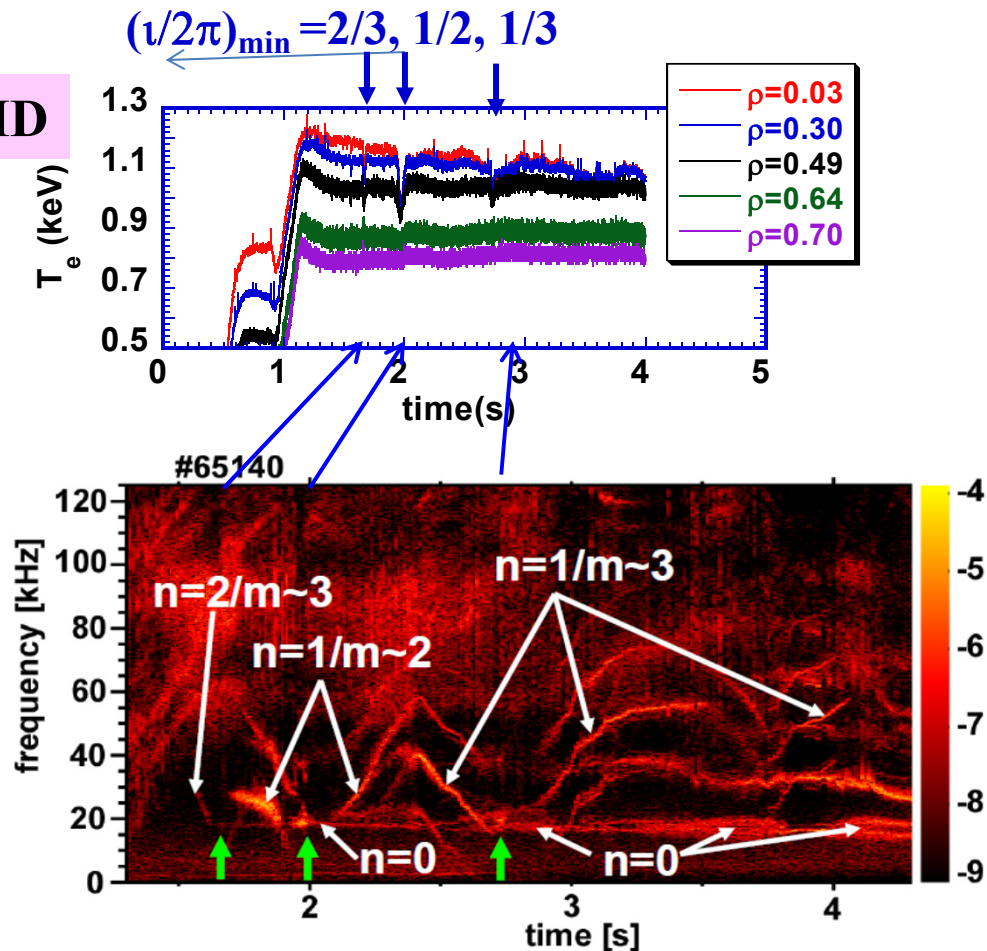
DIII-D



Derivation of $q_{\min}$ by RSAE
Good agreement with MSE results

M. Van Zeeland et al., NF 2006.

LHD

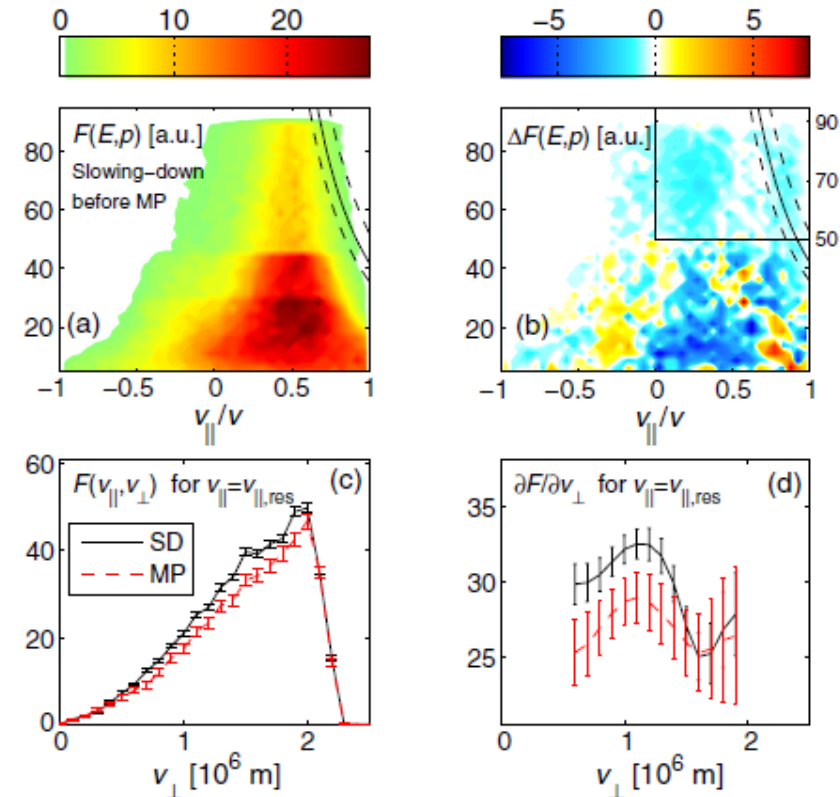
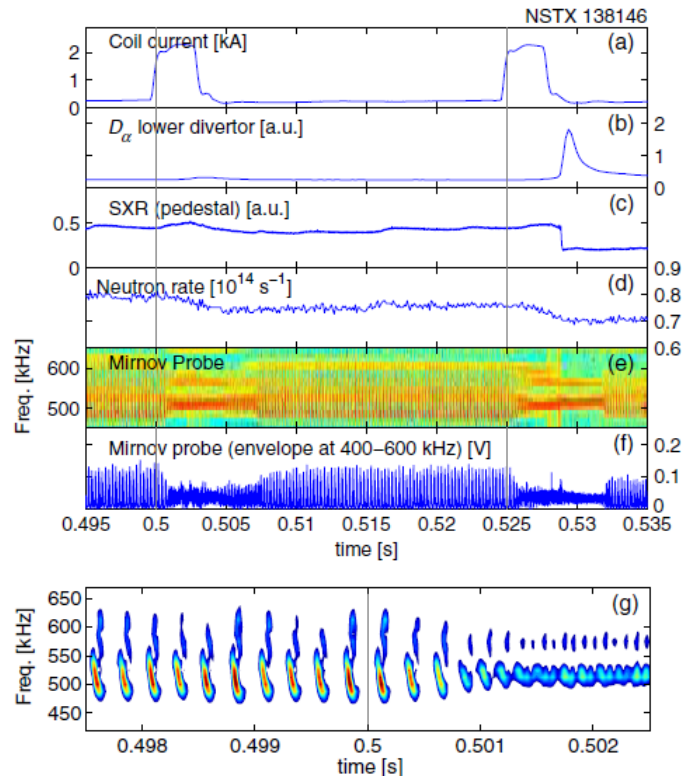


Derivation of $q_{\max}(=(1/2\pi)_{\min})$ by RSAE
Evaluation of β_h from $[f_{\min} \text{ of RSAE} = f_{\text{GAM}}]$

K. Toi et al., PPCF 2010.



AE Mitigation by 3D Magnetic Perturbations on NSTX



Reduction of AE amplitude by large 3D magnetic perturbations (MPs) of $\delta B/B \sim 0.01$.

Control of EP distribution function by MPs for

MHD stability(“Phase space engineering”)

Change of $P_h(r)$? Plasma shielding effect?

Before MPs

1ms after MPs switch-on

A. Bortolon et al., PRL 2013.

Sawtooth control by tuned ion heatings through phase space engineering on JET (J.P. Graves et al., Nature Com. (2013))