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Linear Analysis of Energetic-Particle-Driven Low-Frequency Eigenmodes

A. Fukuyama

Department of Nuclear Engineering, Kyoto University, Kyoto, Japan

Outline

- **Full wave approach of linear stability analysis**
- **Full wave code:** TASK/WM
- **Analysis of Alfvén eigenmodes**
 - TAE
 - EPM
 - TAE in rotating plasmas
 - RSAE
- **Progress in full wave analysis**
- **Summary**

Full Wave Approach of Linear Stability Analysis

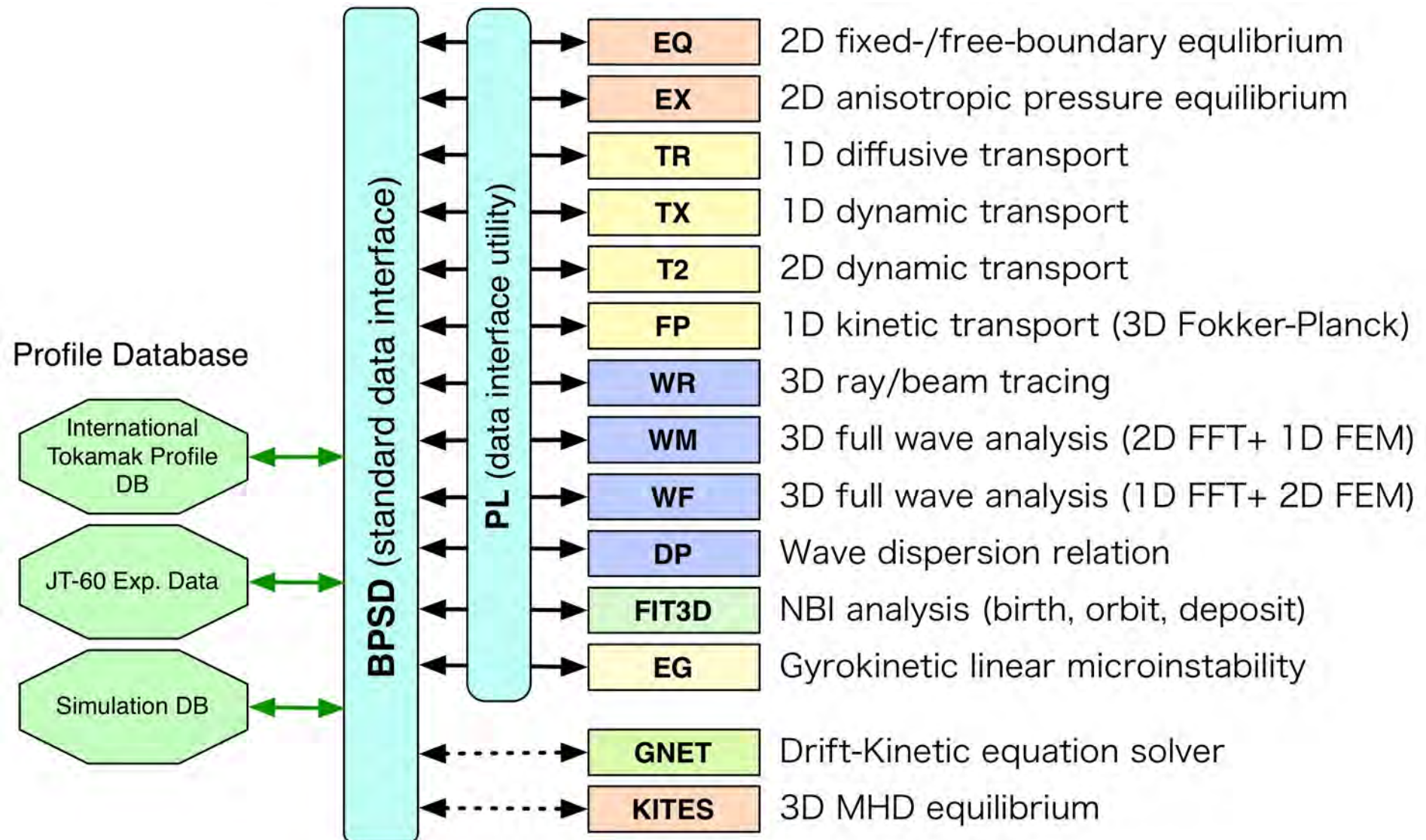
- **Conventional analysis of global stability analysis**
 - **MHD Analysis** (Ideal, Resistive)
 - **Extended MHD Analysis** (Hall, Multi-fluid)
 - **MHD including Kinetic Effect** (perturbative)
 - Eigen function from MHD analysis
 - Growth rate including kinetic effects
- **Issues in MHD analysis**
 - Propagation in vacuum
 - Strongly coupled with plasma model
- **Full wave approach to global stability analysis**
 - Boundary value problem of Maxwell's equation
 - Dielectric tensor describes plasma response
 - Physical damping mechanism

Damping Mechanism of Alfvén Eigenmodes

- **MHD model**
 - Absorption near Alfvén resonance
(**Continuous spectrum damping**)
- **Perturbative treatment of kinetic Alfvén waves**
(**Eigen function: MHD, Damping: Kinetic**)
 - Radiative damping
(**power propagating outward**)
 - Landau damping
(**Estimation of parallel wave electric field**)
- **Kinetic absorption mechanism**
 - Electron Landau damping
 - Landau damping of energetic ions

Present Structure of Integrated Modeling Code TASK

- Integrated code for the analysis of toroidal plasmas**



Full wave code in TASK

- **Features of full wave component:** **TASK/WM**
 - Boundary value problem of **Maxwell's equation**
 - Various models of **dielectric tensor**: **TASK/DP**
 - **Magnetic surface coordinates** from MHD Equilibrium Analysis
 - **Fourier mode expansion** in poloidal and toroidal direction
 - **Finite difference method** in radial direction
 - **Complex wave frequency** to maximize the wave field.
- **Other full wave components**
 - Using finite element method
- **Coupling with Fokker-Planck analysis of $f(v)$:** **TASK/FP**
 - Generation of energetic particles

TASK/WM

- **Magnetic surface coordinates:** (ψ, θ, φ)
 - **Non-orthogonal system** (including 3D helical configuration)

- **Maxwell's equation** for stationary wave electric field $\mathbf{E}$

$$\nabla \times \nabla \times \mathbf{E} = \frac{\omega^2}{c^2} \overleftrightarrow{\epsilon} \cdot \mathbf{E} + i \omega \mu_0 \mathbf{j}_{\text{ext}}$$

- $\overleftrightarrow{\epsilon}$: **Dielectric tensor with kinetic effects:** $Z[(\omega - n\omega_c)/k_{\parallel}]$
- **Fourier expansion** in poloidal and toroidal directions
 - **Exact parallel wave number:** $k_{\parallel}^{m,n} = (mB^{\theta} + nB^{\varphi})/B$
- **Destabilization by energetic ions** included in $\overleftrightarrow{\epsilon}$

- **Drift kinetic equation**

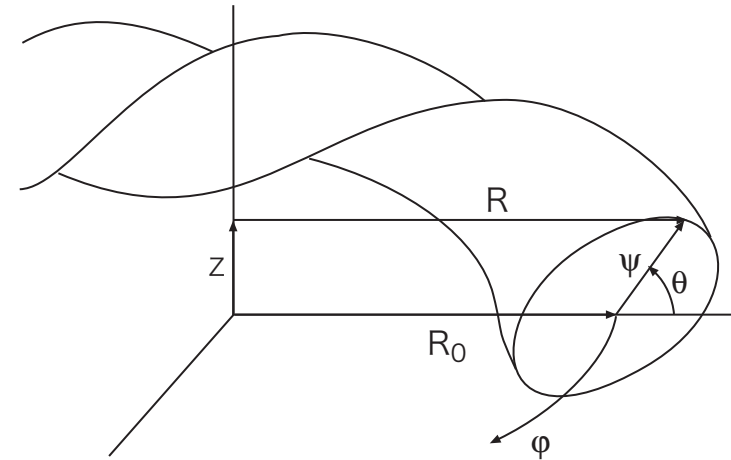
$$\left[\frac{\partial}{\partial t} + v_{\parallel} \nabla_{\parallel} + (\mathbf{v}_d + \mathbf{v}_E) \cdot \nabla + \frac{e_{\alpha}}{m_{\alpha}} (v_{\parallel} E_{\parallel} + \mathbf{v}_d \cdot \mathbf{E}) \frac{\partial}{\partial \varepsilon} \right] f_{\alpha} = 0$$

- **Eigenvalue problem** for complex wave frequency
 - **Maximize wave amplitude** for finite excitation proportional to n_e

Coordinates

- **Magnetic Surface Coordinates (Non-Orthogonal)**

- Minor radius direction:
Poloidal Magnetic Flux ψ
- Poloidal direction: θ
- Toroidal direction: φ



- **Co-variant expression** of E

$$E = E_1 e^1 + E_2 e^2 + E_3 e^3$$

where contra-variant basis

$$e^1 = \nabla\psi, \quad e^2 = \nabla\theta, \quad e^3 = \nabla\varphi$$

- **J : Jacobian** $J = \frac{1}{e^1 \cdot e^2 \times e^3} = \frac{1}{\nabla\psi \cdot \nabla\theta \times \nabla\varphi}$

- **g : Metric tensor** $g_{ij} = e_i \cdot e_j$, where co-variant basis $e_i \equiv \partial \mathbf{r} / \partial x_i$

Wave Equation

- **Maxwell's equation** for stationary wave electric field E
(angular frequency ω , light velocity c)

$$\nabla \times \nabla \times E = \frac{\omega^2}{c^2} \overleftrightarrow{\epsilon} \cdot E + i \omega \mu_0 j_{\text{ext}}$$

- $\overleftrightarrow{\epsilon}$: **Dielectric tensor** : plasma response

Cyclotron damping, Landau damping

- j_{ext} : Antenna Current

- **Wave equation in non-orthogonal coordinates** (radial components)

$$\begin{aligned} (\nabla \times \nabla \times E)^1 = & \frac{1}{J} \left[\frac{\partial}{\partial x^2} \left\{ \frac{g_{31}}{J} \left(\frac{\partial E_3}{\partial x^2} - \frac{\partial E_2}{\partial x^3} \right) + \frac{g_{32}}{J} \left(\frac{\partial E_1}{\partial x^3} - \frac{\partial E_3}{\partial x^1} \right) + \frac{g_{33}}{J} \left(\frac{\partial E_2}{\partial x^1} - \frac{\partial E_1}{\partial x^2} \right) \right\} \right. \\ & \left. - \frac{\partial}{\partial x^3} \left\{ \frac{g_{21}}{J} \left(\frac{\partial E_3}{\partial x^2} - \frac{\partial E_2}{\partial x^3} \right) + \frac{g_{22}}{J} \left(\frac{\partial E_1}{\partial x^3} - \frac{\partial E_3}{\partial x^1} \right) + \frac{g_{23}}{J} \left(\frac{\partial E_2}{\partial x^1} - \frac{\partial E_1}{\partial x^2} \right) \right\} \right] \end{aligned}$$

- $(x^1, x^2, x^3) = (\psi, \theta, \varphi)$
- Similar expression for poloidal and toroidal components

Response of Plasmas

- Usually the dielectric tensor $\overleftrightarrow{\epsilon}$ is calculated in Cartesian coordinates with static magnetic field along the z axis.

- Local normalized orthogonal coordinates**

$$\hat{e}_s = \frac{\nabla\psi}{|\nabla\psi|}, \quad \hat{e}_b = \hat{e}_h \times \hat{e}_\psi, \quad \hat{e}_h = \frac{\mathbf{B}_0}{|\mathbf{B}_0|}$$

- Variable Transformation: $\overleftrightarrow{\mu}$

$$\begin{pmatrix} E_1 \\ E_2 \\ E_3 \end{pmatrix} = \overleftrightarrow{\mu} \cdot \begin{pmatrix} E_s \\ E_b \\ E_h \end{pmatrix}$$

$$\overleftrightarrow{\mu} \equiv \begin{pmatrix} \frac{1}{\sqrt{g^{11}}} & \frac{d}{\sqrt{Jg^{11}}} & c_2g_{12} + c_3g_{13} \\ 0 & c_3J\sqrt{g^{11}} & c_2g_{22} + c_3g_{23} \\ 0 & -c_2J\sqrt{g^{11}} & c_2g_{32} + c_3g_{33} \end{pmatrix} \quad \begin{aligned} c_2 &= B^\theta/B, & c_3 &= B^\phi/B \\ d &= c_2(g_{23}g_{12} - g_{22}g_{31}) + c_3(g_{33}g_{12} - g_{32}g_{31}) \\ g^{11} &= (g_{22}g_{33} - g_{23}g_{32})/J^2 \end{aligned}$$

- Dielectric tensor in non-orthogonal coordinates:**

$$\boxed{\overleftrightarrow{\epsilon} = \overleftrightarrow{\mu} \cdot \overleftrightarrow{\epsilon}_{sbh} \cdot \overleftrightarrow{\mu}^{-1}}$$

Fourier Mode Expansion

- **Fourier expansion in poloidal and toroidal directions**
- Spatial variation of wave electric field, medium and the L.H.S. of Maxwell's equation

$$E(\psi, \theta, \varphi) = \sum_{mn} E_{mn}(\psi) e^{i(m\theta + n\varphi)}$$

$$G(\psi, \theta, \varphi) = \sum_{lk} G_{lk}(\psi) e^{i(l\theta + kN_p\varphi)}$$

$$J(\nabla \times \nabla \times \mathbf{E}) = G(\psi, \theta, \varphi) E(\psi, \theta, \varphi) = \sum_{m'n'} [J(\nabla \times \nabla \times \mathbf{E})]_{m'n'} e^{i(m'\theta + n'\varphi)}$$

- **Coupling between various modes** (N_h : Rotation number of helical coil in φ)

Mode Number	Toroidal Direction	Poloidal Direction
Wave electric field $\mathbf{E}$	n	m
Medium G	kN_h	l
$J(\nabla \times \nabla \times \mathbf{E})$	n'	m'
Relations	$n' = n + kN_h$	$m' = m + l$

Parallel Wave Number

- **Dielectric tensor** $\overleftrightarrow{\epsilon}(\psi, \theta, \varphi, k_{\parallel}^{m''n''})$ depends on **parallel wave number** $k_{\parallel}^{m''n''}$ through the **plasma dispersion function** $Z[(\omega - N\omega_{cs})/k_{\parallel}^{m''n''}v_{Ts}]$

$$k_{\parallel}^{m''n''} = -i\hat{e}_h \cdot \nabla = -i\hat{e}_h \cdot \left(\nabla\theta \frac{\partial}{\partial\theta} + \nabla\varphi \frac{\partial}{\partial\varphi} \right)$$

$$= -i\hat{e}_h \cdot \left(e^2 \frac{\partial}{\partial\theta} + e^3 \frac{\partial}{\partial\varphi} \right) = m'' \frac{B^\theta}{|B|} + n'' \frac{B^\varphi}{|B|}$$

- **Fourier components of electric displacement**

$$(J \overleftrightarrow{\epsilon} \cdot E)^i = J \overleftrightarrow{g}^{-1} \cdot \overleftrightarrow{\mu} \cdot \overleftrightarrow{\epsilon}_{sbh} \cdot \overleftrightarrow{\mu}^{-1} \cdot E_i$$

m'	ℓ_3	ℓ_2	ℓ_1	m
n'	k_3	k_2	k_1	n

therefore

$$m'' = m + \ell_1 + \frac{1}{2}\ell_2 \qquad n'' = n + k_1 + \frac{1}{2}k_2$$

$$m' = m + \ell_1 + \ell_2 + \ell_2 \qquad n' = n + k_1 + k_2 + k_3$$

Destabilization by Energetic Ion

- Drift kinetic equation**

$$\left[\frac{\partial}{\partial t} + v_{\parallel} \nabla_{\parallel} + (\mathbf{v}_d + \mathbf{v}_E) \cdot \nabla + \frac{e_{\alpha}}{m_{\alpha}} (v_{\parallel} E_{\parallel} + \mathbf{v}_d \cdot \mathbf{E}) \frac{\partial}{\partial \varepsilon} \right] f_{\alpha} = 0$$

where

$$\varepsilon = \frac{1}{2} m_{\alpha} v^2, \quad \mathbf{v}_E = \frac{\mathbf{E} \times \mathbf{B}}{B^2}, \quad \mathbf{v}_d = v_d \sin \theta \hat{\mathbf{r}} + v_d \cos \theta \hat{\boldsymbol{\theta}},$$

$$v_d = \frac{m_{\alpha}}{e_{\alpha} B R} \cdot \frac{v_{\perp}^2}{v_{\perp}^2 + v_{\parallel}^2}$$

- Linear response**

- Velocity integral of perturbed distribution function
- Poloidal mode coupling due to magnetic drift motion

Response of Energetic Particles

- Anti-Hermite part of electric susceptibility tensor**

$$\begin{aligned} \overleftrightarrow{\chi}_{mm'} = & \begin{pmatrix} 1 & -i & 0 \\ -i & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} P_{m-1,m-2} \delta_{m',m-2} + \begin{pmatrix} 0 & 0 & Q_{m-1,m-1} \\ 0 & 0 & -i Q_{m-1,m-1} \\ Q_{m,m-1} & -i Q_{m,m-1} & 0 \end{pmatrix} \delta_{m',m-1} \\ & + \begin{pmatrix} (P_{m-1,m} + P_{m+1,m}) & i(P_{m-1,m} - P_{m+1,m}) & 0 \\ -i(P_{m-1,m} - P_{m+1,m}) & (P_{m-1,m} + P_{m+1,m}) & 0 \\ 0 & 0 & R_{m-1,m-1} \end{pmatrix} \delta_{m',m} \\ & + \begin{pmatrix} 0 & 0 & Q_{m+1,m+1} \\ 0 & 0 & i Q_{m+1,m+1} \\ Q_{m,m+1} & i Q_{m,m+1} & 0 \end{pmatrix} \delta_{m',m+1} + \begin{pmatrix} 1 & i & 0 \\ i & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} P_{m+1,m+2} \delta_{m',m+2} \end{aligned}$$

- In the case of Maxwellian velocity distribution**

$$P_{m,m'} = i \frac{\omega_{p\alpha}^2}{2\omega^2} \left(1 - \frac{\omega_{*am'}}{\omega}\right) \frac{\rho_\alpha^2}{R^2} \sqrt{\pi} x_m \left(\frac{1}{2} + x_m^2 + x_m^4\right) e^{-x_m^2}$$

$$Q_{m,m'} = i \frac{\omega_{p\alpha}^2}{2\omega^2} \left(1 - \frac{\omega_{*am'}}{\omega}\right) \frac{\rho_\alpha}{R} \sqrt{\pi} 2x_m^2 \left(\frac{1}{2} + x_m^2\right) e^{-x_m^2}$$

$$R_{m,m'} = i \frac{\omega_{p\alpha}^2}{2\omega^2} \left(1 - \frac{\omega_{*am'}}{\omega}\right) \sqrt{\pi} 4x_m^3 e^{-x_m^2}$$

$$x_m = \omega/|k_{||m}|v_{T\alpha}, \quad \rho_\alpha = v_{T\alpha}/\omega_{c\alpha}, \quad v_{T\alpha} = \sqrt{2T_\alpha/m_\alpha}$$

Boundary Conditions

- Calculation region surrounded by **perfectly conducting wall** (**Vacuum region** exists between plasma surface and wall)
- Boundary condition on the **conducting wall**:
Tangential components of $\mathbf{E}$ vanishes.
 - Co-variant expression ($\mathbf{E} = E_1 \nabla \psi + E_2 \nabla \theta + E_3 \nabla \varphi$): $E_2 = 0, E_3 = 0$
- Boundary condition on the **magnetic axis** ($\psi = 0$):
Finiteness of the wave magnetic field and the induced charge density

$$\left\{ \begin{array}{ll} m = 0 & \frac{\partial E_{\varphi}^{0n}}{\partial \psi} = 0 \\ m \neq 0 & E_{\varphi}^{mn} = 0 \end{array} \right.$$

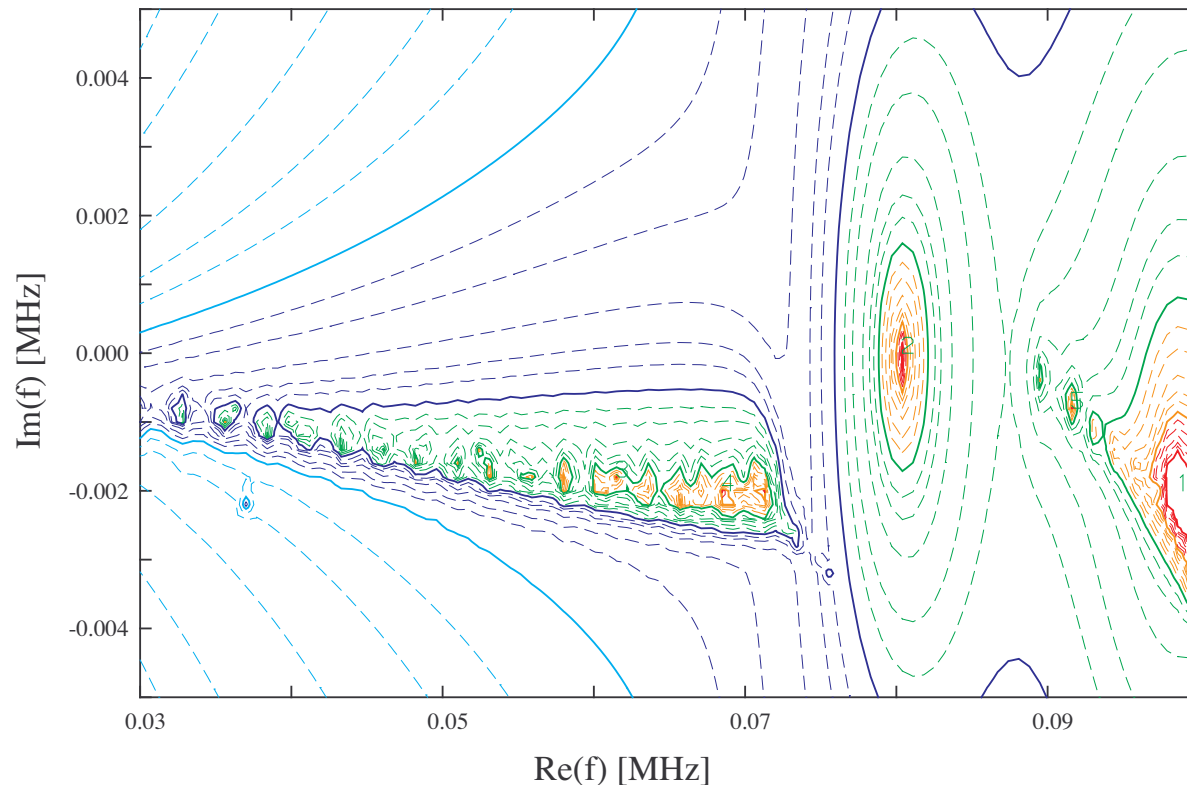
Co-variant component E_{θ}^{mn} always vanishes on the axis.

Typical TAE with Positive Magnetic Shear

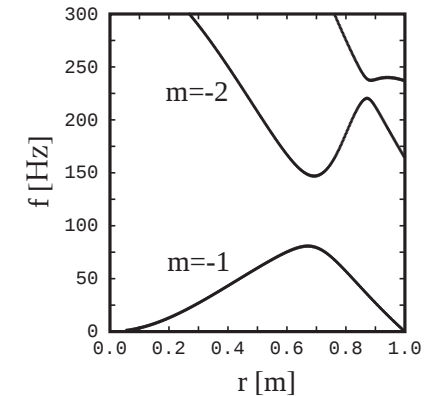
- Configuration**

- $q(\rho) = q_0 + (q_a - q_0)\rho^2$, $q_0 = 1$, $q_a = 2$
- Flat Density Profile

Contour of $|E|^2$ in Complex Frequency Space



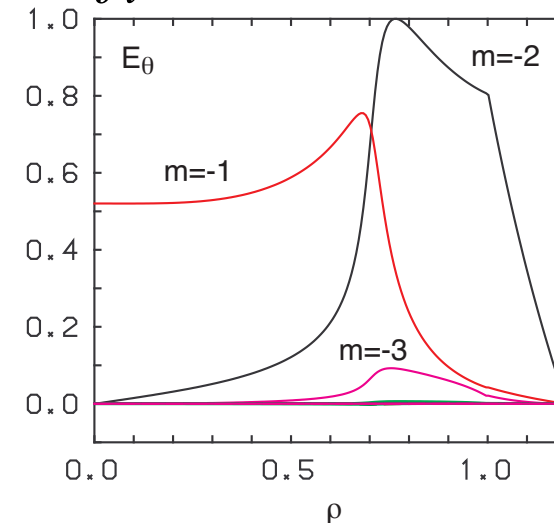
Alfvén Frequency



Eigen function

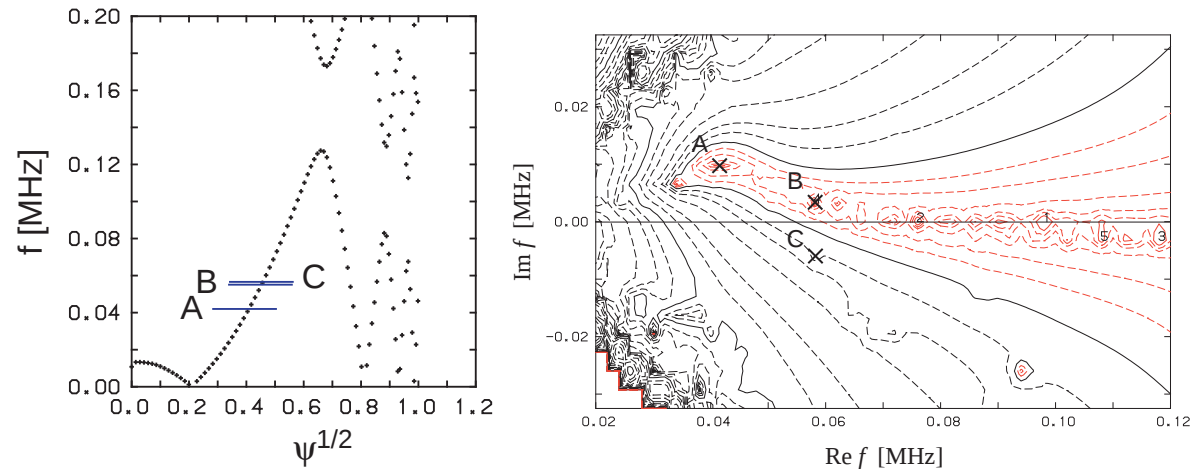
$$f_r = 81.95 \text{ kHz}$$

$$f_i = -20.32 \text{ Hz}$$

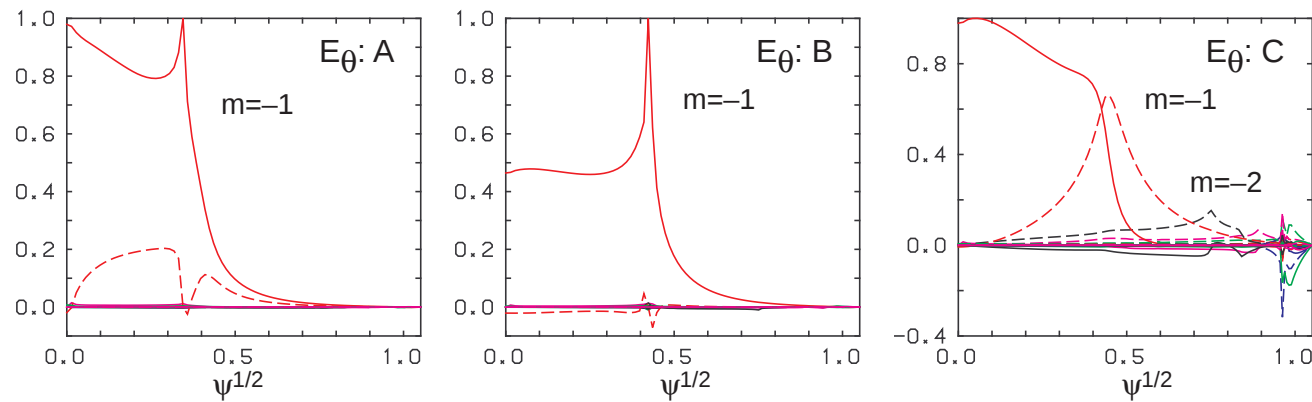


Energetic Particle Mode (EPM)

- Energetic ions can excite EPM with frequency below the TAE frequency gap.
- With β of energetic ions about 0.5%, ω_A and contour of wave amplitude



- Eigenmode structure



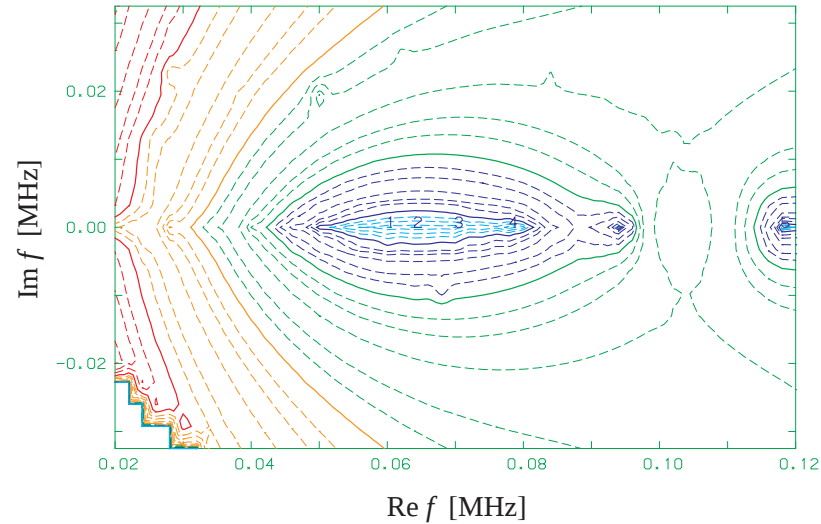
A: $f_r = 41.8$ kHz
A: $f_i = 8.1$ kHz

B: $f_r = 57.7$ kHz
B: $f_i = 3.6$ kHz

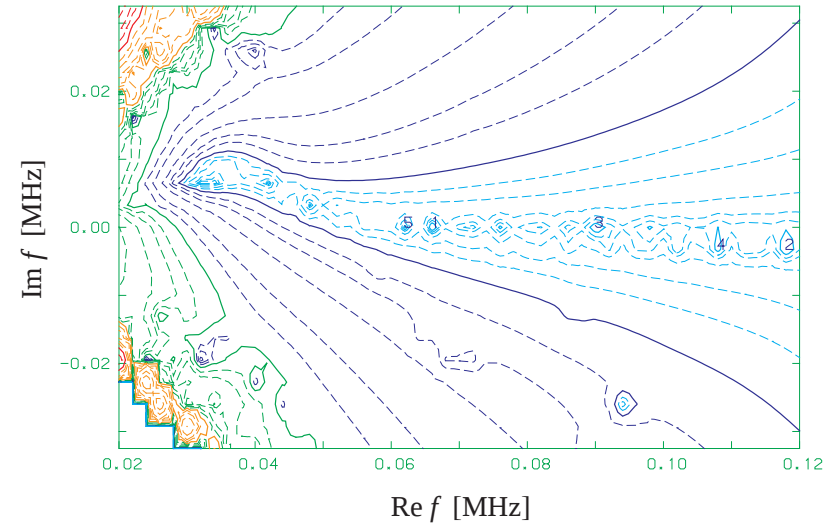
C: $f_r = 58.0$ kHz
C: $f_i = -6.0$ kHz

Parameter Dependence of Mode Structure

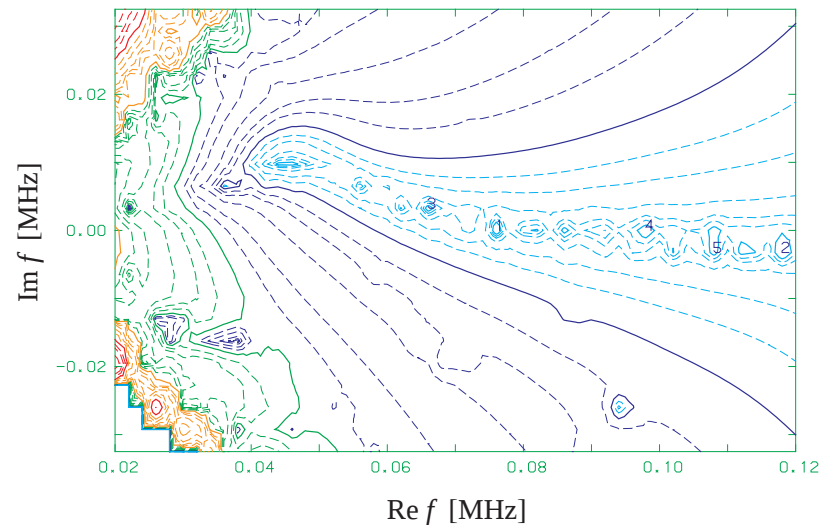
$$n_{F0} = 0 \times 10^{17} \text{ m}^{-3}, T_B = 0.5 \text{ MeV}$$



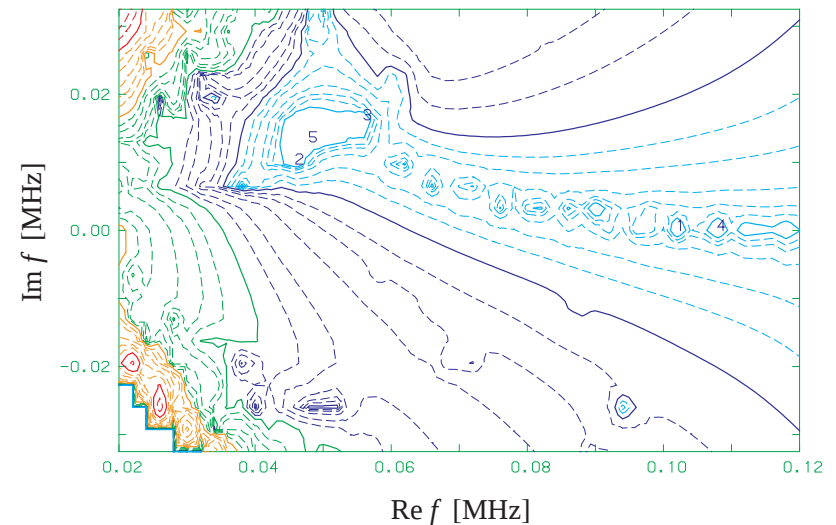
$$n_{F0} = 1 \times 10^{17} \text{ m}^{-3}, T_B = 0.5 \text{ MeV}$$



$$n_{F0} = 3 \times 10^{17} \text{ m}^{-3}, T_B = 0.5 \text{ MeV}$$



$$n_{F0} = 1 \times 10^{17} \text{ m}^{-3}, T_B = 1 \text{ MeV}$$



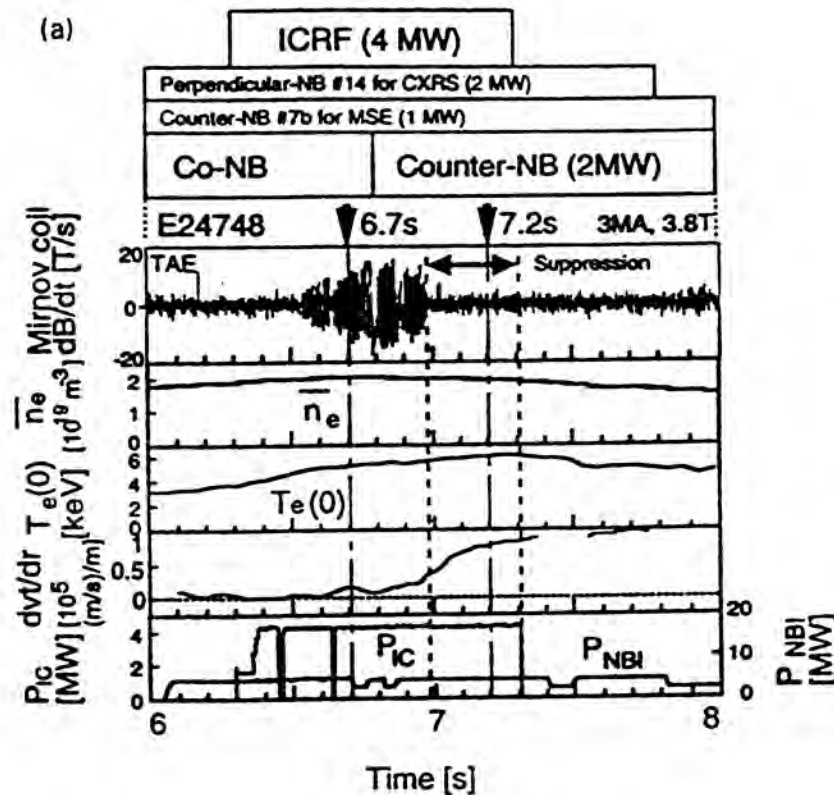
Effect of Toroidal Plasma Rotation

Experimental Results on JT-60U

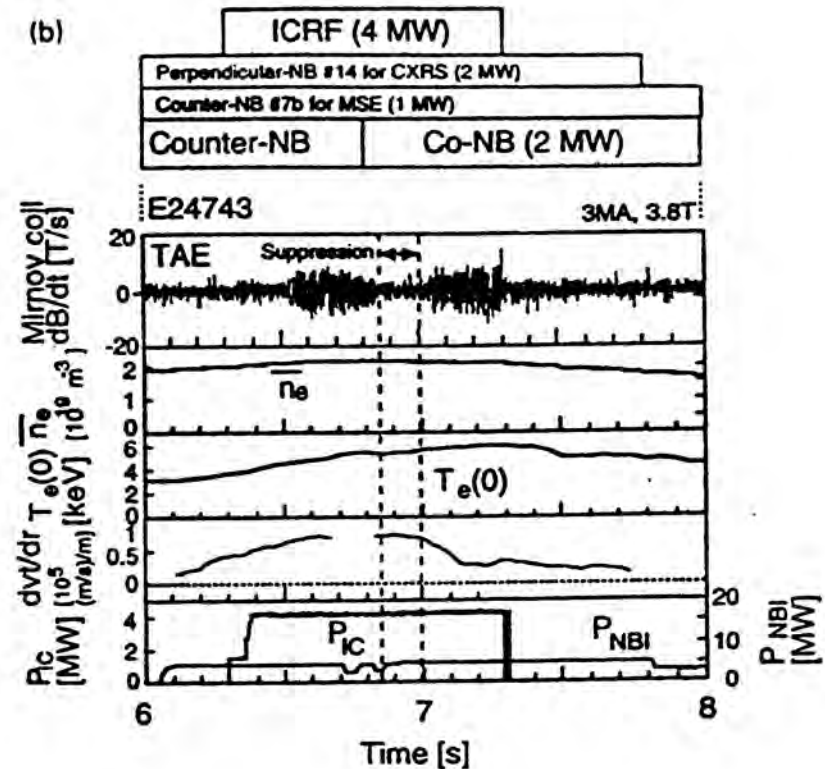
Ref. M. Saigusa et al., Nucl. Fusion, 37 (1997) 1559.

Co-NBI $\longrightarrow$ Counter-NBI

Counter-NBI $\longrightarrow$ Co-NBI



Counter-NBI: stabilization



Co-NBI: destabilization

Dispersion Relation including Toroidal Rotation

- Dispersion relation**

$$\left(k_{\parallel m}^2 - \frac{(\omega - k_{\parallel m} u)^2}{v_A^2}\right) \left(k_{\parallel m+1}^2 - \frac{(\omega - k_{\parallel m+1} u)^2}{v_A^2}\right) - \epsilon^2 \frac{(\omega - k_{\parallel m} u)^2 (\omega - k_{\parallel m+1} u)^2}{v_A^4} = 0$$

- Parallel wave number $k_{\parallel m} = \frac{1}{R} \left(n + \frac{m}{q}\right)$

- Alfvén resonance condition without toroidal effect

$$\omega^2 = k_{\parallel m}^2 (u \pm v_A)^2, \quad \omega^2 = k_{\parallel m+1}^2 (u \pm v_A)^2$$

- Condition for frequency gap

$$k_{\parallel m} (u - v_A) = k_{\parallel m+1} (u + v_A)$$

- Safety factor at TAE gap:** q

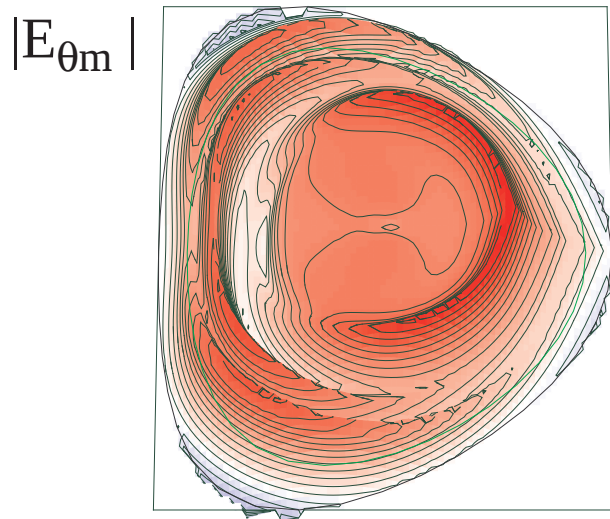
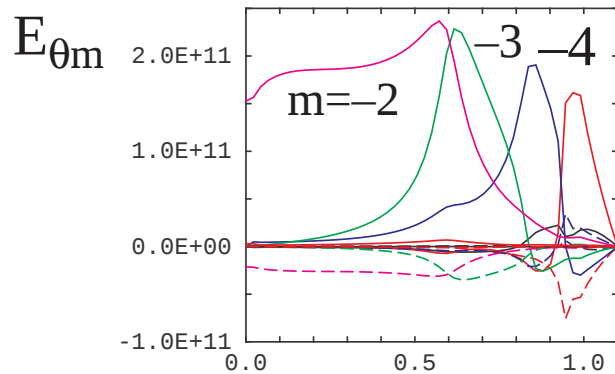
$$q = -\frac{m + 1/2}{n} - \frac{1}{2n} \frac{u}{v_A}$$

- TAE gap frequency** ω : parabolic with respect to u

$$\omega = \frac{v_A}{2qR} \left(1 - \frac{u^2}{v_A^2}\right)$$

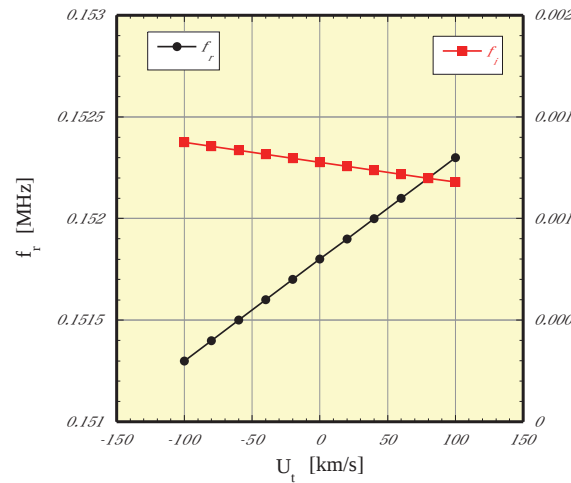
Effect of Rotation on $n = 1$ mode

$n = 1$ Eigenmode for
JT-60U parameters

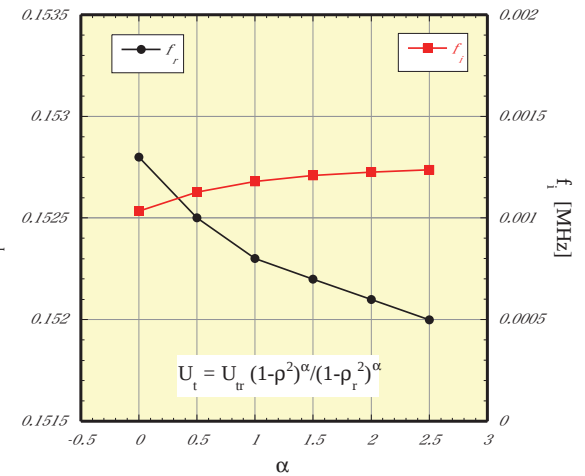


Dependence of eigen frequency and
damping rate on

Rotation Velocity

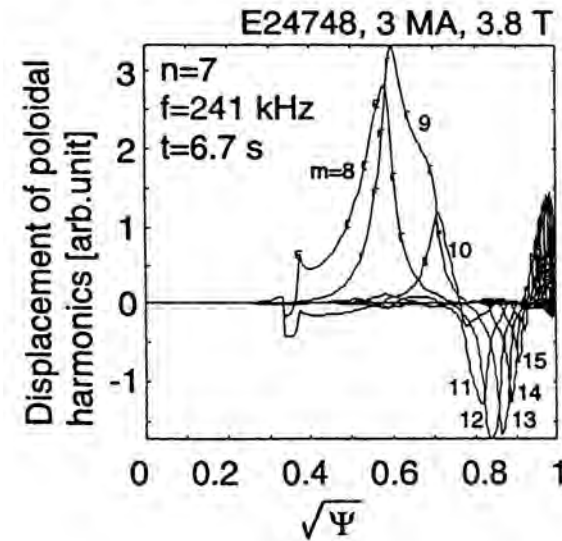
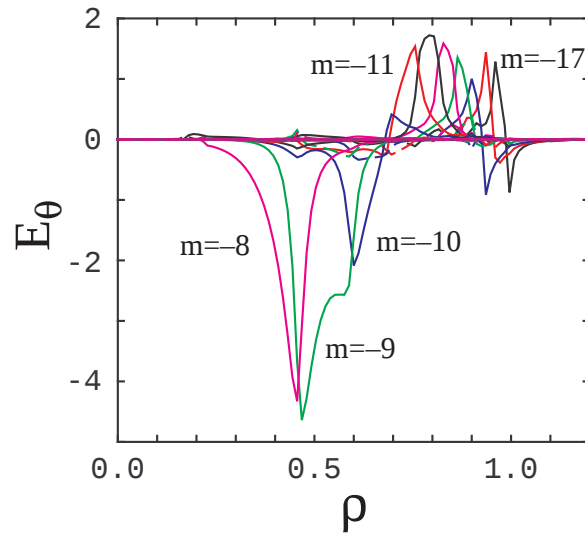


Velocity Gradient

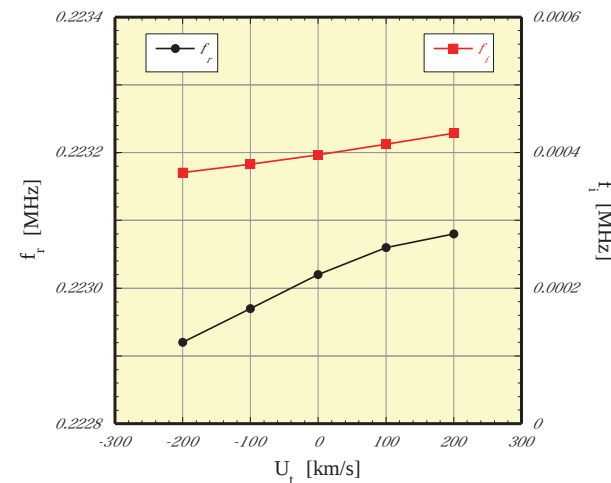
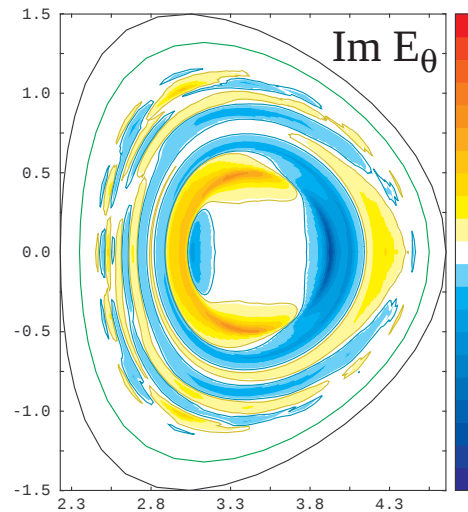


Effect of Rotation on $n = 7$ mode

- Ref. M. Saigusa et al., Nucl. Fusion **37** (1997) 1559.
- $n = 7, m = -17 \sim -3, f = 223$ kHz Good agreement with Nova-K



- Rotation velocity dependence: Stabilizing for co rotation (Contradict with exp.)

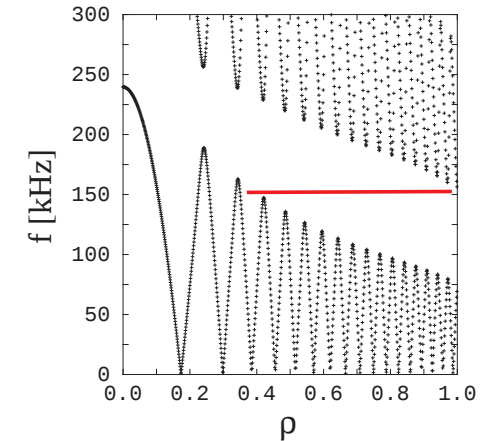
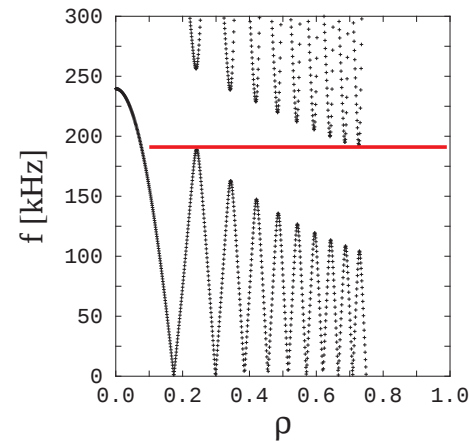


Influence of poloidal mode range : $n = 7$ mode

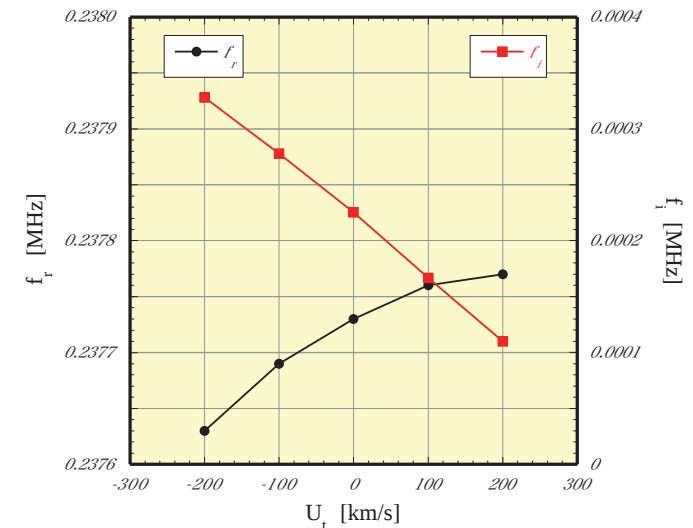
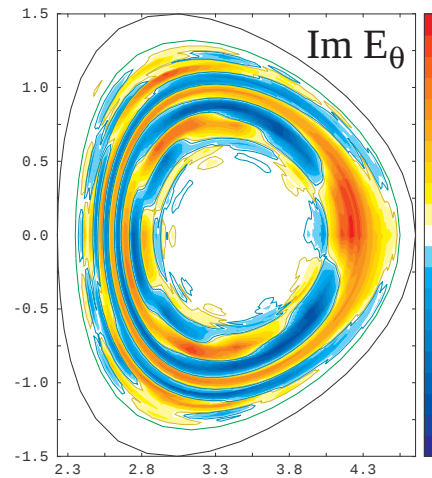
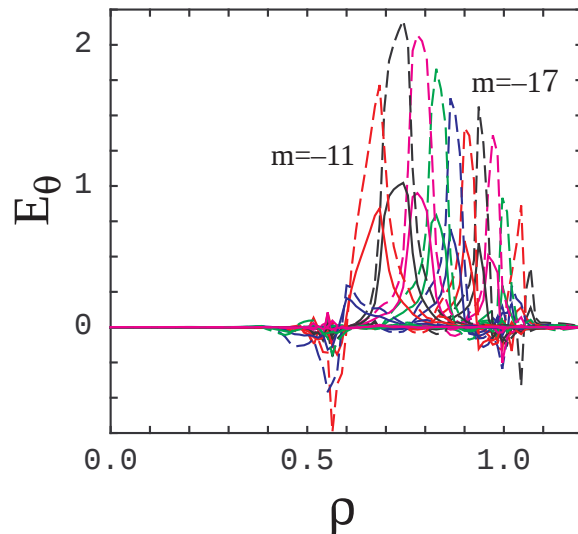
- Radial structure of Alfvén Continuum

$m = -17 \sim -3$

$m = -21 \sim -7$



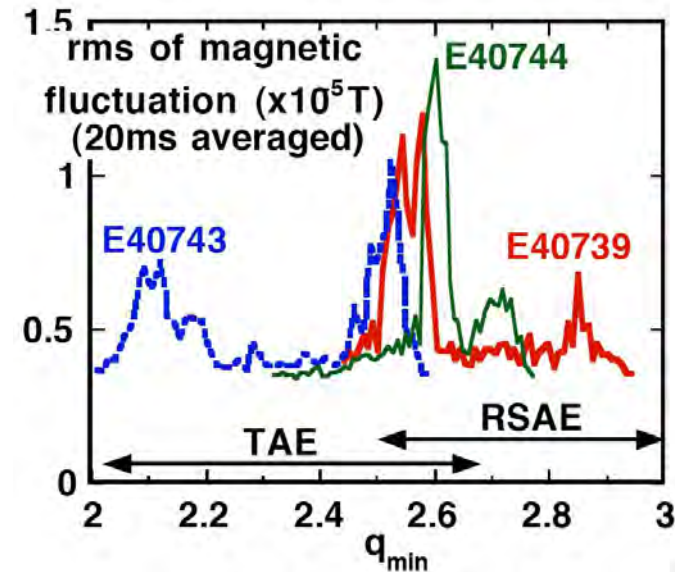
- $n = 7, m = -21 \sim -7, f = 238$ kHz FDestabilizing for co-rotation (agree with exp.)



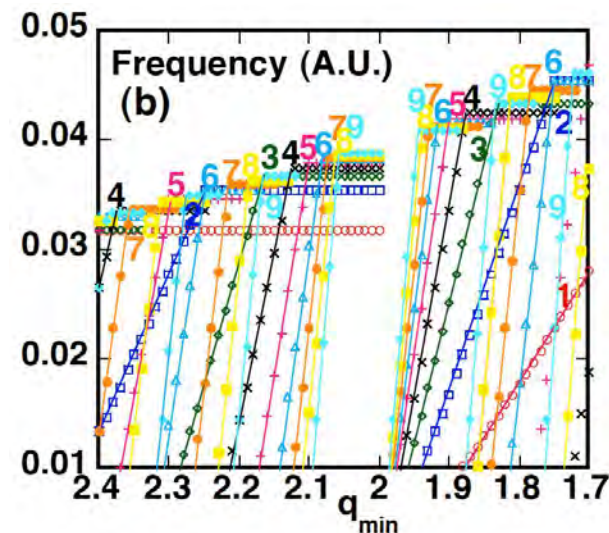
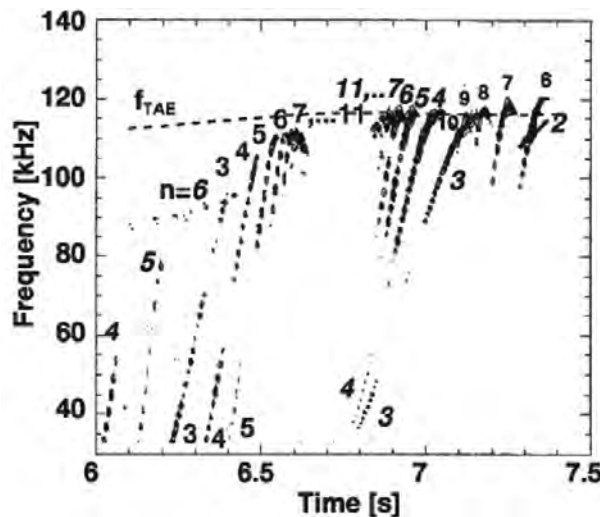
AE in the Reversed Magnetic Shear Configuration (JT-60U)

- Takechi et al. IAEA 2002 (Lyon) EX/W-6

Fluctuation Amplitude



Observed frequency calculated frequency



First observation of RSAE by TASK/WM

- Analysis of AE in RS Configuration at TCM on EP in 1997.

IAEA Technical Committee Meeting on
Alpha-Particles in Fusion Research
September 8-11, 1997
JET, Abington, UK

Kinetic Analysis of TAE in Tokamaks and Helical Devices

A. Fukuyama and T. Tohrai

Faculty of Engineering, Okayama University, Okayama

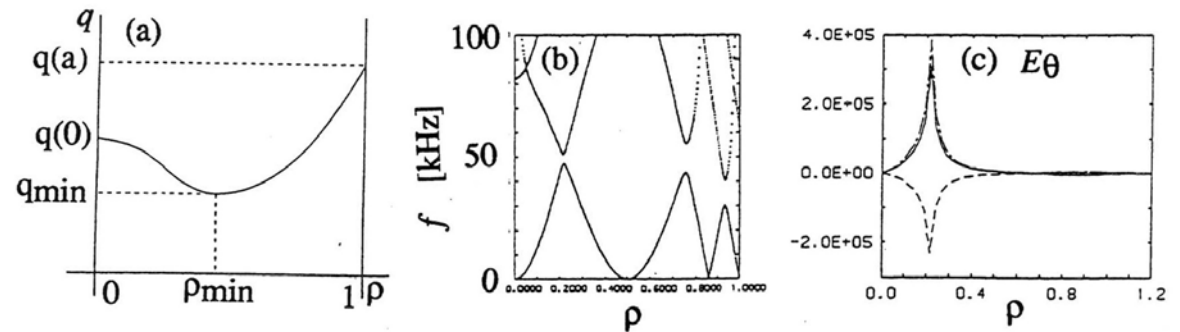


Fig.4: Radial profile of q (a), resonance frequency (b) and eigen function (c) in the case of negative shear; $q(0)=3$, $q_{\min}=2$, $q(a)=5$ and $n=1$.

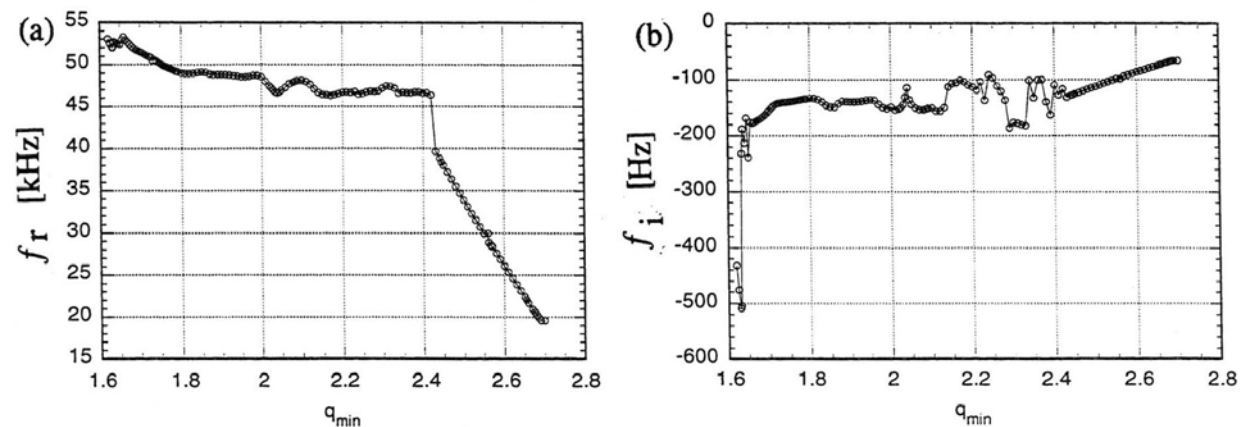
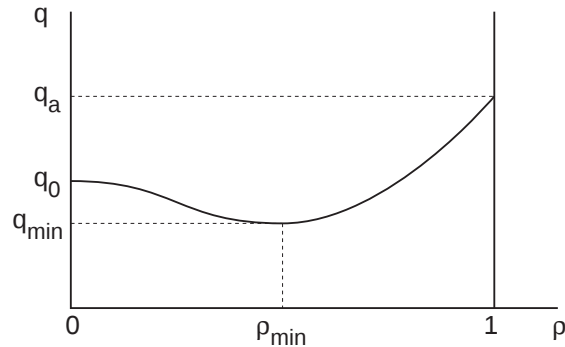


Fig.5: $q_{\min}$ dependence of the eigen frequency; real part (a) and imaginary part (b)

Analysis of AE in Reversed Shear Configuration

$q_{\min}$ Dependence of Eigenmode Frequency

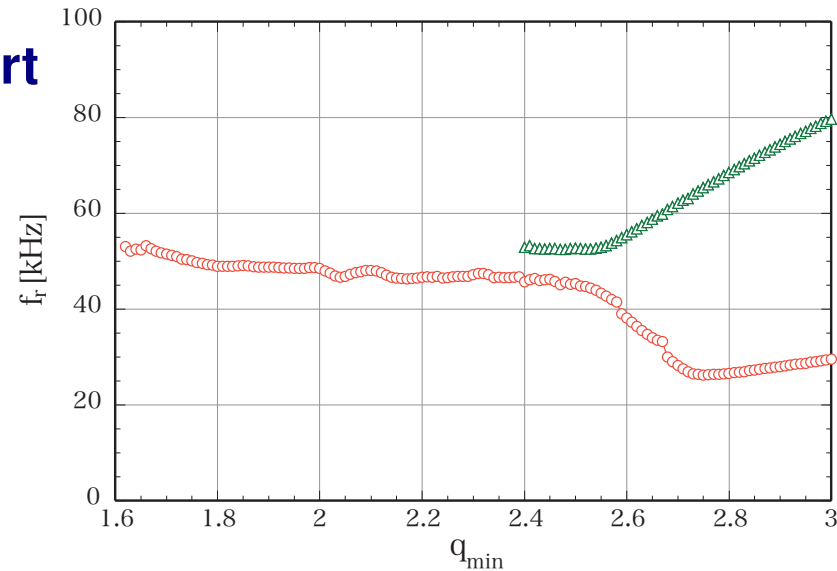
Assumed q profile



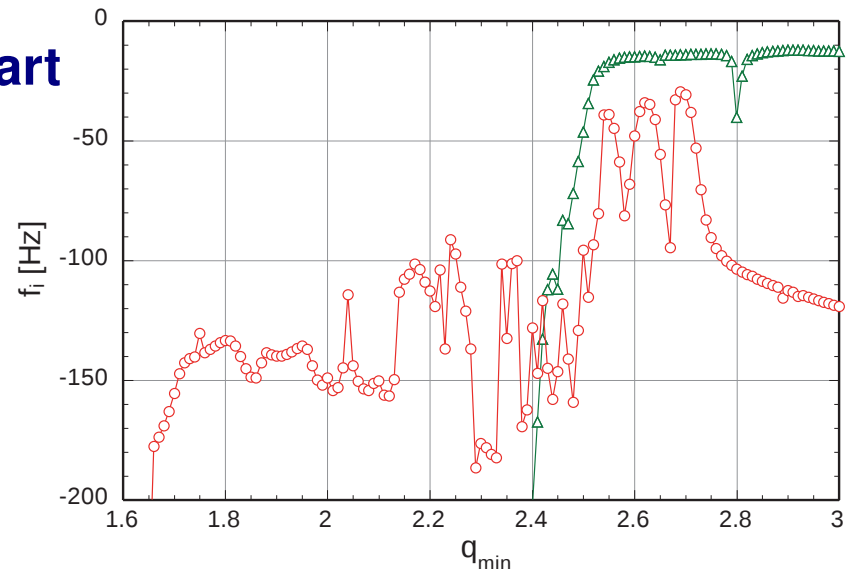
Plasma Parameters

R_0 3 m
 a 1 m
 B_0 3 T
 $n_e(0)$ 10^{20} m^{-3}
 $T(0)$ 3 keV
 $q(0)$ 3
 $q(a)$ 5
 $\rho_{\min}$ 0.5
 n 1
 Flat density profile

Real part

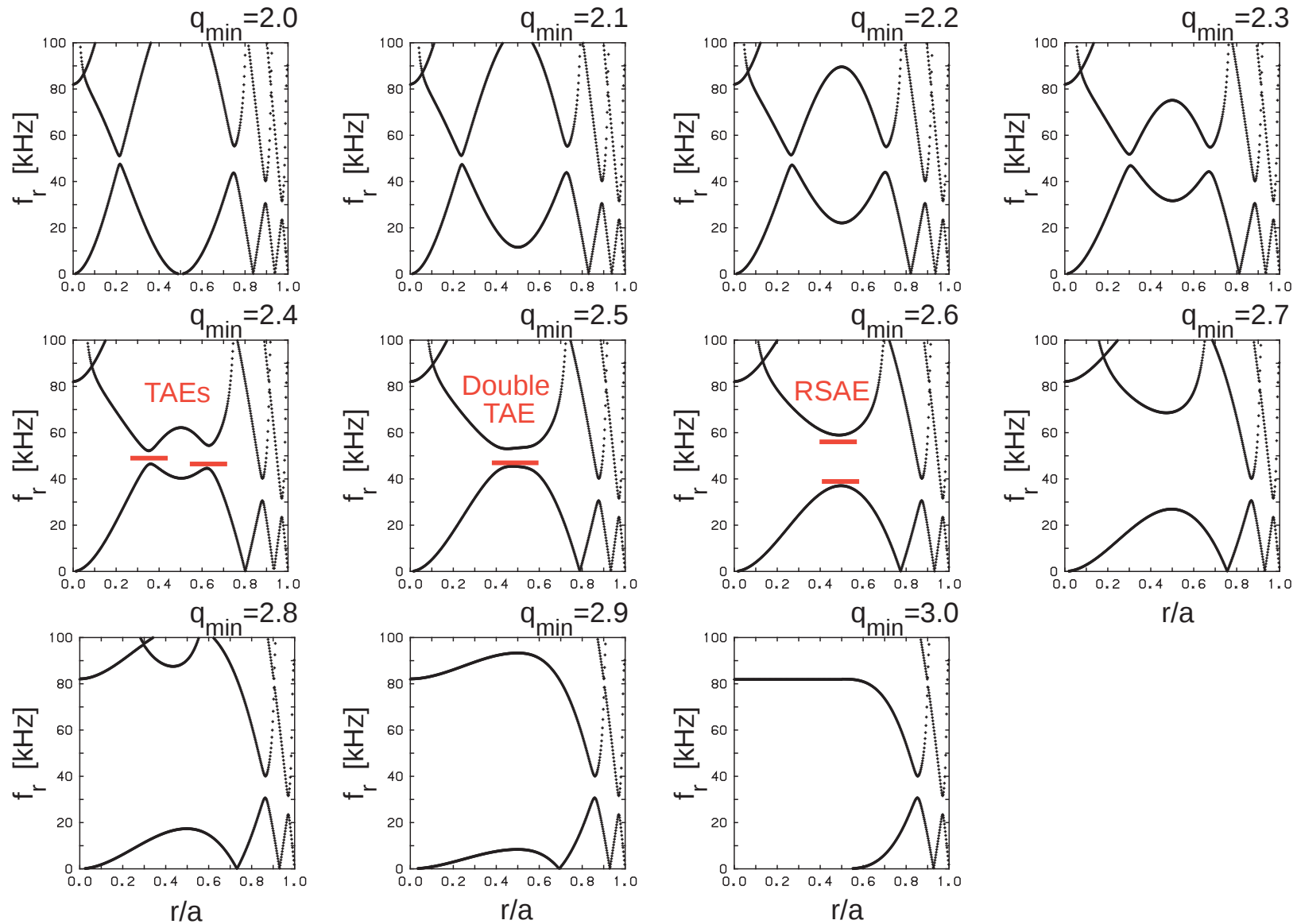


Imag part



- **RSAE (reversed-shear-induced Alfvén eigenmode)** for $\ell + \frac{1}{2} < q_{\min} < \ell + 1$

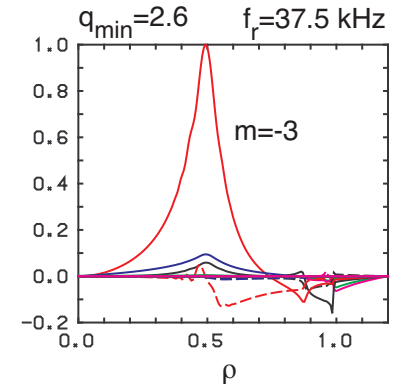
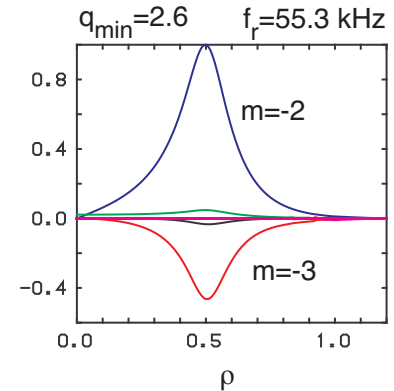
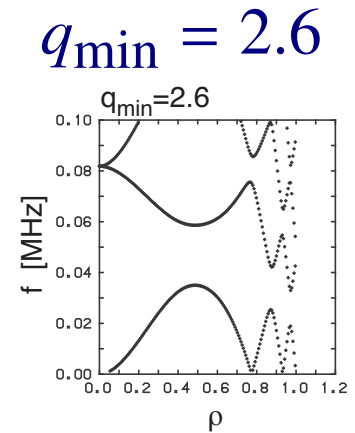
$q_{\min}$ Dependence of Radial Structure of Alfvén resonance



Alfvén resonance

Higher freq.

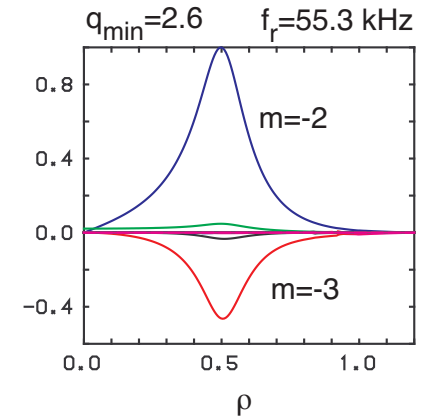
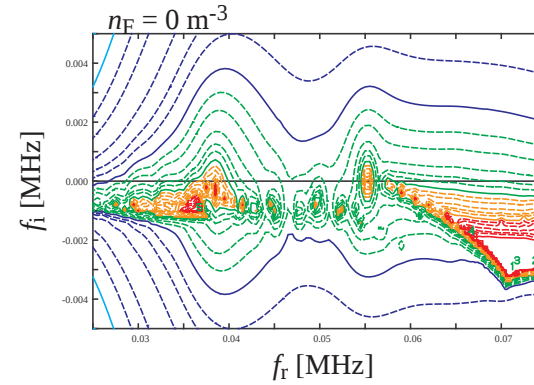
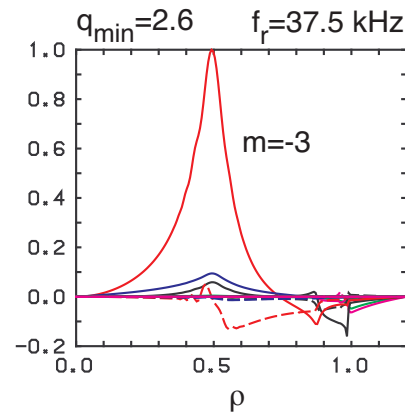
Lower freq.



RSAE

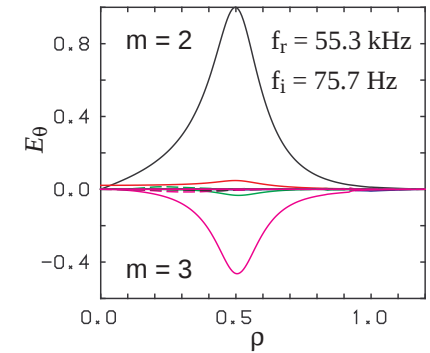
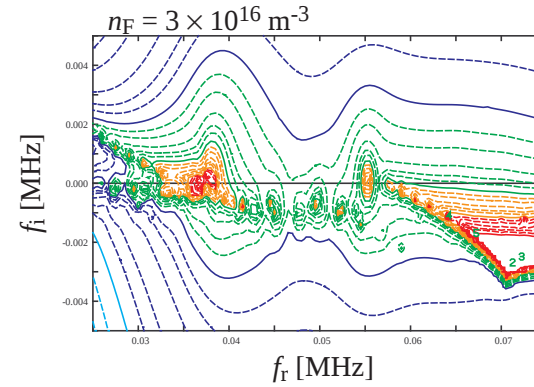
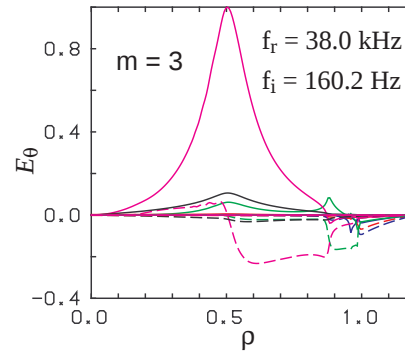
Excitation by Energetic Particles ($q_{\min} = 2.6$)

- Without EP



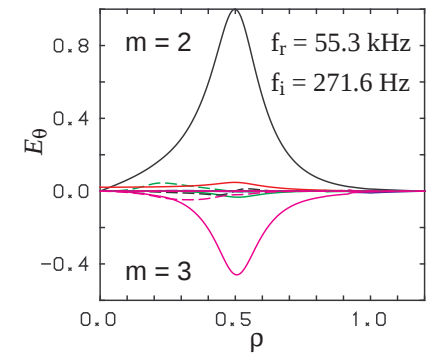
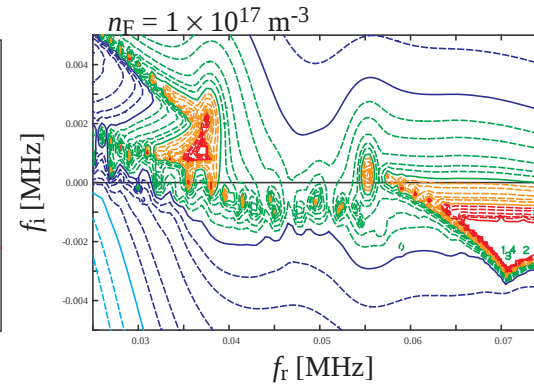
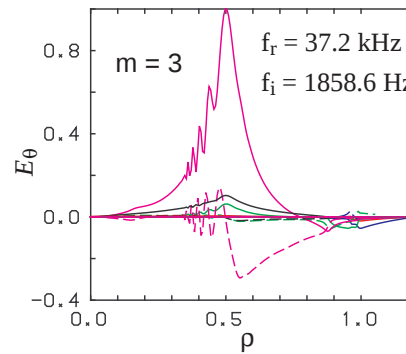
- With EP

3×10^{16} m⁻³
360 keV
0.5 m



- With EP

1×10^{17} m⁻³
360 keV
0.5 m



Progress in Full Wave Analysis

- **Variety of numerical schemes**

module	system	scheme
WM	torus	toroidal & poloidal: FFT, radial: FDM
WMF	torus	toroidal & poloidal: FFT, radial: FEM
WF2D	torus	toroidal: FFT, poloidal and radial: FEM
WF3D	Cartesian	x, y, z : FEM

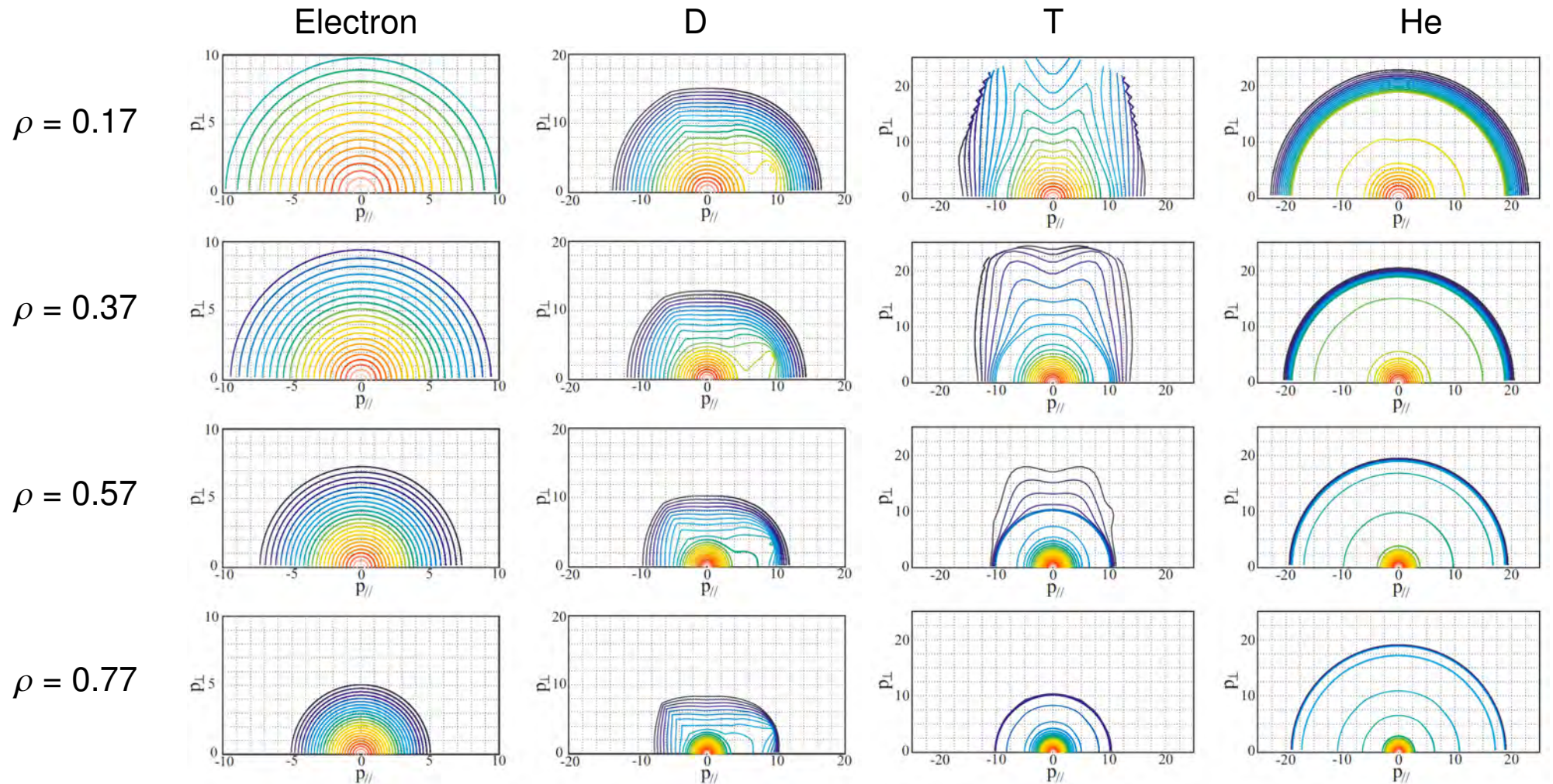
- Merit of FEM: Flexibility of mesh, sparse matrix, localized analysis

- **Extension of dielectric tensor**

- Uniform, kinetic, Maxwellian, Fourier expansion
- Nonuniform, gyro kinetic, Maxwellian, Fourier expansion
- Nonuniform, kinetic, Maxwellian, Integral form
- Uniform, kinetic, arbitrary $f(\boldsymbol{v})$, Fourier expansion
- Nonuniform, gyro kinetic, arbitrary $f(\boldsymbol{v})$, Fourier expansion

- **Coupling with Fokker-Planck analysis of $f(\boldsymbol{v})$**

Momentum Distribution Functions



- Radial diffusion proportional to $E^{-1/2}$ reduces energetic ions in the outer region.

Summary

- **Full wave approach** of linear stability analysis is powerful for systematic analysis of various kinds of global eigenmodes.
 - Alfvén eigenmodes
 - Resistive wall mode, internal kink mode, . . .
- **Kinetic effects** of energetic particles and bulk species can be included in the dielectric tensor, though non-uniformity and gyrokinetic effects may complicate the derivation.
- A variety of **Alfvén eigenmodes** have been analyzed by TASK/WM and the results were compared with other codes and experimental observations.
- Large scale computer will enable us to carry out **systematic parameter survey** in more realistic plasma models for future reactors..